

# Improved Pseudo-Polynomial-Time Approximation for Strip Packing

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$R_4 (1,3)$

$R_5 (3,1)$

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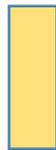
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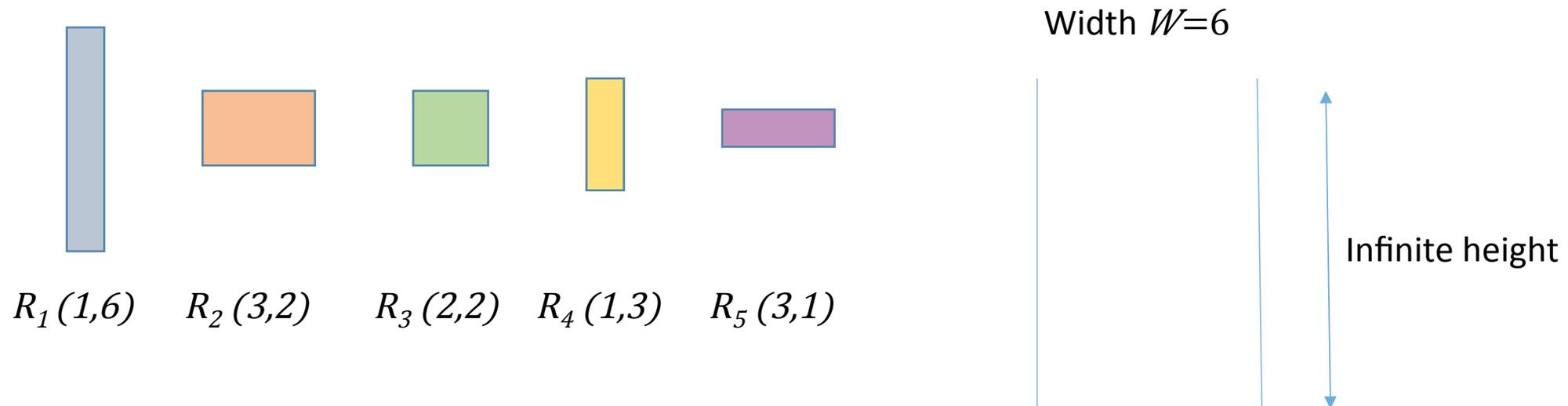


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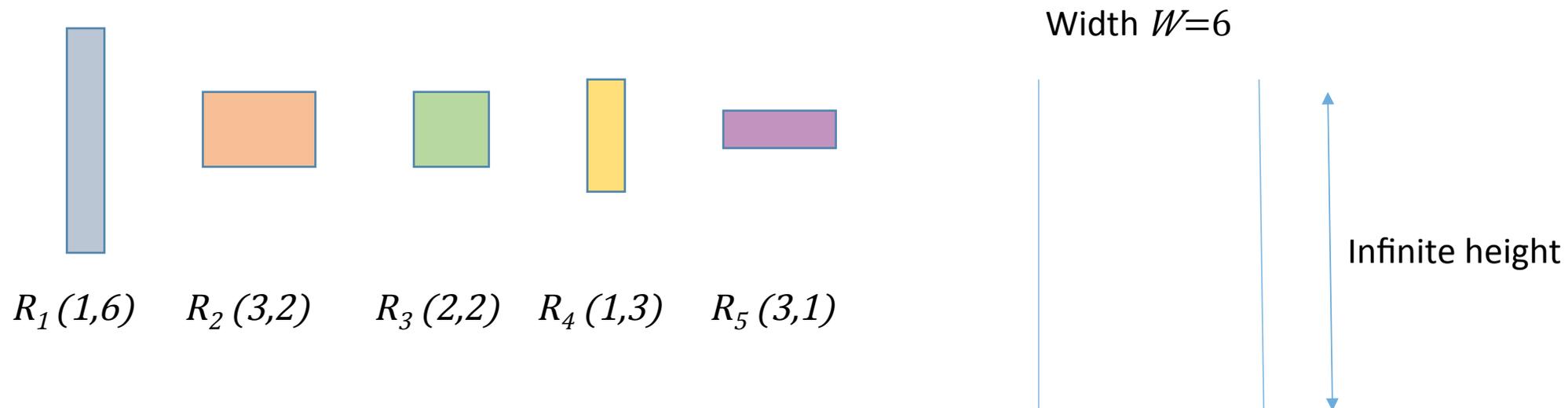
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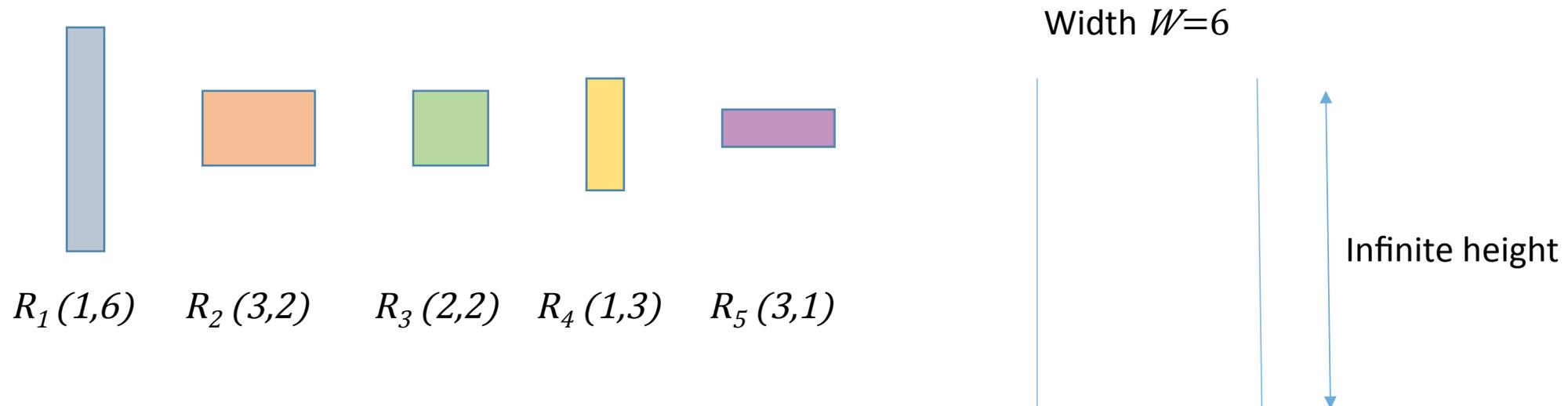
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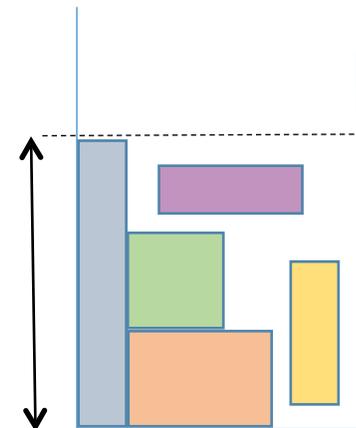
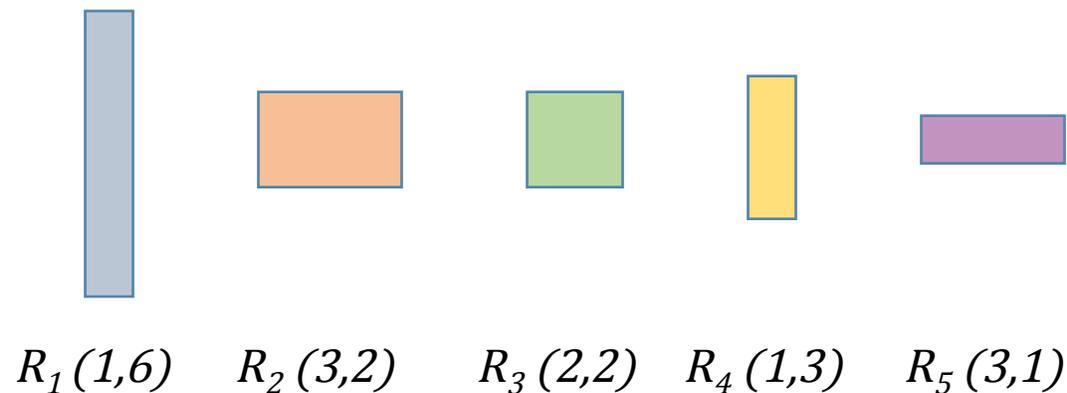
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*Variant 1:*  
**No rotations  
are allowed!**

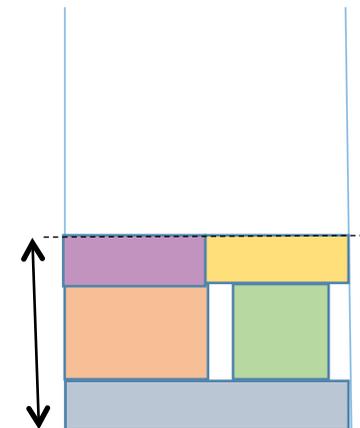
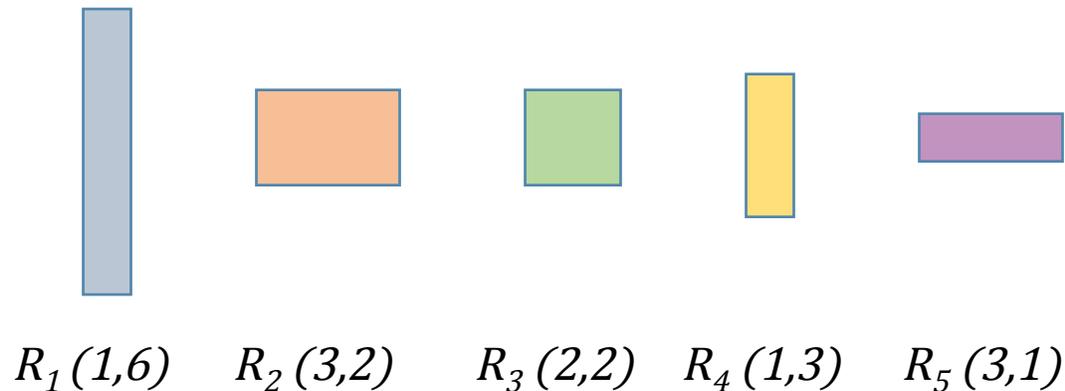
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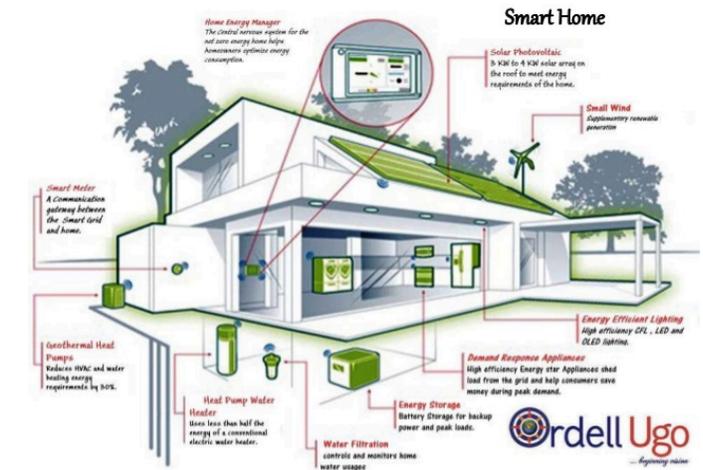
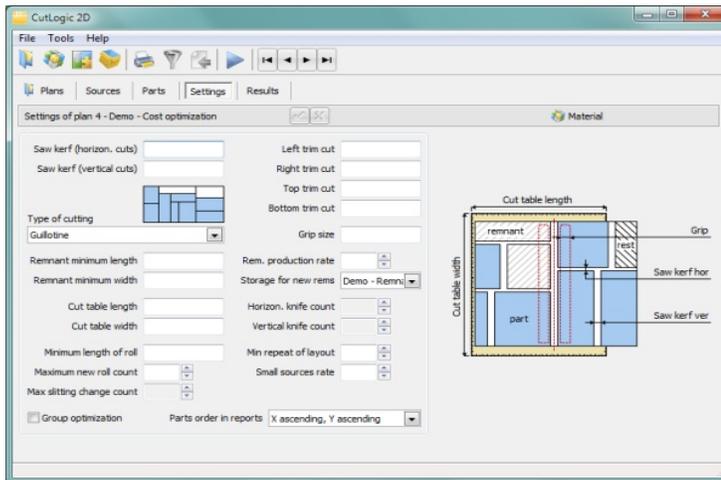
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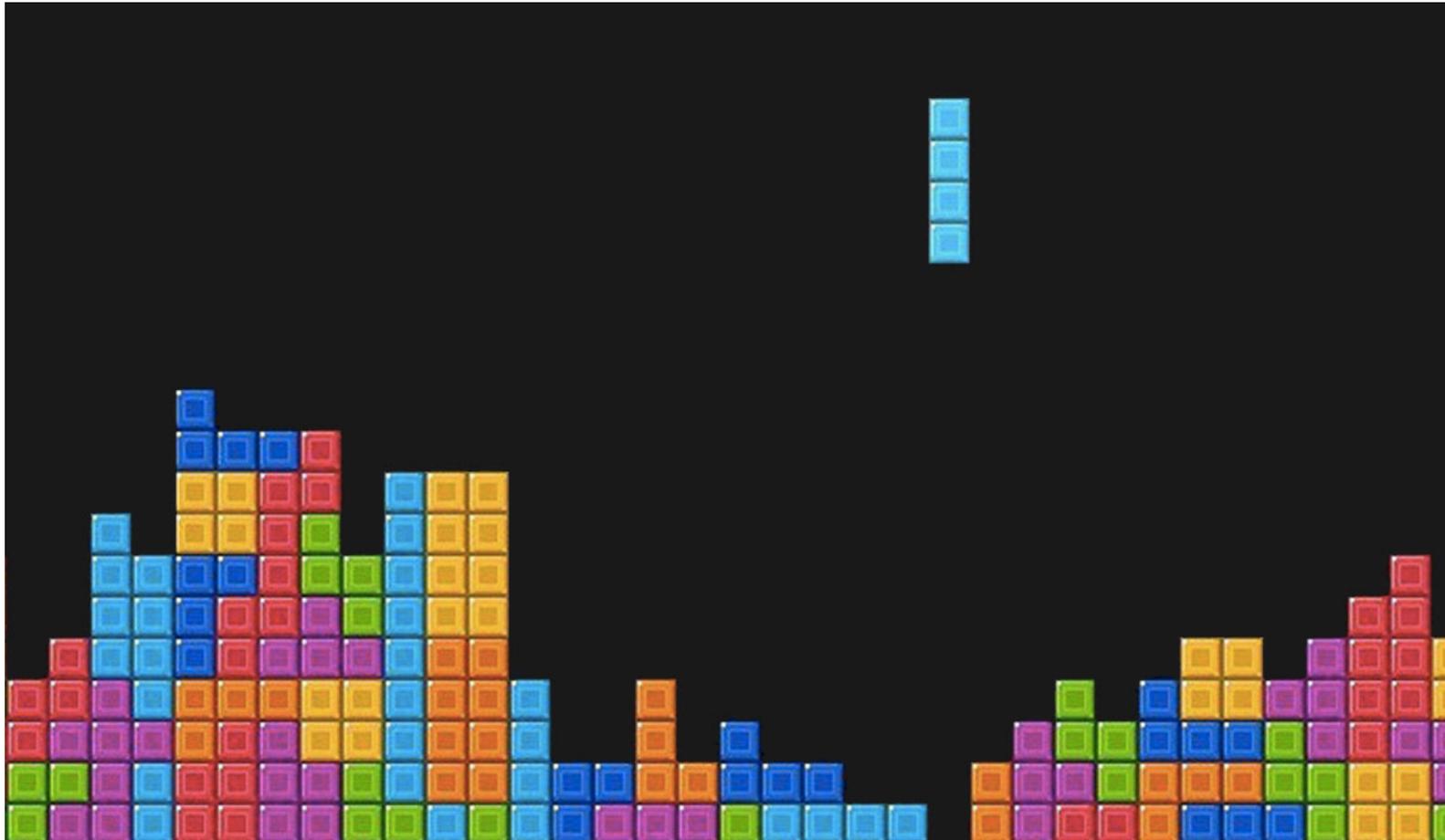
*Variant 2:*  
**90° rotations  
are allowed!**

# Applications:

- Cutting stock: cloth cutting, steel/wood cutting.
- Logistics and Scheduling: memory allocation , truck loading, palletization by robots.
- Recent applications in peak demand reduction in smart-grids.

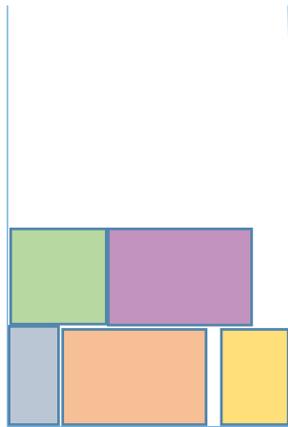


# Strip packing is fun!



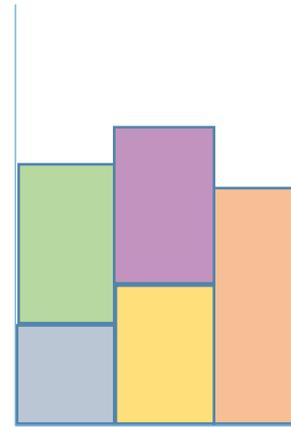
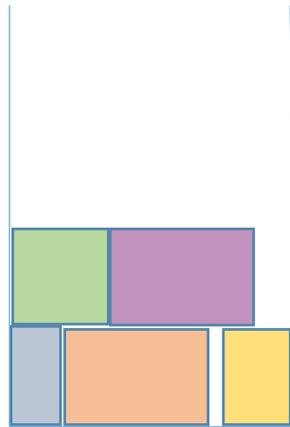
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- Strip Packing **generalizes**
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  - **makespan minimization** (when all rectangles have **same width**).



# Related Problems.

- Strip Packing generalizes
  - bin packing (when all rectangles have same height)
  - makespan minimization (when all rectangles have same width)
- Strip Packing is **NP-hard**.

# Hardness.

- Strip Packing generalizes
  - bin packing (when all rectangles have same height)
  - makespan minimization (when all rectangles have same width)
- Strip Packing is NP-hard.
- Reduction from **Partition** Problem:
  - Can not distinguish in polytime if needs height 2 or 3.
  - Polytime approximation hardness of **3/2** (unless  $\mathcal{P}=\mathcal{NP}$ ).

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- Reduction from Partition Problem:
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  - Polytime approximation hardness of  $3/2$  (unless  $\mathcal{P}=\mathcal{NP}$ ).
- **Strongly NP-hard**: Can not be solved exactly in pseudo-polynomial time (in time  $\text{poly}(W, h_{\max}, n)$  where max rectangle height is  $h_{\max}$ ).
  - No other explicit hardness was known for pseudo-polynomial time.

# A tale of approximability.

- Without rotations.
- 2.7-appx. [First-Fit-Decreasing-Height, Coffman-Garey-Johnson-Tarjan '80] ...
- $5/3+\epsilon$  [Harren-Jansen-Pradel-vanStee '14]
- Asymptotic PTAS [Kenyon-Remila '00 ] – Good when OPT is large!
- Pseudo-polytime  $(1.4+\epsilon)$ -appx [Nadiradze-Wiese SODA '16]
- With Rotations.
- Asymptotic PTAS [Jansen-vanStee '05]

# Our Results:

- Algorithm:
- $(4/3+\epsilon)$ -approximation algorithm in  $\text{poly}(W,n)$  time.
  - For both the cases without and with  $90^\circ$  rotations.
- A simple *container-based* packing.
- Breaks the barrier of  $3/2$  for the case with rotations.
- Pushes present techniques to its limits.

## Rest of the talk:

- 1. Existence of a *structured* packing of all rectangles in the strip with height  $\leq (4/3 + \varepsilon)OPT$ .

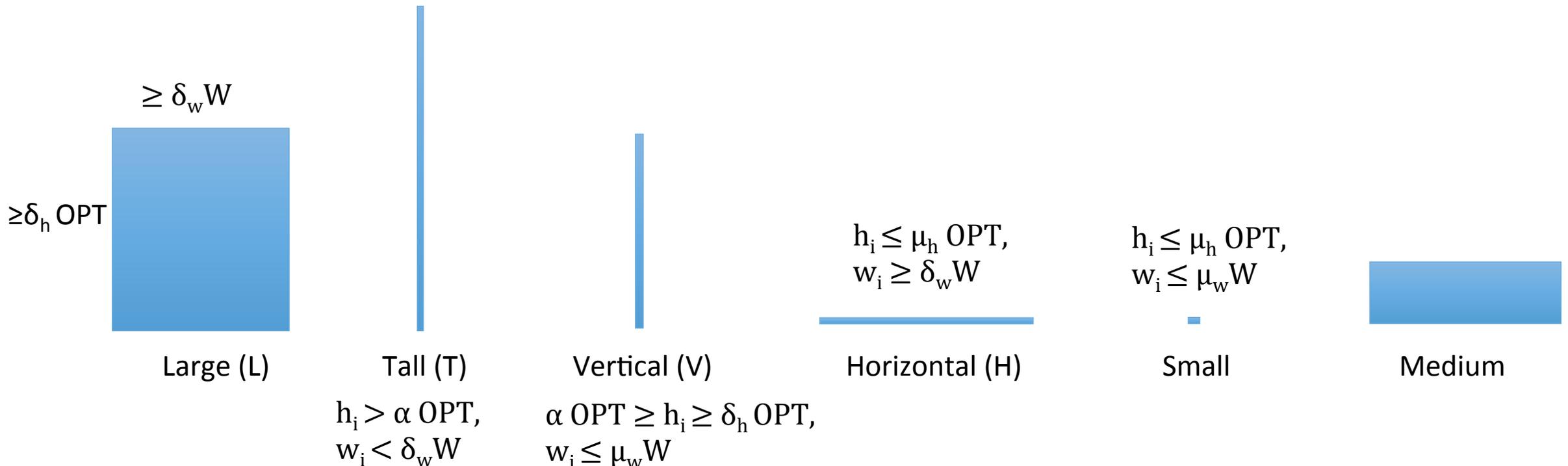
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- 2. The algorithm finds the best structured packing in time *poly*( $W, n$ ) using a dynamic program.

# Existence of a structured packing.

- Classification of rectangles.

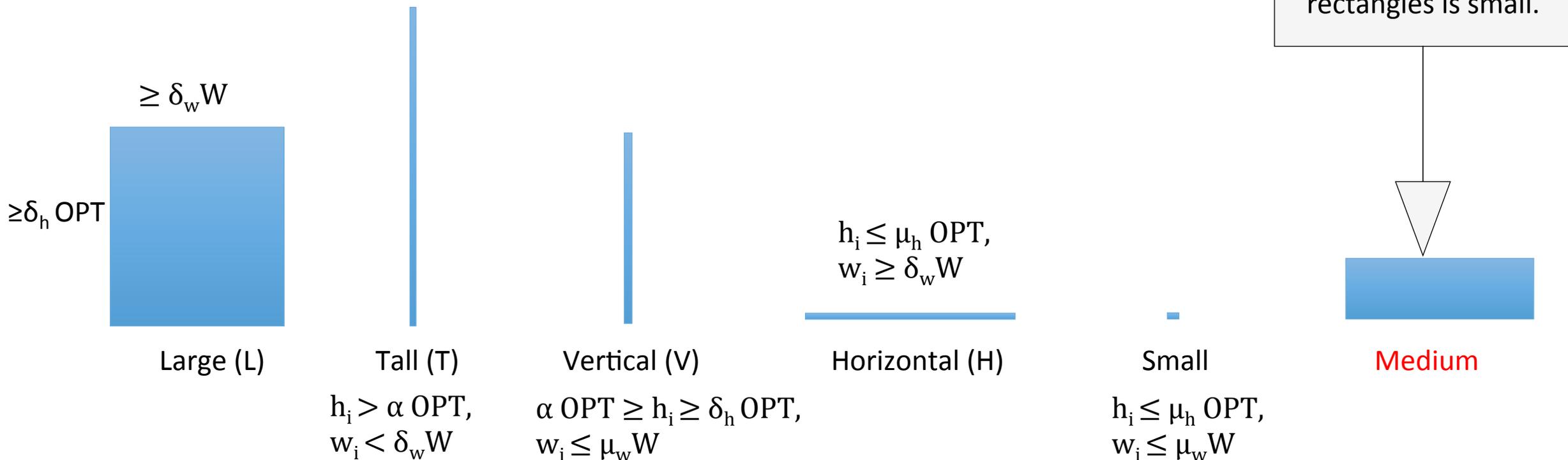
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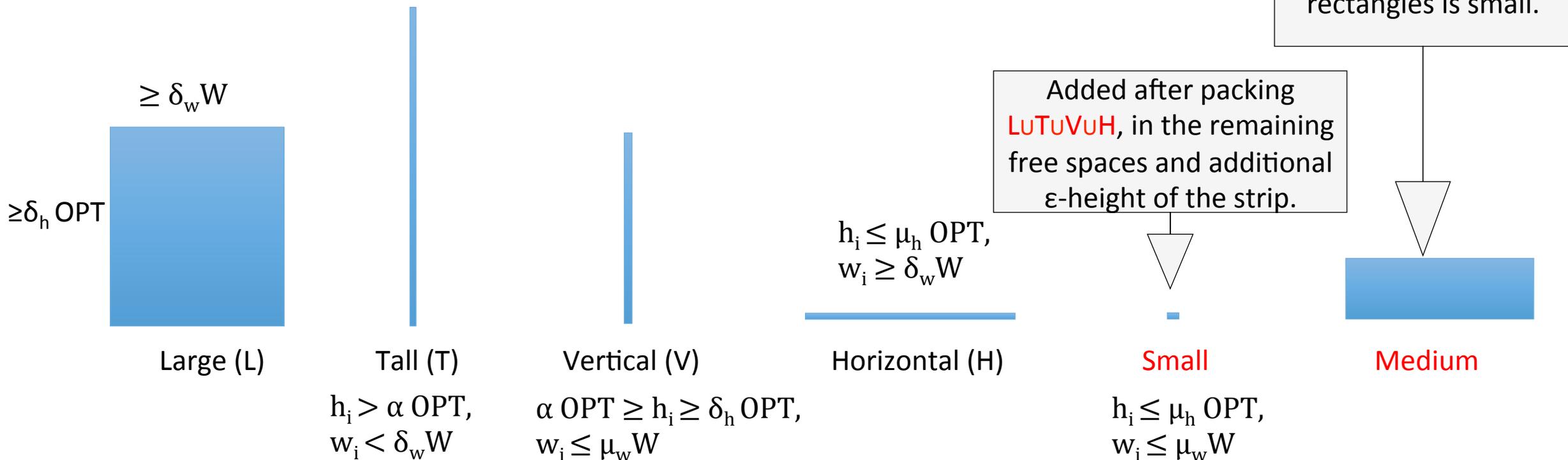
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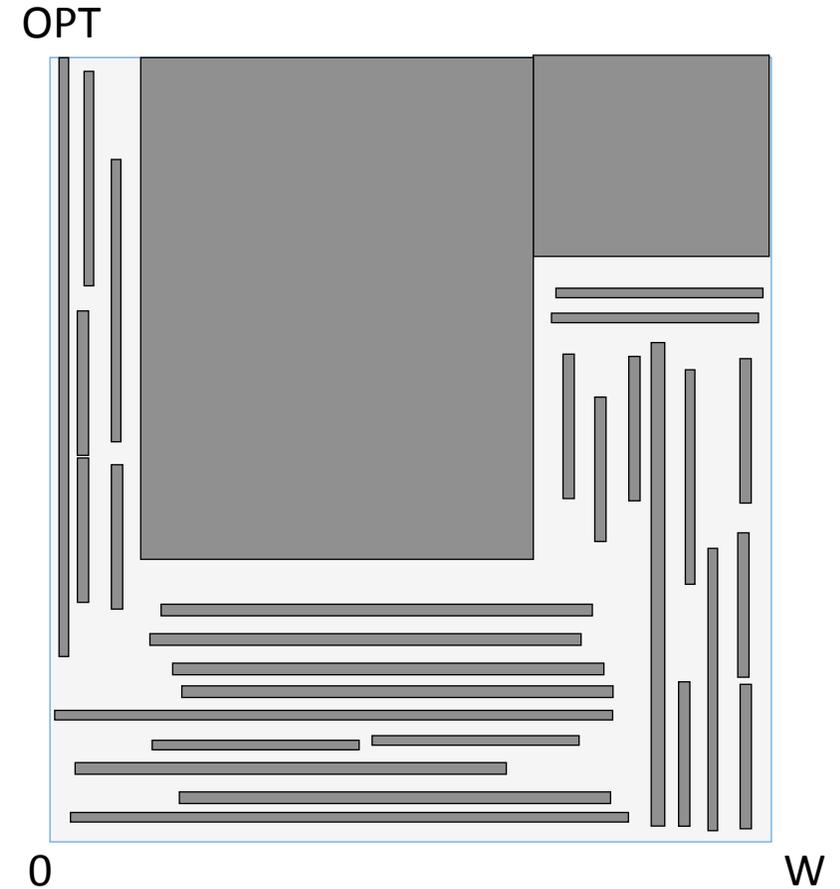
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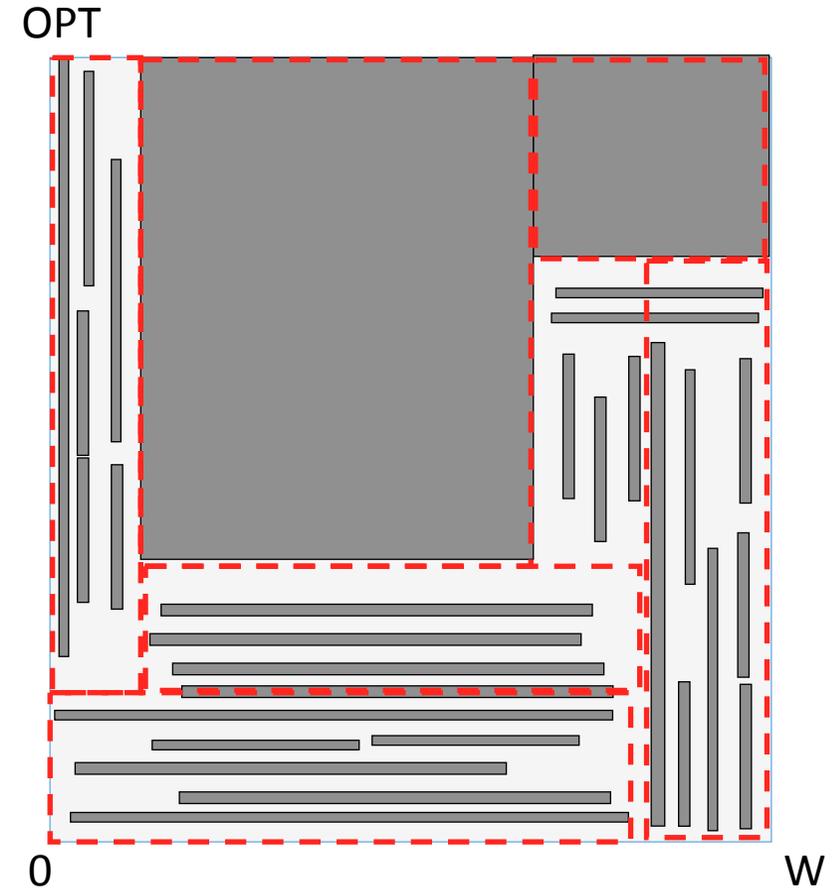


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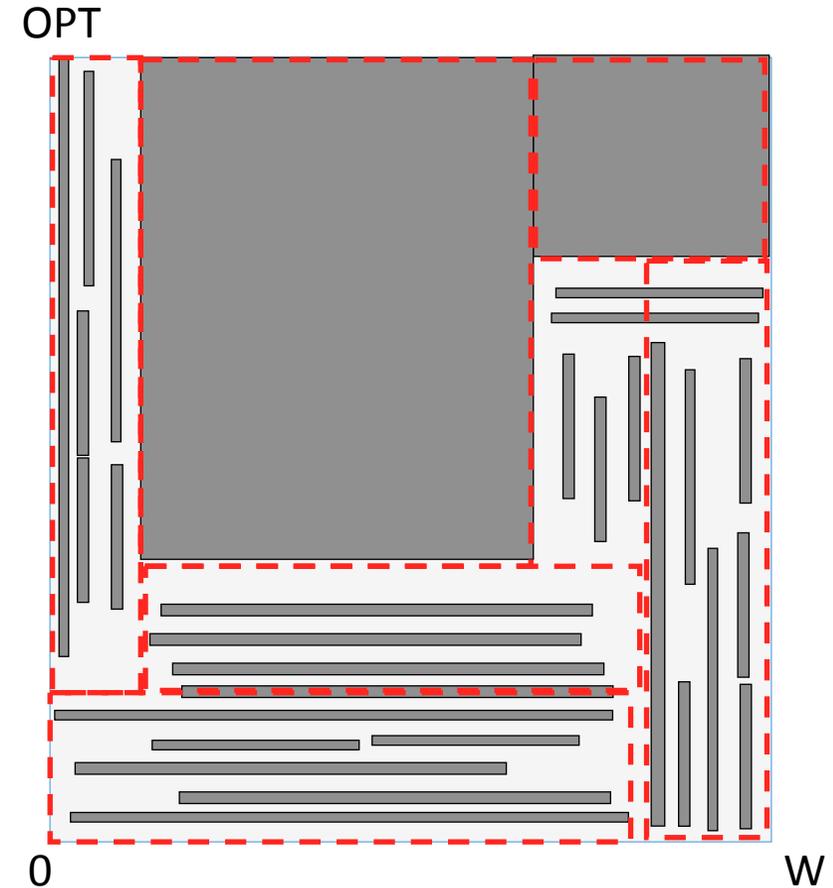
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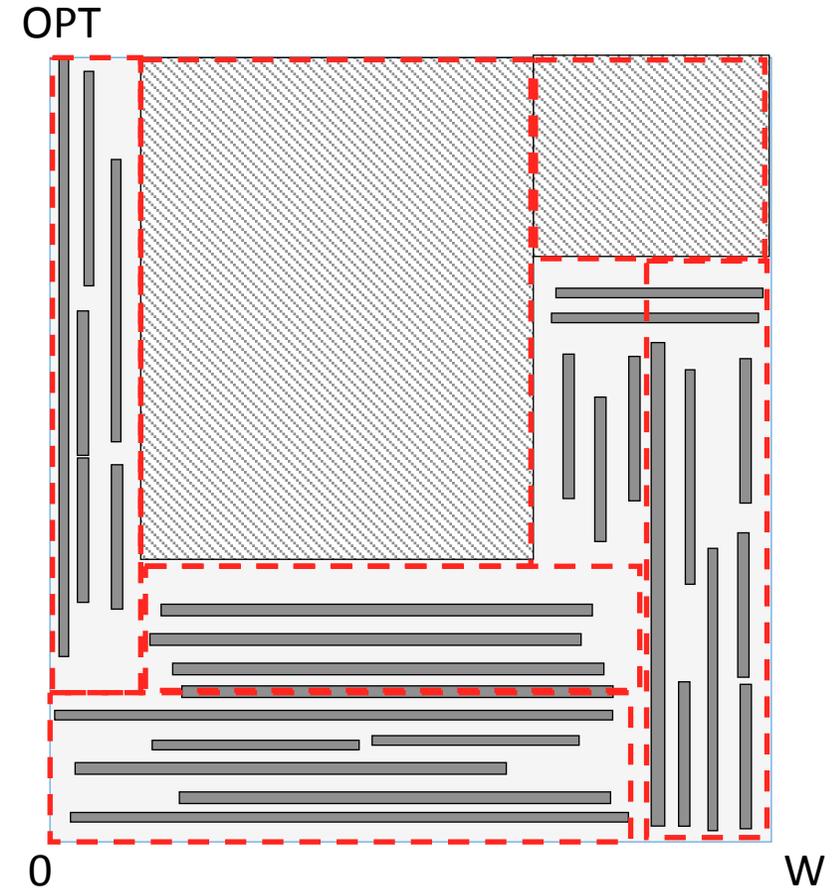
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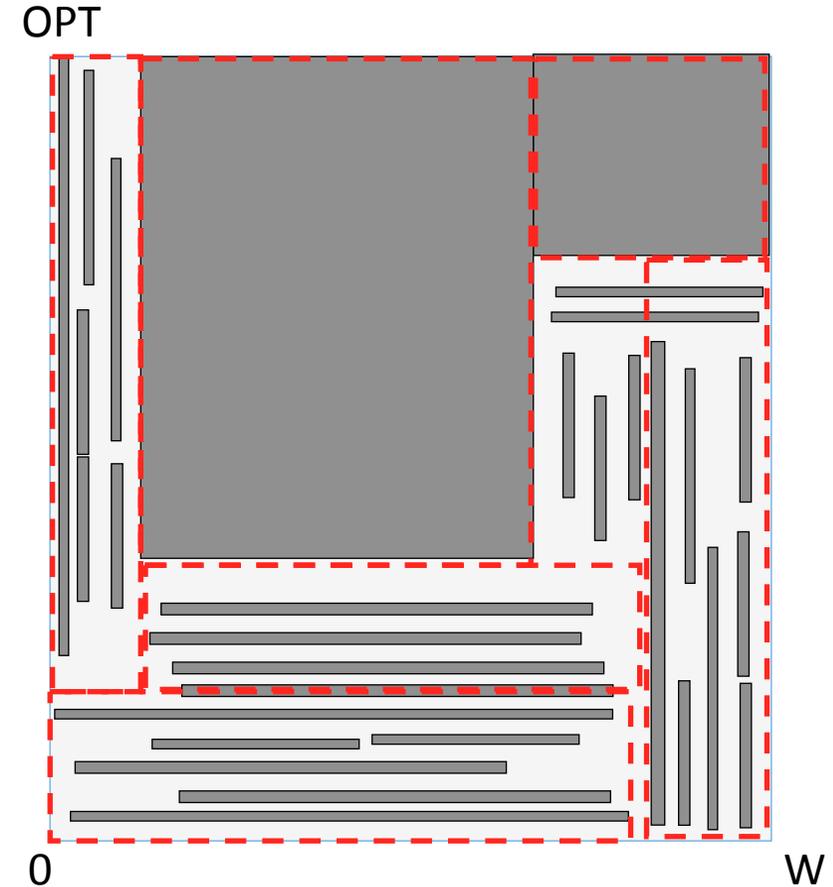
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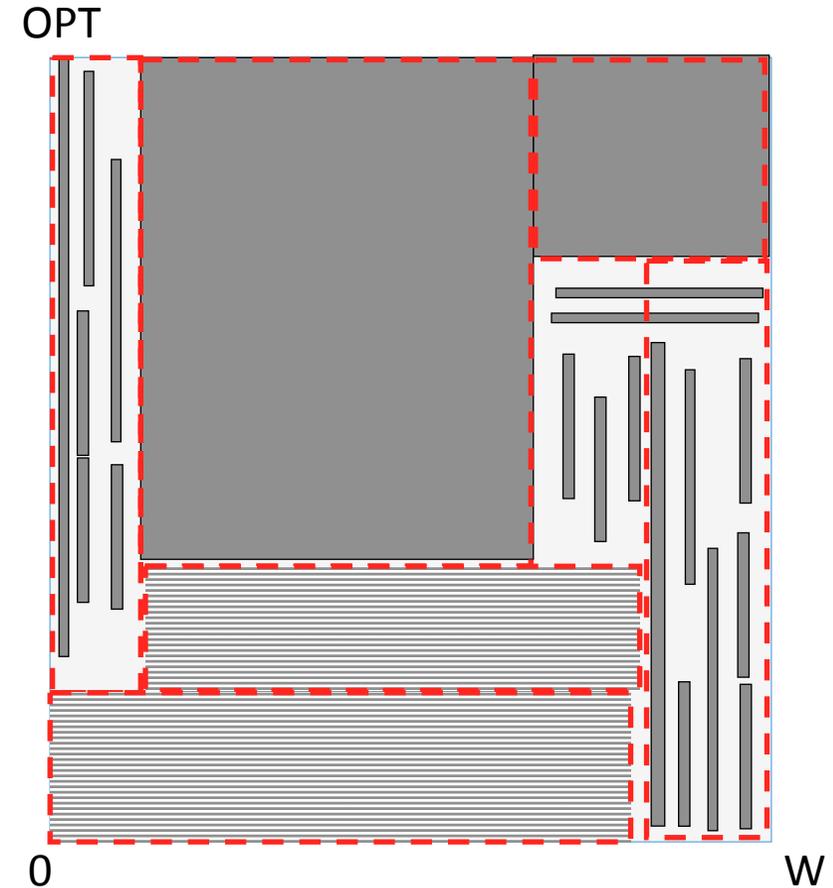
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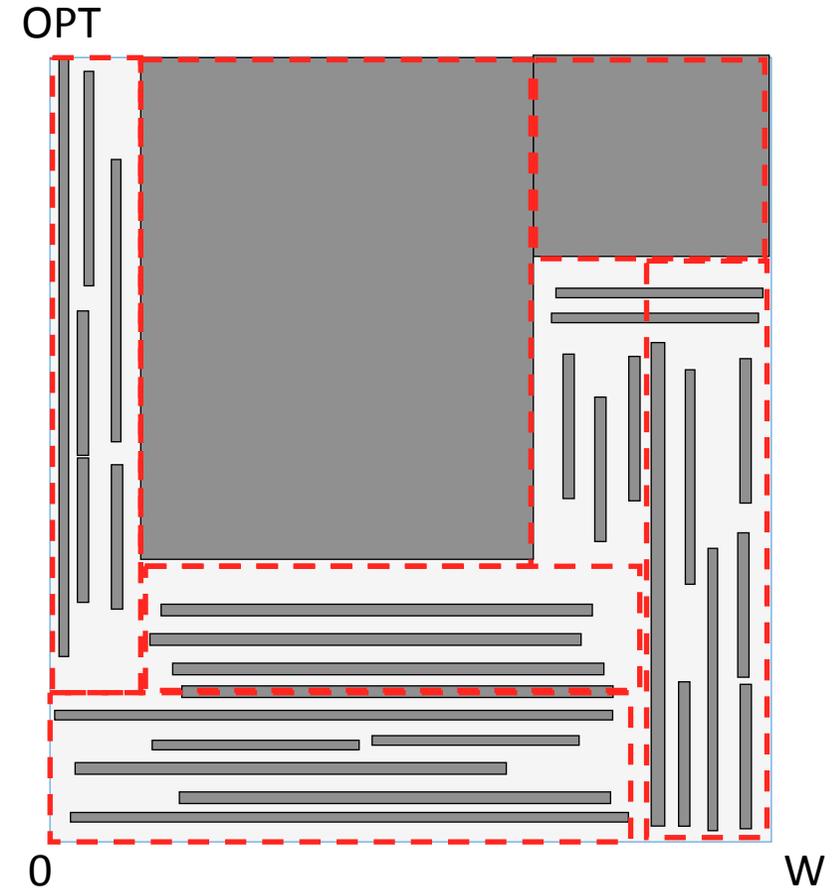
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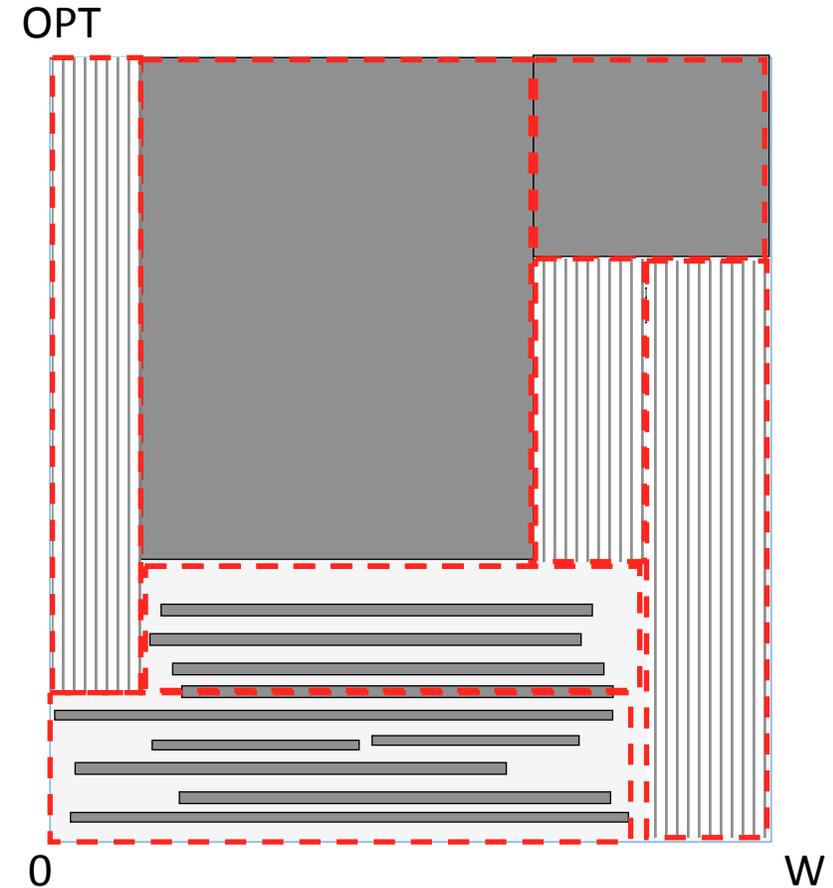
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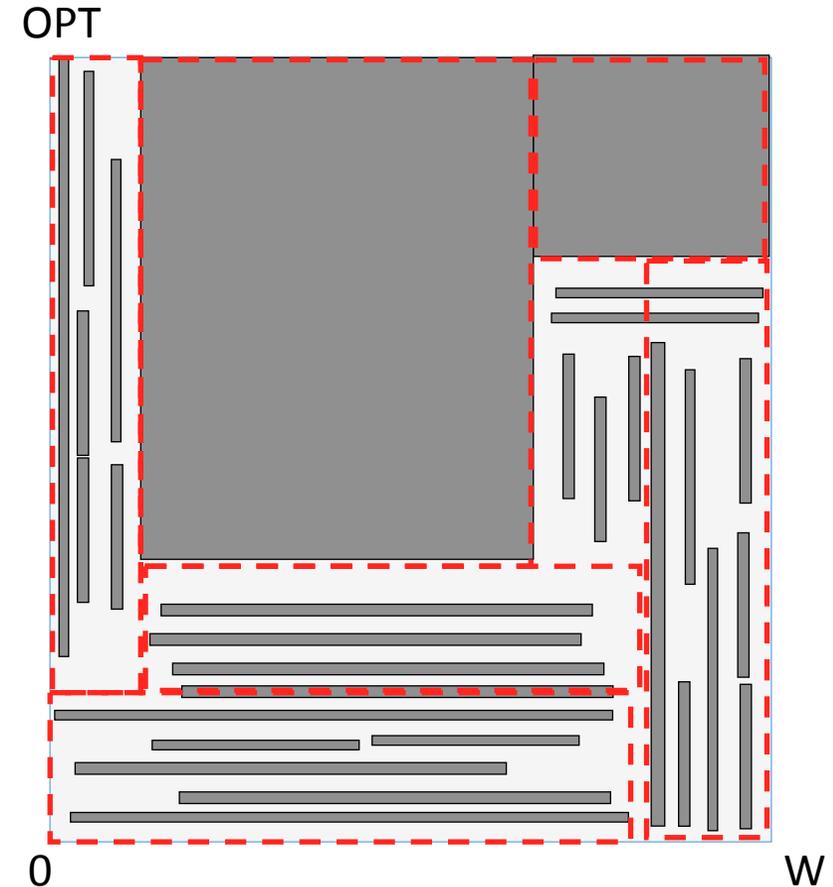
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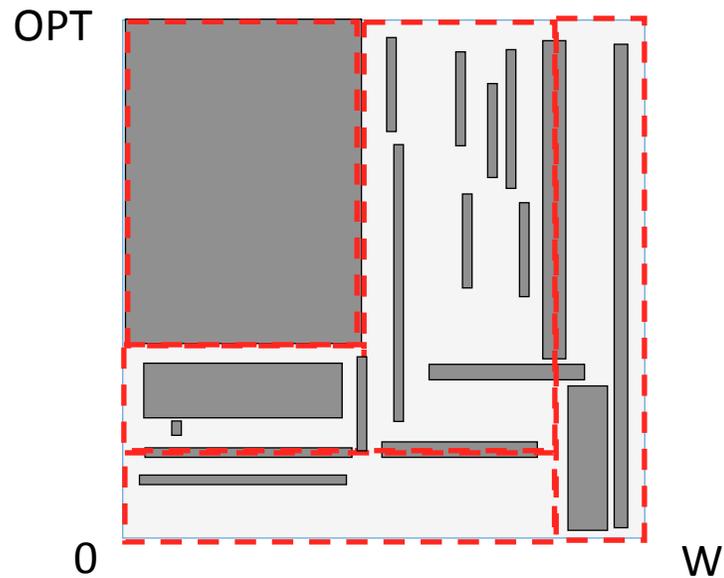
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- Each box has size either equal to size of some large rectangle (large box) or height  $\leq \delta_h OPT$  (horizontal box) or width  $\leq \delta_w W$  (vertical box).
- Each large rectangle is contained in a large box.
- Horizontal rectangles are either contained in a horizontal box or cut by a box. *Area of cut horizontal rectangles is  $\leq W \cdot O(\varepsilon) OPT$*
- Tall or vertical rectangles are either contained in a vertical box or **vertically cut** by a vertical box.

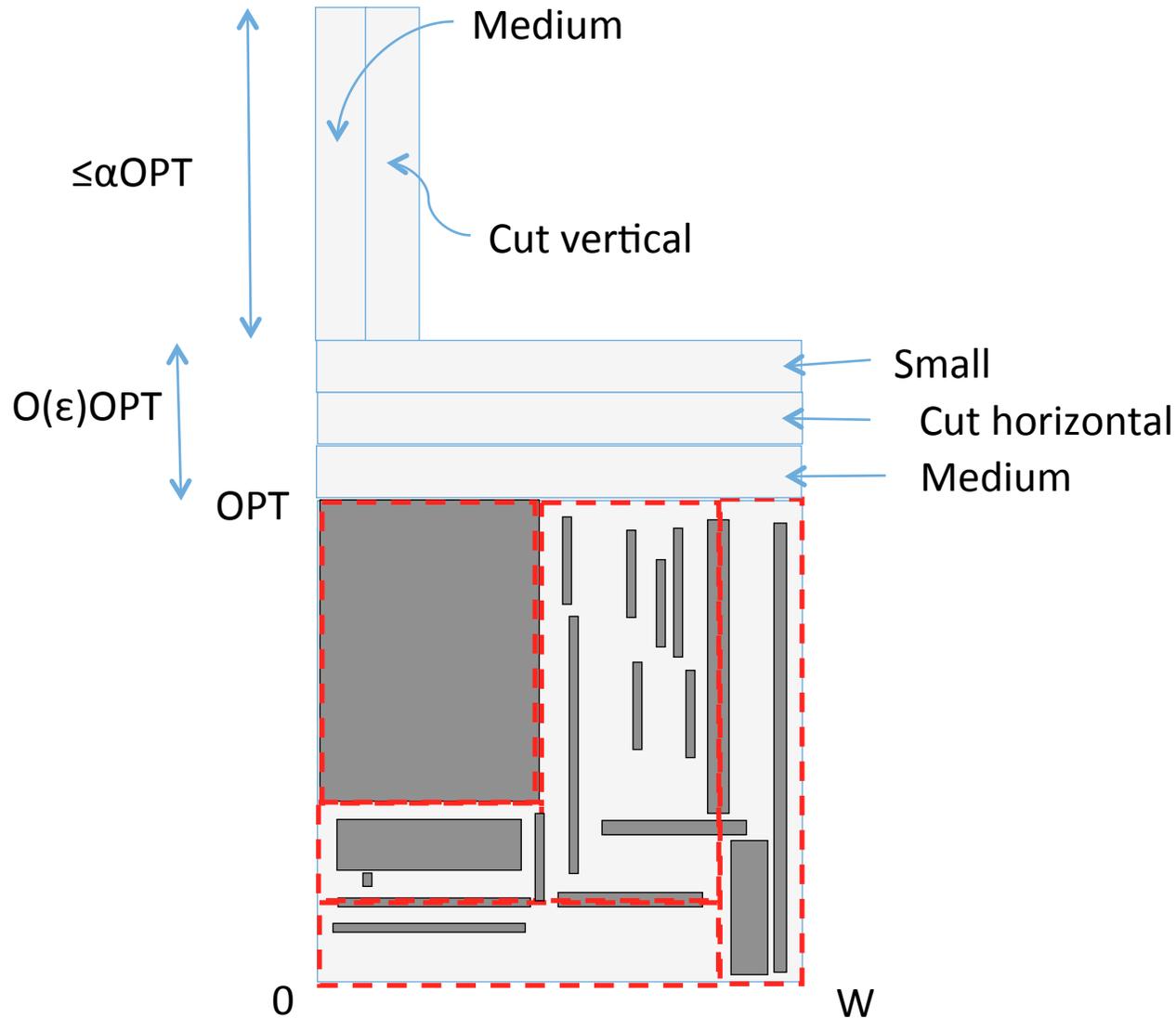


# Existence of a structured packing.

- Problem 1. Rectangles can not be cut.
- Problem 2. How do we find this packing?

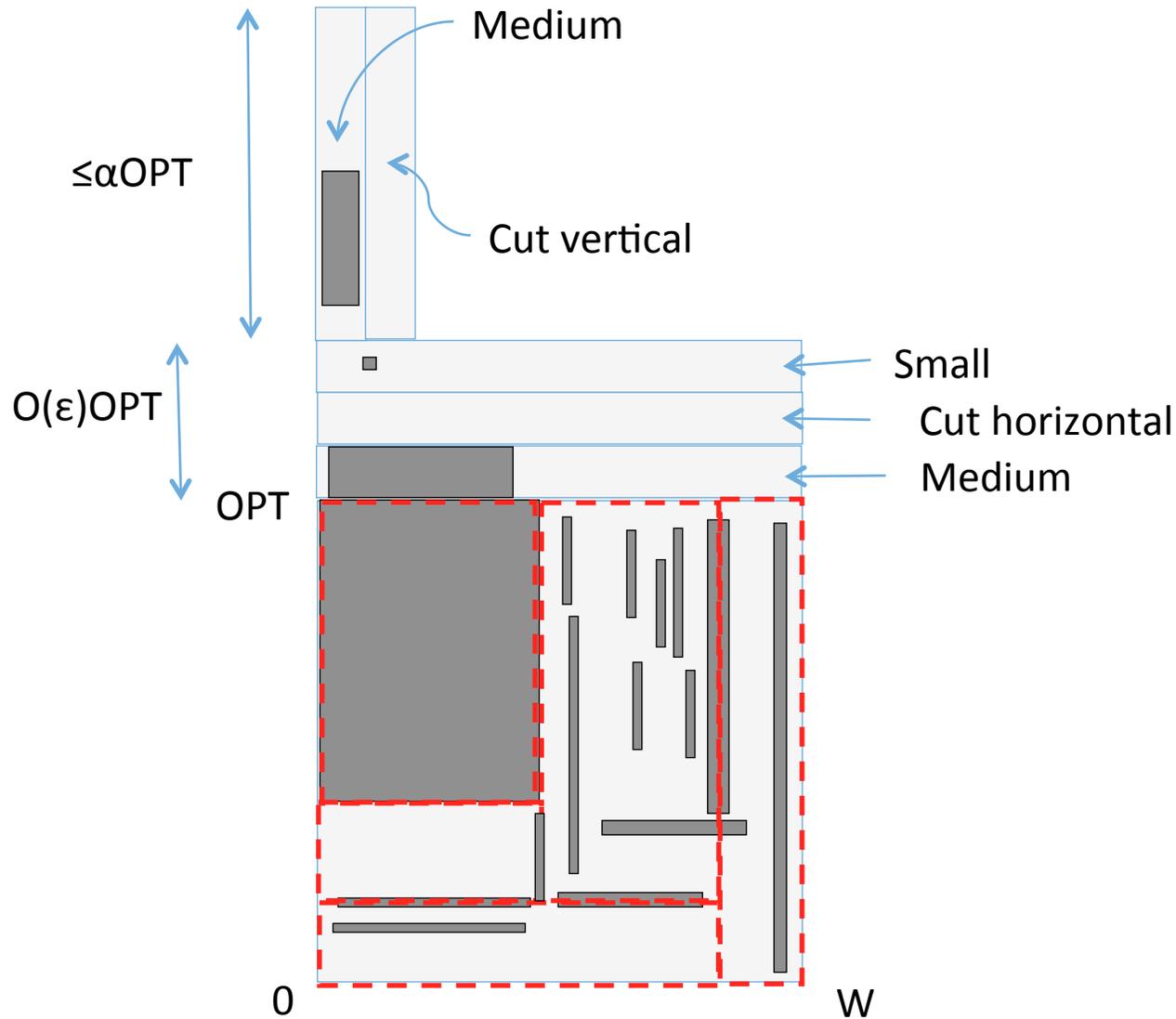


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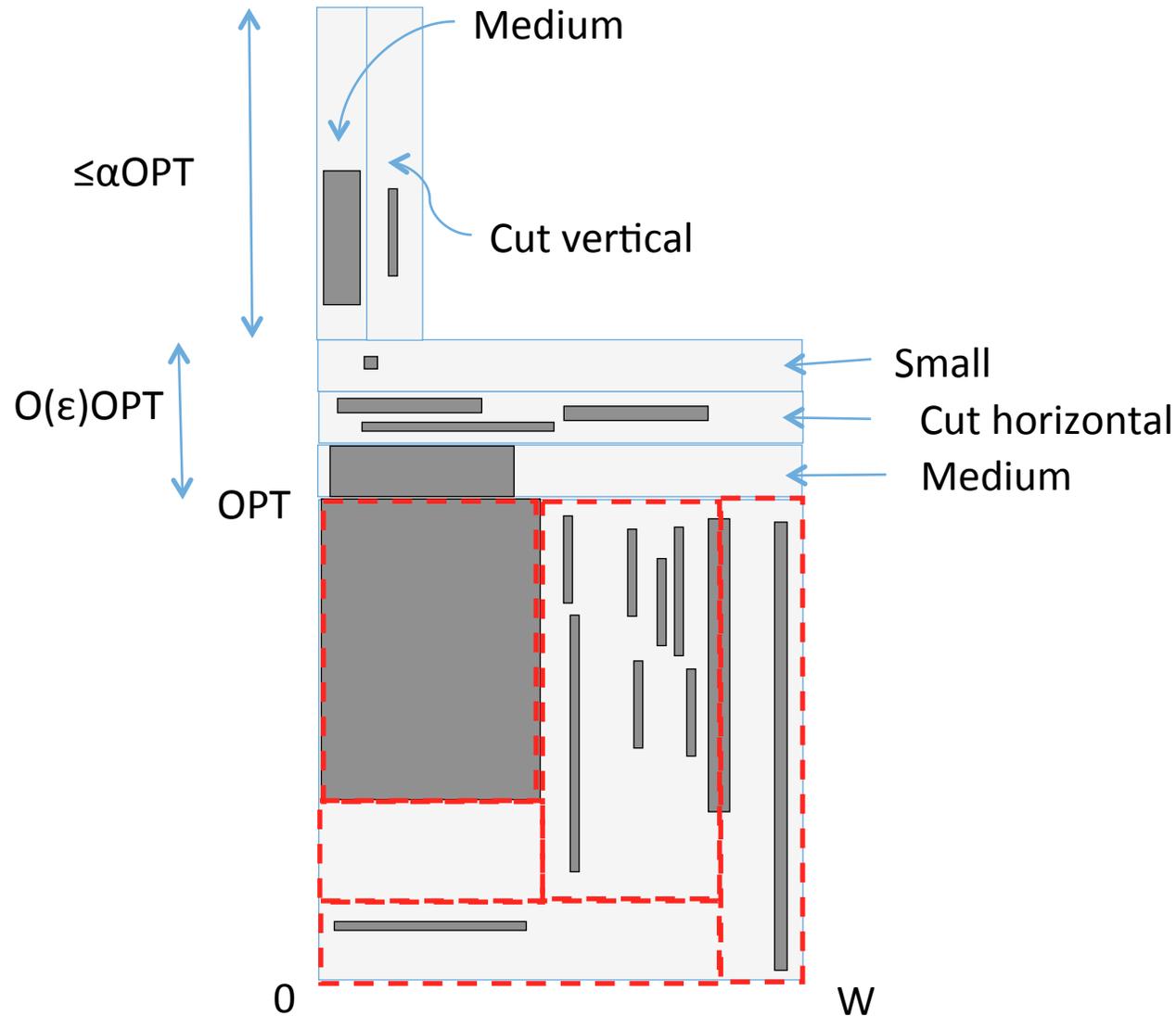
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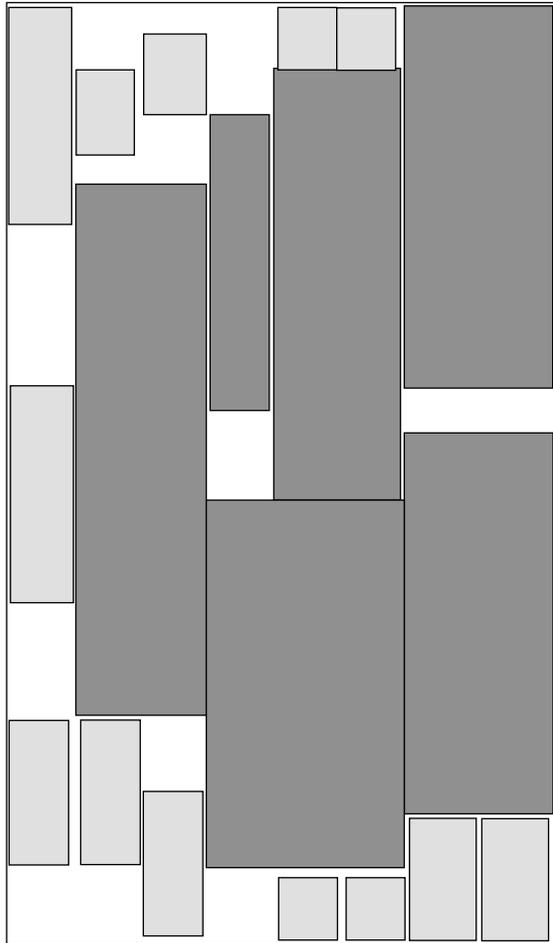
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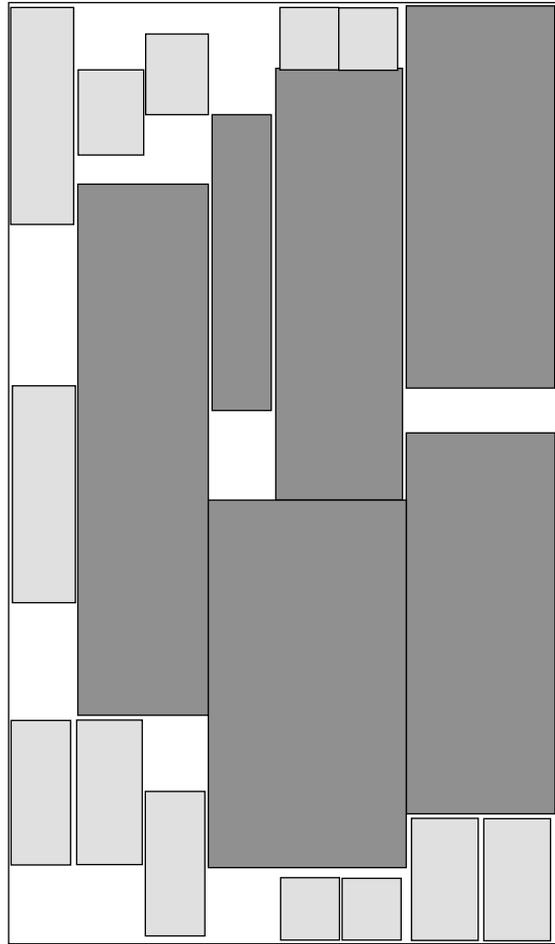
- Now only tall rectangles can be cut.
- One can find packing of horizontal boxes using an LP.
- But still not clear how to find packing of the vertical boxes.

# Rearrangement of vertical box

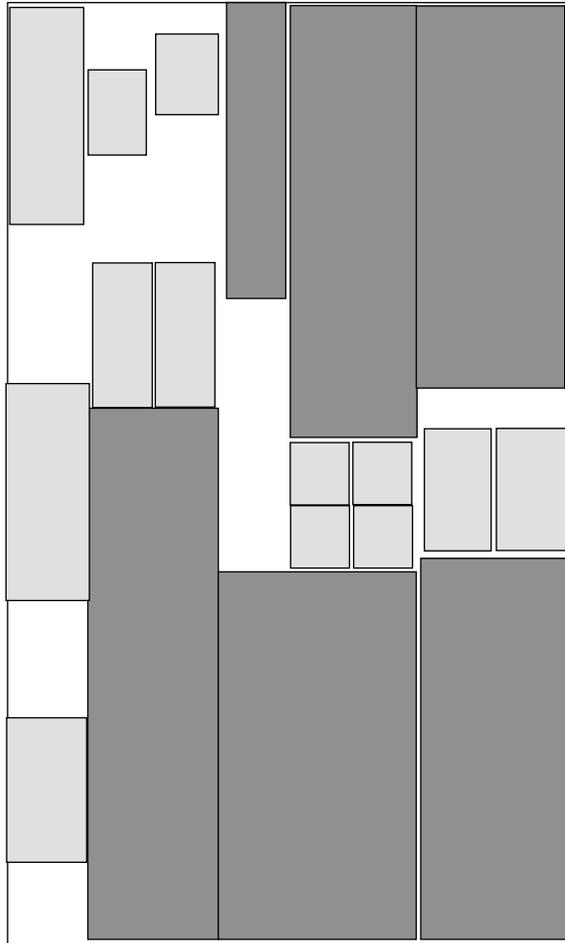


- For simplicity, assume
  - all vertical rectangles have unit width,
  - no tall rectangles are cut,
  - each height is integral multiple of  $\gamma_{OPT}$ .
- Tall = dark gray, Vertical = light gray.
- Any vertical line intersects at most two tall ( $>1/3 OPT$ ) rectangles.
- For each tall rectangle, either top or bottom cannot contain any tall rectangle.
- Shift tall rectangles so that they touch boundary.

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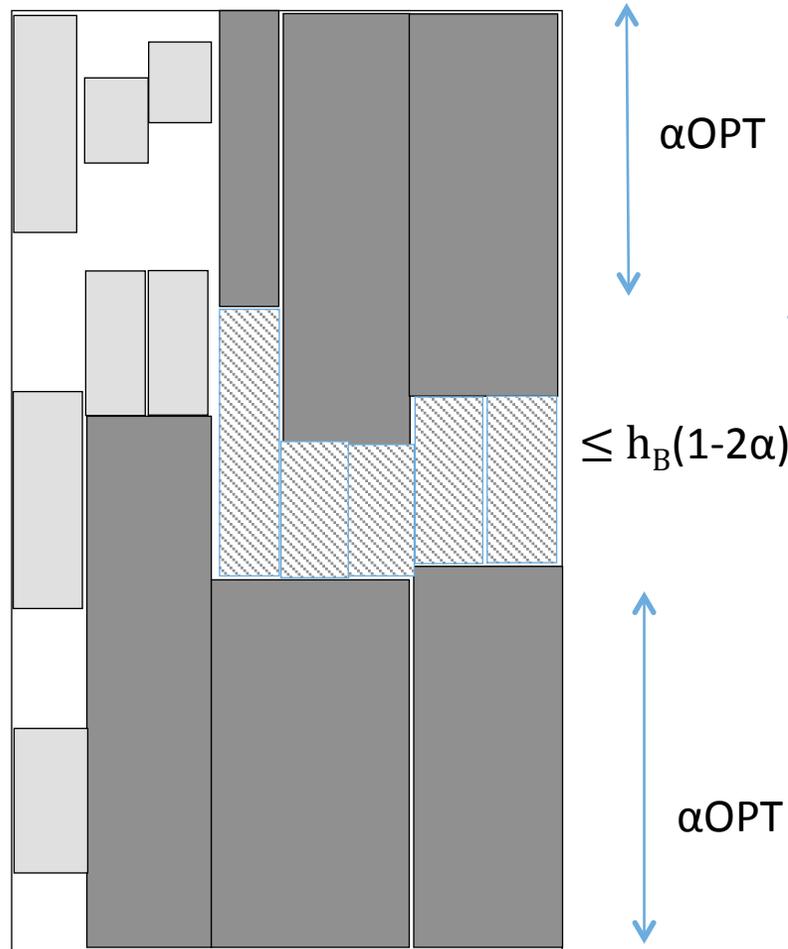


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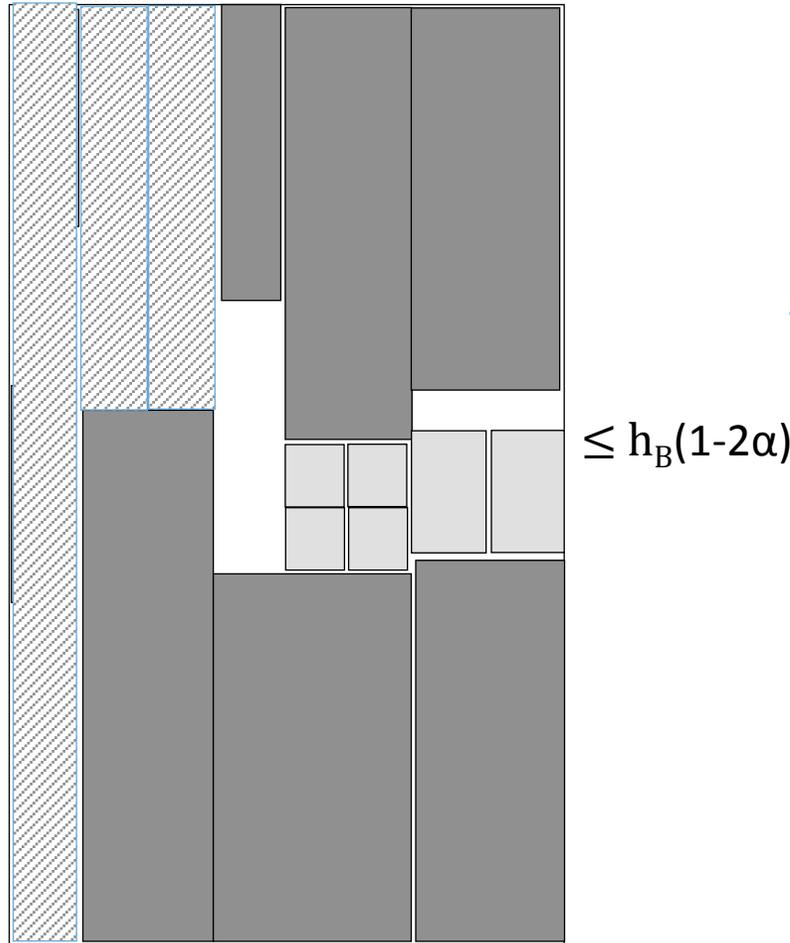
- Box  $B := (w_B, h_B)$ ,  $T =$  Tall rectangles.
- Consider each unit width stripes in  $B-T$ .
- **Free rectangle**: If both the top and bottom sides of the stripe overlaps with  $T$ .

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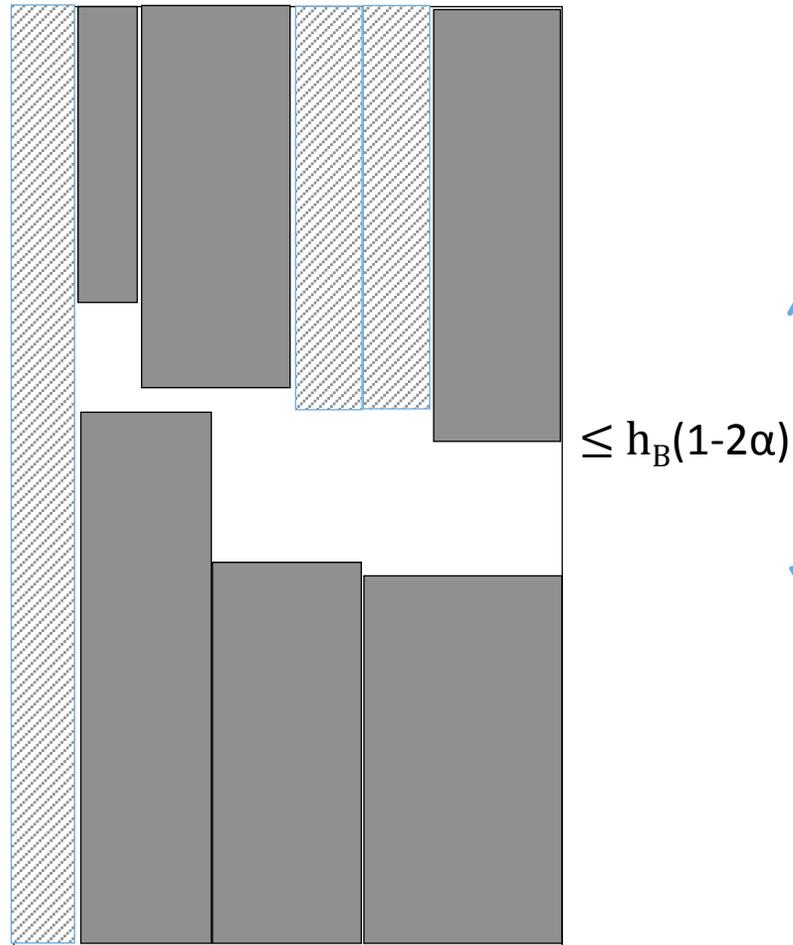
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- Each free rectangle is contained in a strip of width  $w_B$  and height at most  $h_B - 2\alpha \text{OPT} \leq h_B(1-2\alpha)$ .

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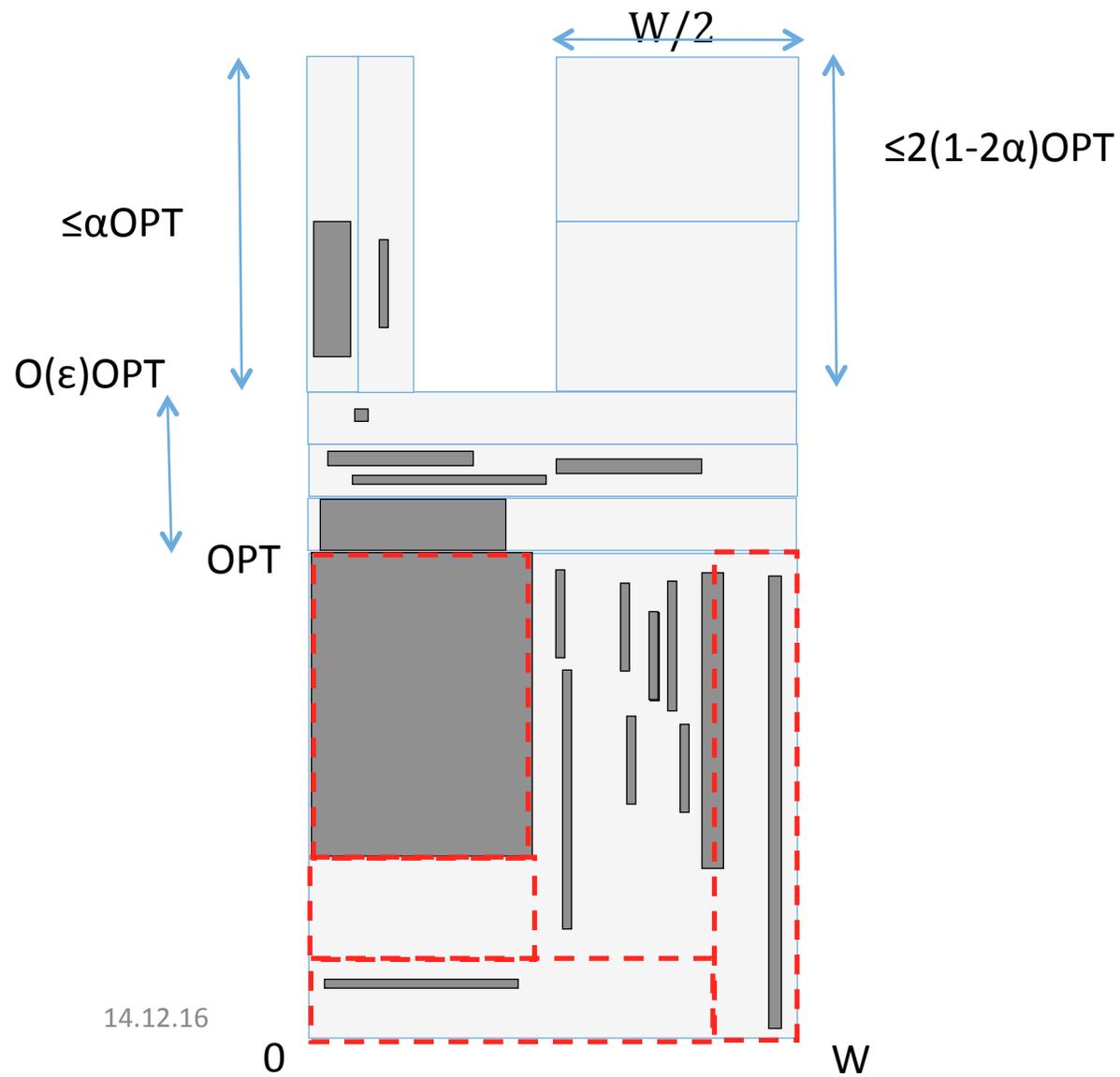
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- **Pseudo rectangle**: If at most one of the top and bottom sides of the stripe overlaps with  $T$ .

# Rearrangement of vertical box

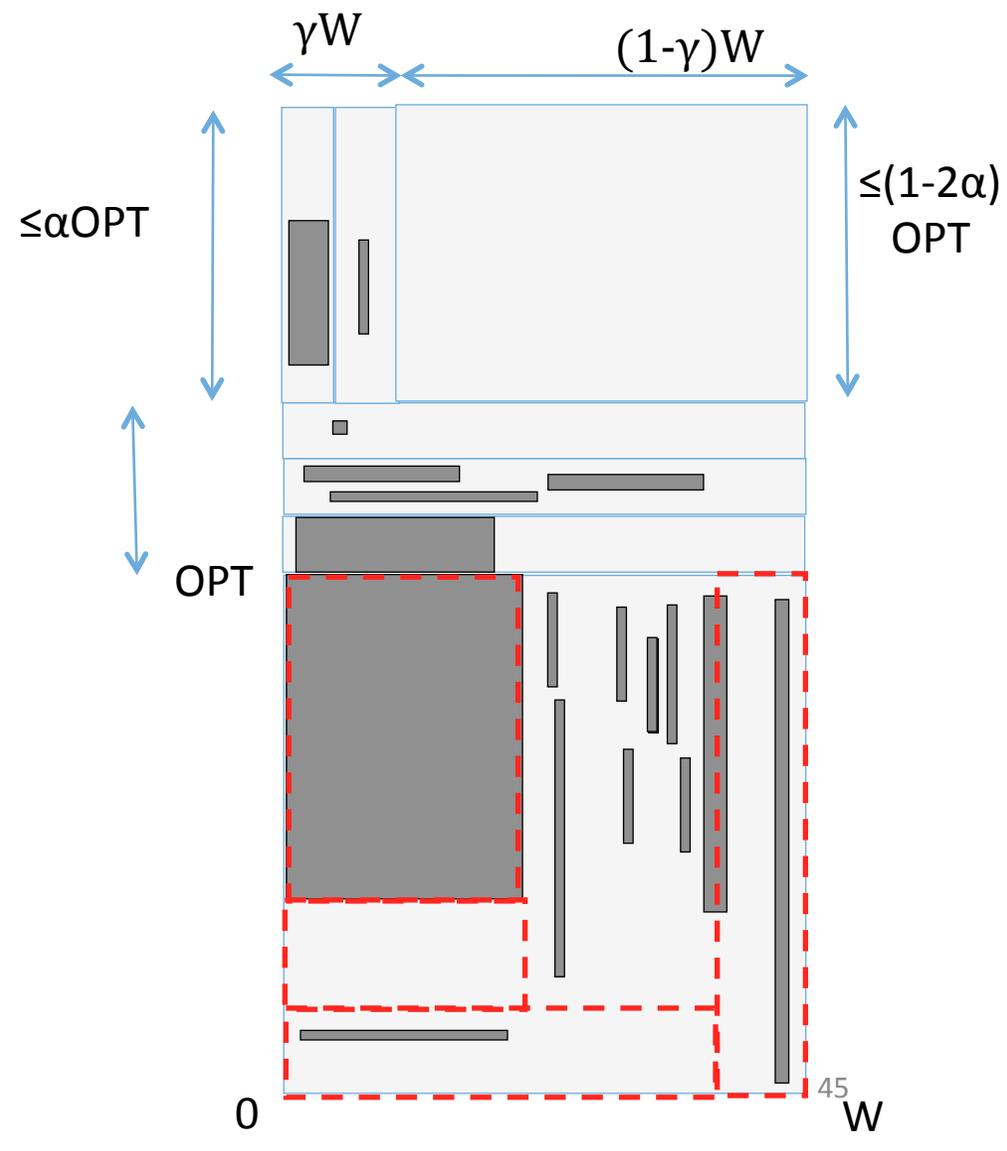


- Remove all free rectangles.
- Rearrange tall and pseudo rectangles. (same heights are grouped together as much as possible).
- Removed free rectangles are packed into **two** strips  $W/2 \times (1-2\alpha)OPT$ .

- Nadiradze-Wiese:  
For  $\alpha=2/5$ ,  $\alpha=2(1-2\alpha)$ ;  $7/5$  Approximation.

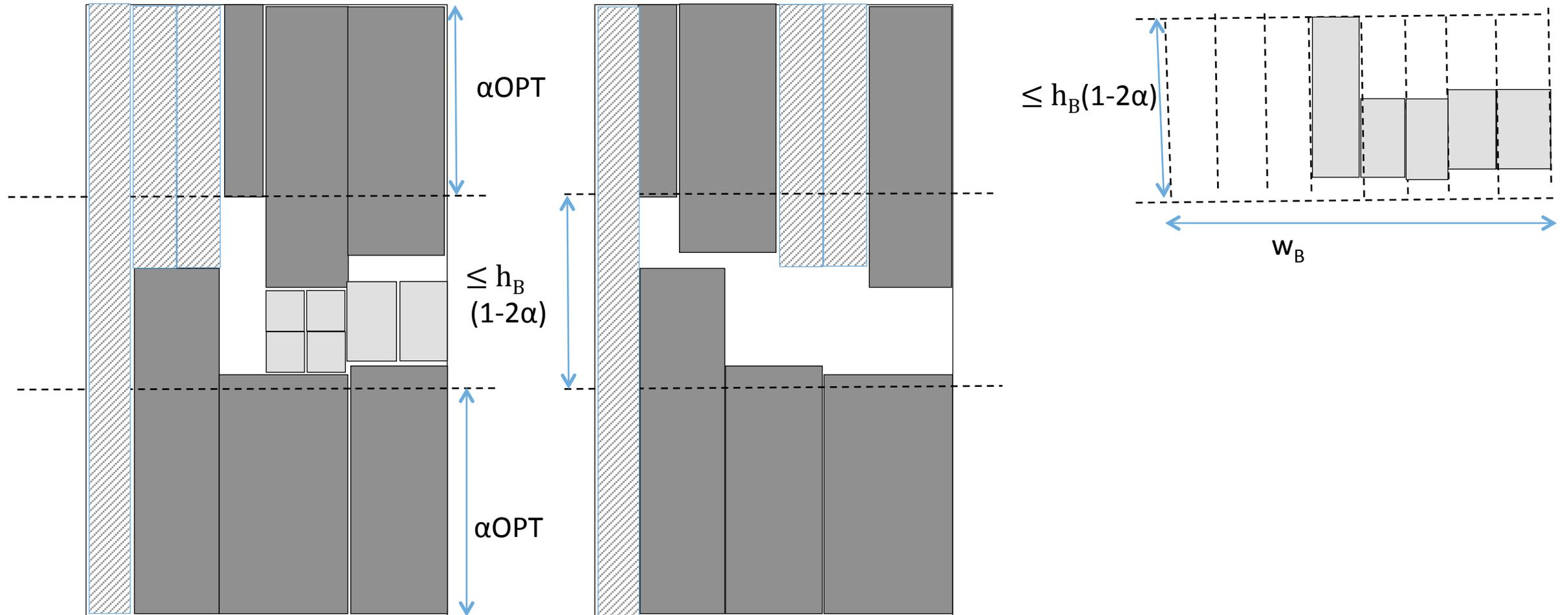


- Our packing: For  $\alpha=1/3$ ,  $\alpha=(1-2\alpha)$ ;  $4/3$  Approximation



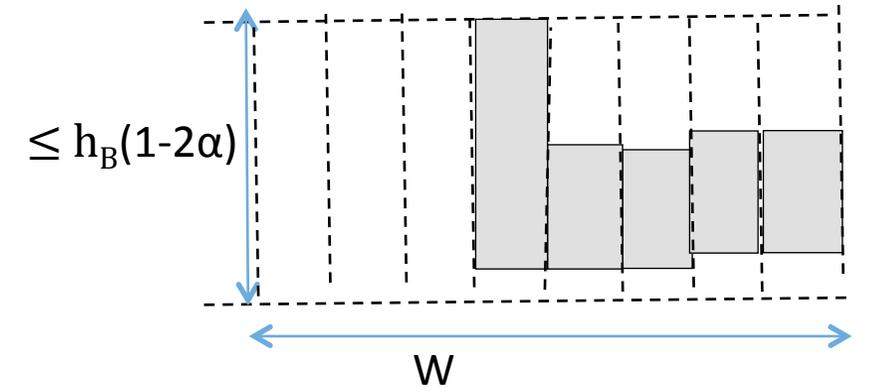
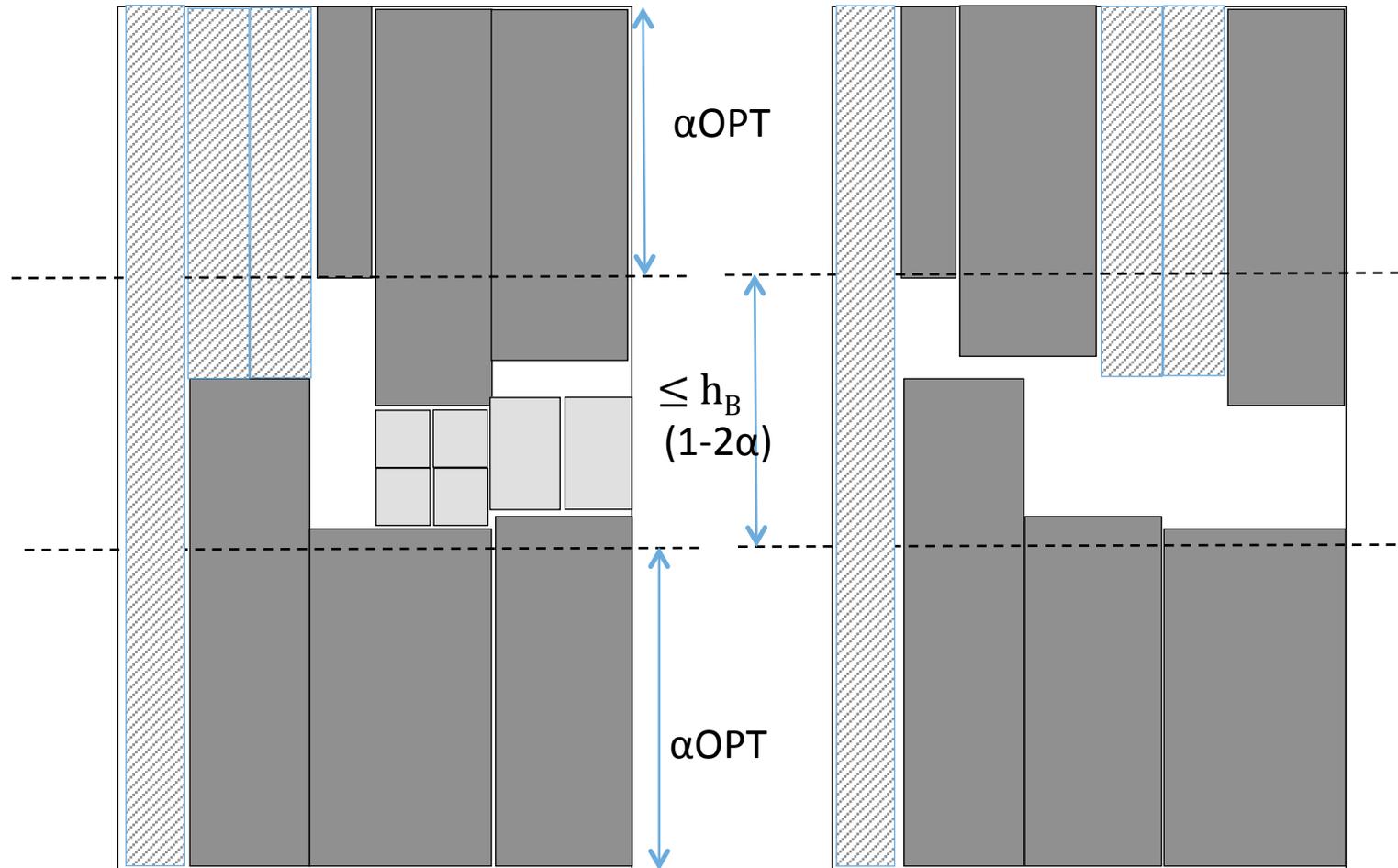
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A small fraction of free rectangles can be repacked inside vertical box.

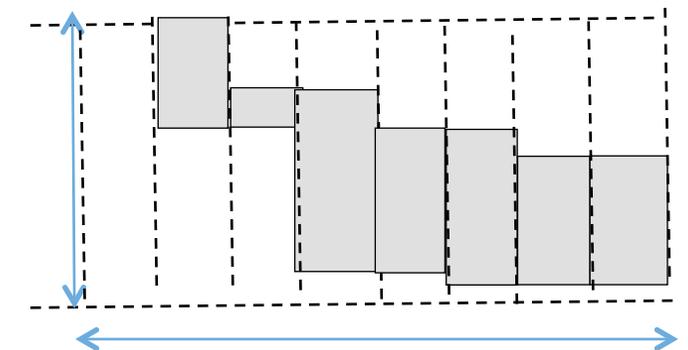


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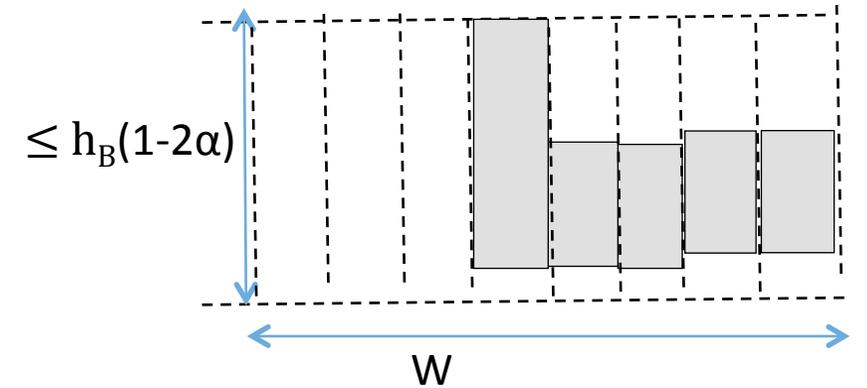
$f(i)$  = height of  $i$ 'th free rectangle.  
 $g(i)$  = height of  $i$ 'th newly free rectangle



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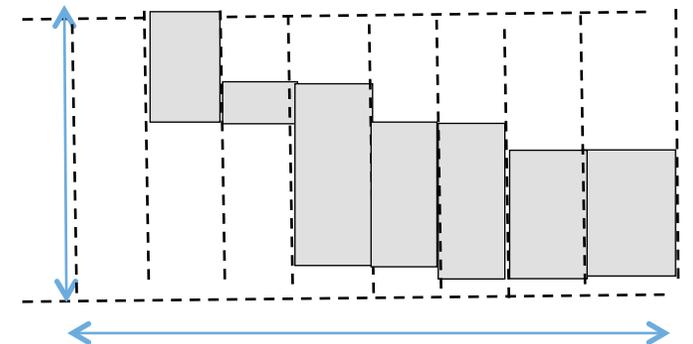
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- $\sum f(i) = \sum g(i)$ .
- If  $g(i) \geq f(i) \Rightarrow$  We can repack them.



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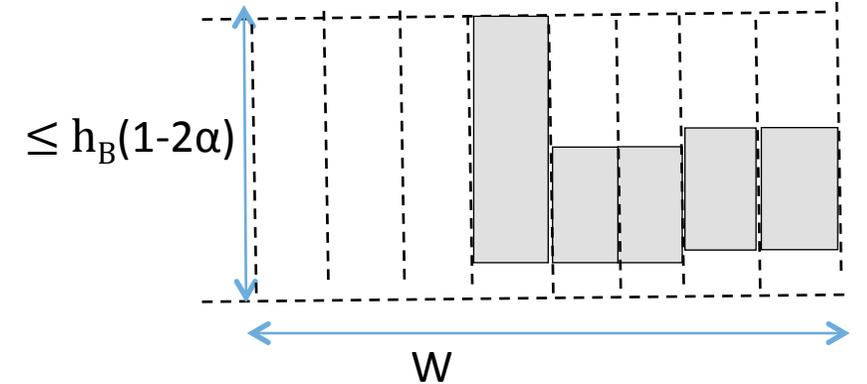
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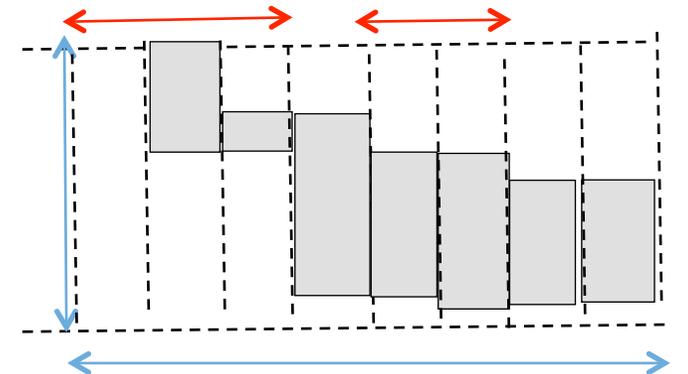
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- Let  $G$  be indices with  $g(i) \geq f(i)$ .



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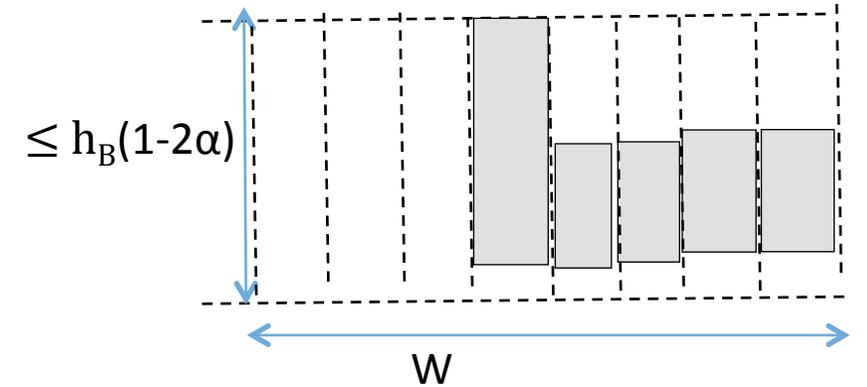
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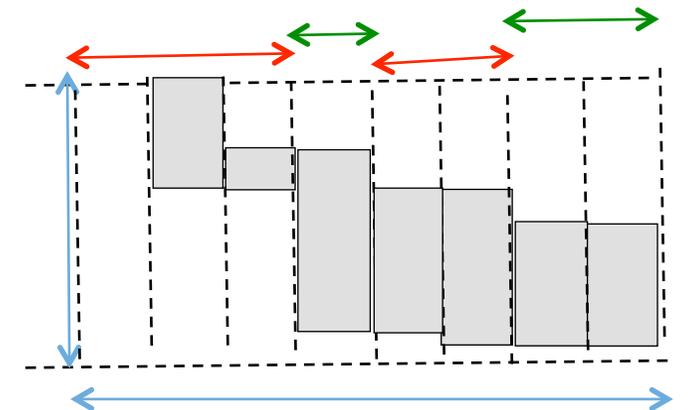
A small fraction of free rectangles can be repacked inside vertical box.

- $\sum f(i) = \sum g(i)$ .
- If  $g(i) \geq f(i) \Rightarrow$  We can repack them.
- Let  $G$  be indices with  $g(i) \geq f(i)$ .
- Let  $G'$  be indices with  $g(i) < f(i)$ .



$f(i)$ =height of  $i$ 'th free rectangle.

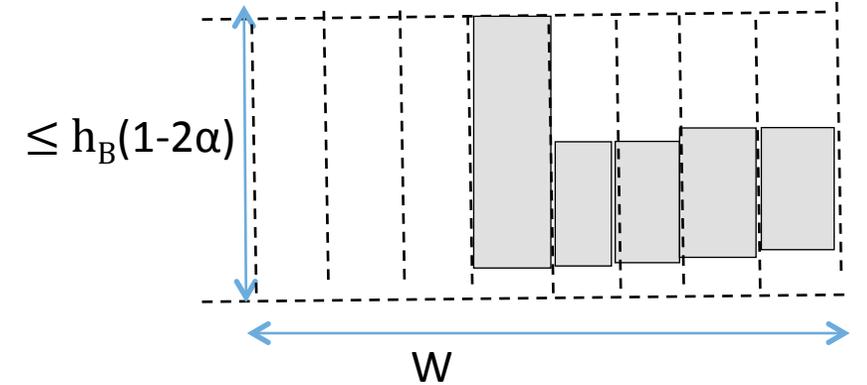
$g(i)$ =height of  $i$ 'th newly free rectangle



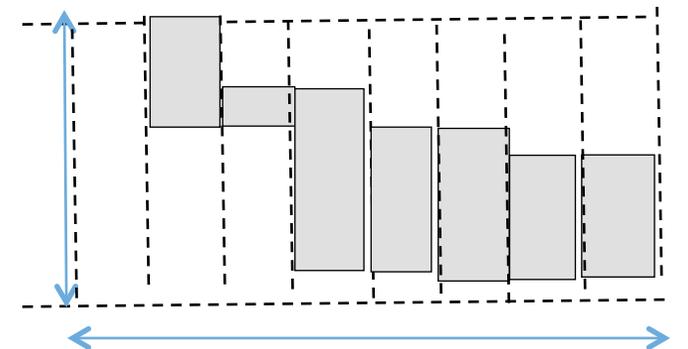
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- Let  $G$  be indices with  $g(i) \geq f(i)$ .
- Let  $G'$  be indices with  $g(i) < f(i)$ .
- $(1-2\alpha)h_B \cdot |G| \geq \sum_{\{i \text{ in } G\}} g(i) - f(i)$   
 $= \sum_{\{i \text{ in } G'\}} f(i) - g(i)$   
 $\geq (w_B - |G|) \cdot \gamma h_B$
- $|G| \geq w_B \cdot \gamma$ .

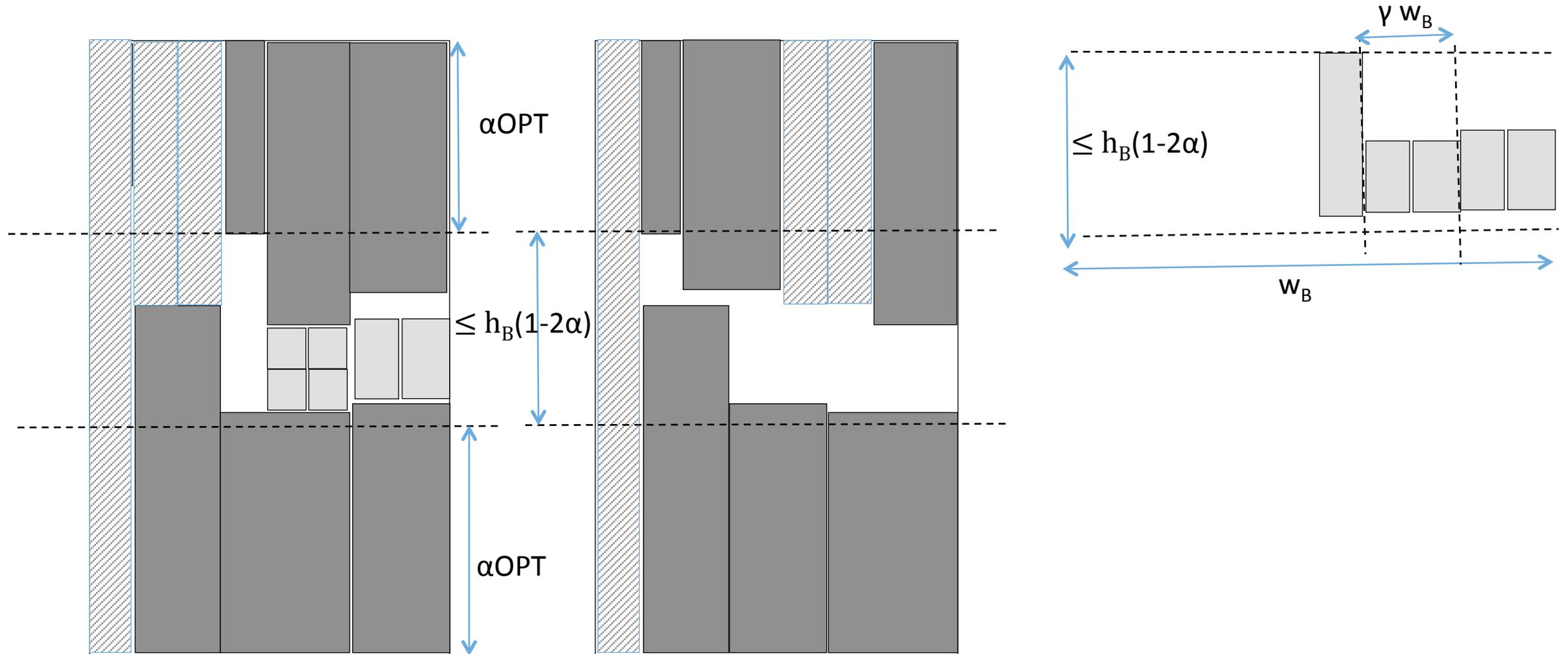


$f(i)$  = height of  $i$ 'th free rectangle.  
 $g(i)$  = height of  $i$ 'th newly free rectangle



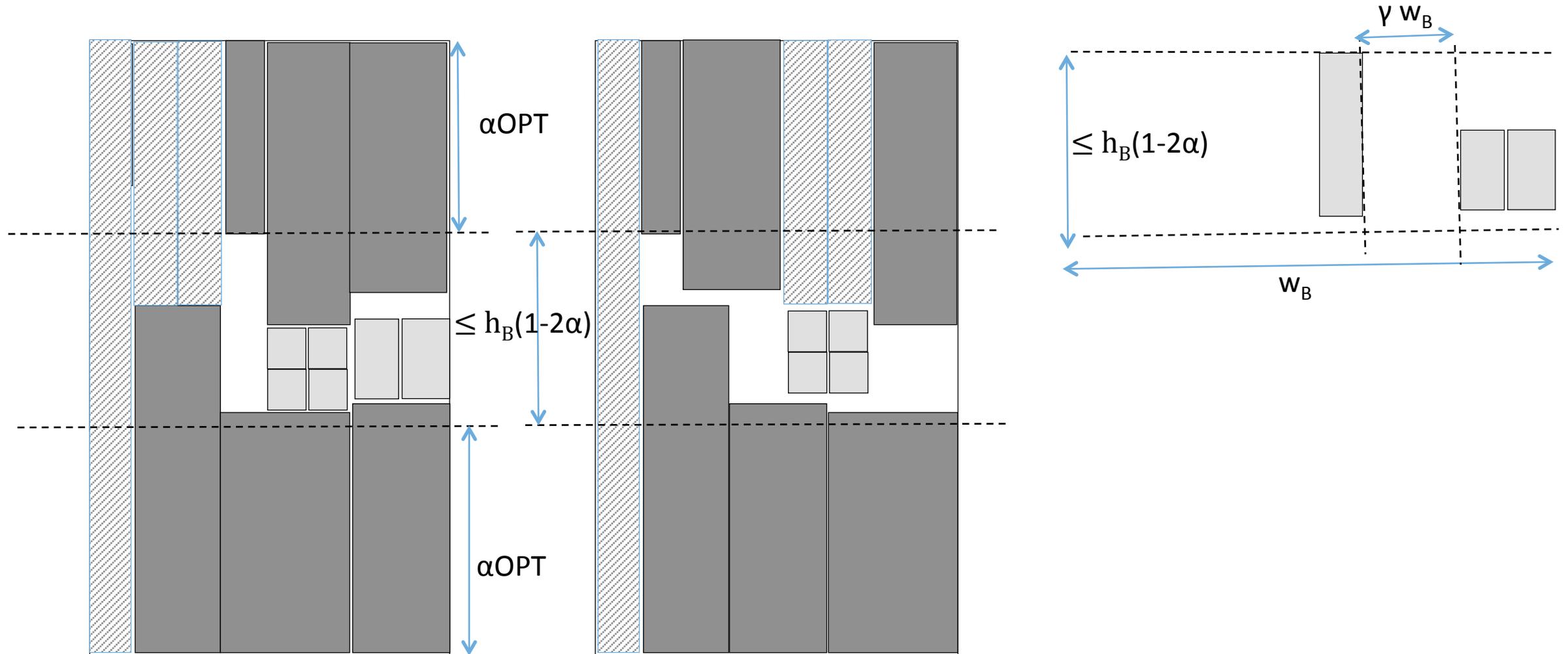
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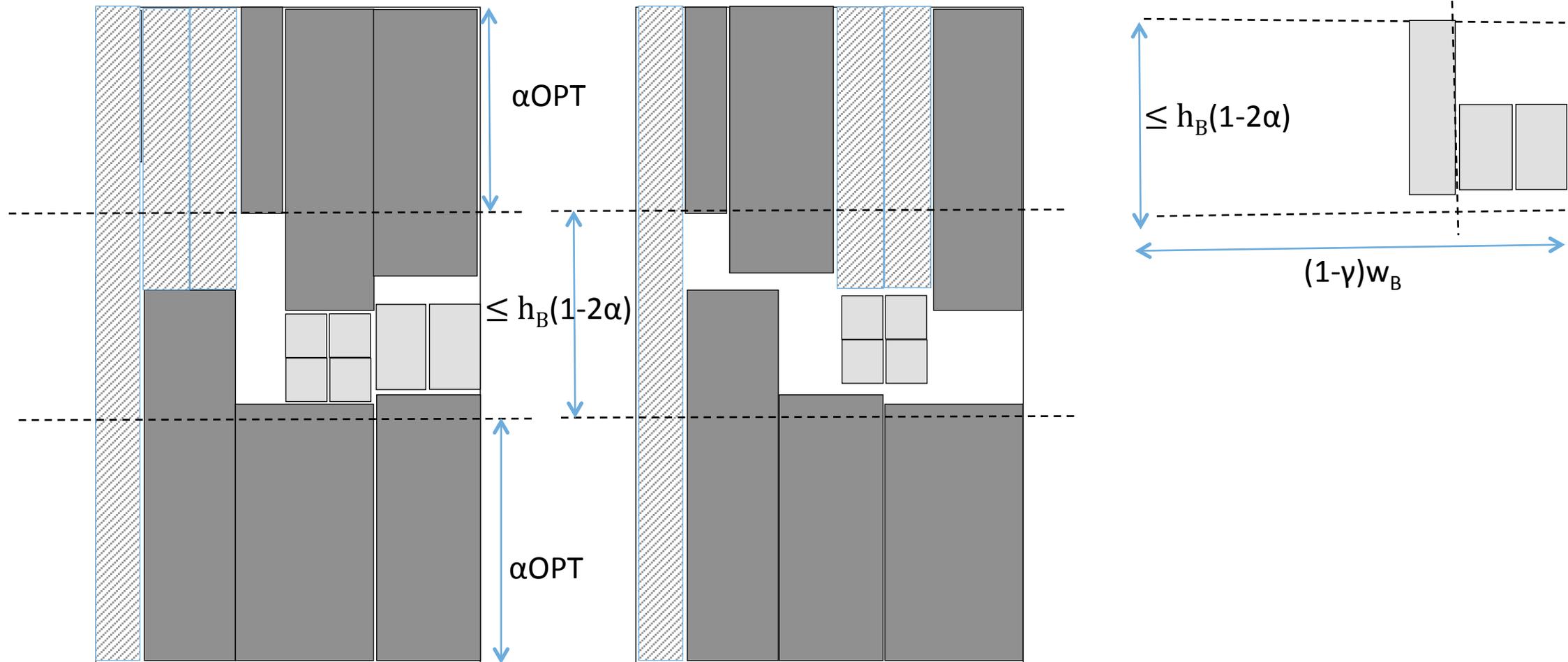
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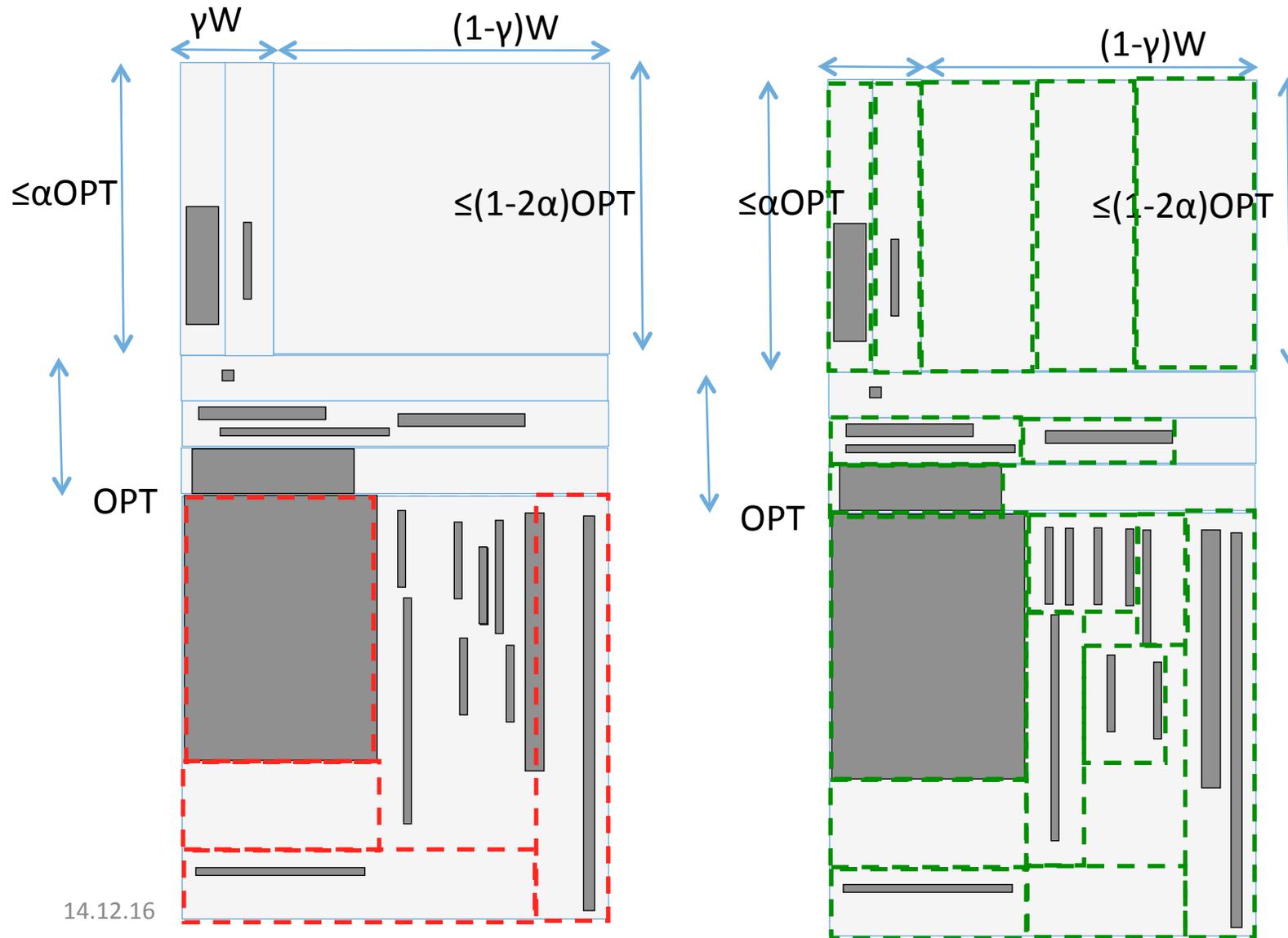


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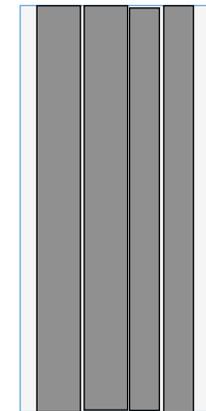
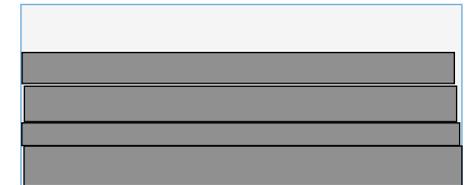
A small fraction of free rectangles can be repacked inside vertical box.



# Existence of container-based packing



- For  $\alpha=1/3$ ,  $\alpha=(1-2\alpha)$   
 $\Rightarrow 4/3$  Approximation.
- Each box can be decomposed into  $O(1)$  number of containers.



# The algorithm

- Find sizes and positions of containers in the container-based packing of all rectangles in  $LUTUVUH$  in strip height  $\leq (4/3 + \varepsilon)OPT$ .
- Pack non-small rectangles using dynamic program for Multiple knapsack.
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height

# The algorithm

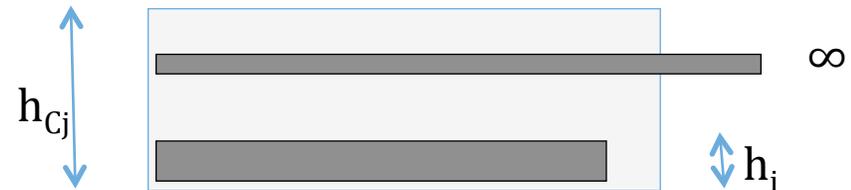
- Find sizes and positions of containers in the container-based packing of all rectangles in  $LUTUVUH$  in strip height  $\leq (4/3 + \varepsilon)OPT$ .
- Pack non-small rectangles using dynamic program for Multiple knapsack.
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height
- From Existential packing, all non-small rectangles are packed into  $O(1)$  containers.
- Each container has size and position in  $\{0, \dots, W\} \times \{0, \dots, nh_{\max}\}$ .
- So we can enumerate all possible such packings in pseudo-polytime.

# The algorithm

- Find sizes and positions of containers in the container-based packing of all rectangles in  $LUTUVH$  in strip height  $\leq (4/3 + \varepsilon)OPT$  .
- Pack non-small rectangles using dynamic program for Multiple knapsack.
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# The algorithm

- Find sizes and positions of containers in the container-based packing of all rectangles in LuTuVuH in strip height  $\leq (4/3 + \varepsilon)OPT$  .
- **Pack non-small rectangles using dynamic program for Multiple knapsack.**
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height
- For horizontal (or vertical) container  $j := (w_{Cj} \times h_{Cj})$ , create knapsack of size  $h_{Cj}$  (or  $w_{Cj}$ ).
- For rectangle  $R_i$ , define size w.r.t. knapsack  $j$ :
  - =  $h_i$  if it fits in the container.
  - =  $\infty$  otherwise.

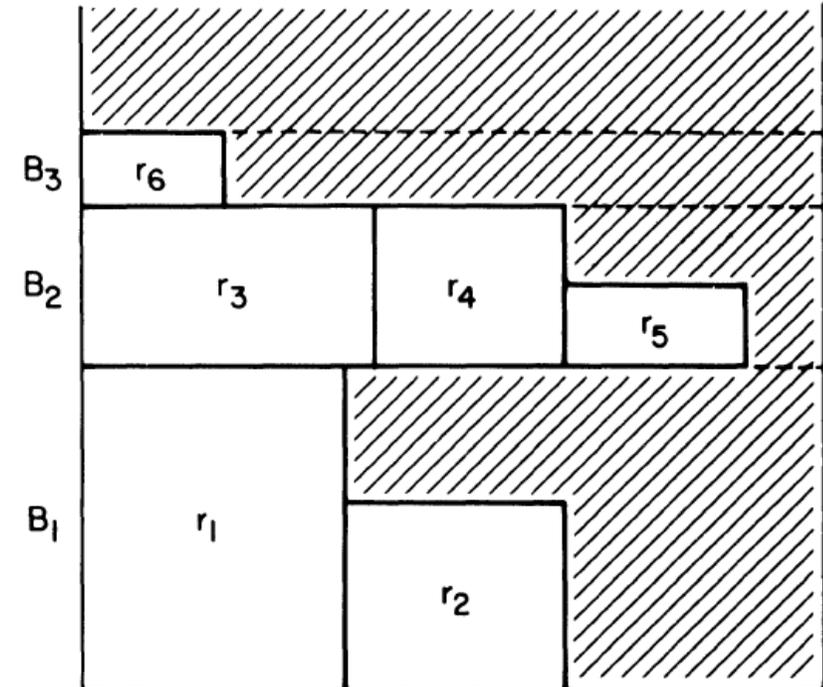


# The algorithm

- Find sizes and positions of containers in the container-based packing of all rectangles in  $L_u T_u V_u H$  in strip height  $\leq (4/3 + \varepsilon)OPT$  .
- Pack non-small rectangles using dynamic program for Multiple knapsack.
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height

# The algorithm

- Find sizes and positions of containers in the container-based packing of all rectangles in LuTuVuH in strip height  $\leq (4/3 + \varepsilon)OPT$ .
- Pack non-small rectangles using dynamic program for Multiple knapsack.
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height



# With Rotations!

- N-W Algorithm packed horizontal rectangles using an LP.  
Not clear:
  1. which rectangles are packed using the LP.
  2. which rectangles are small.

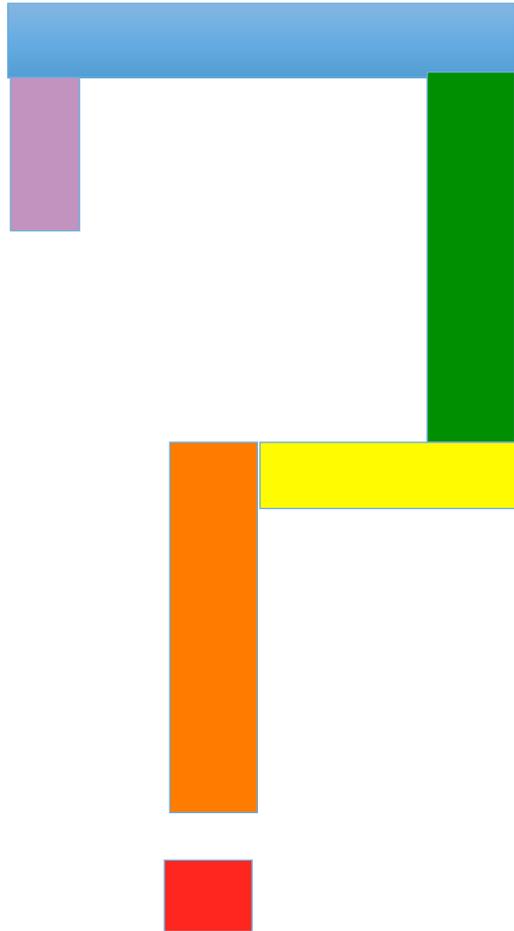
# With Rotations!

- For container-based packing, we can assign all rectangles using multiple knapsack.
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height
- For horizontal (or vertical) container  $j := (w_{Cj} \times h_{Cj})$ , create knapsack of size  $h_{Cj}$  (or  $w_{Cj}$ ).
- For rectangle  $R_i$ , define size w.r.t. horizontal knapsack  $j$ :
  - =  $\min\{h_i, w_i\}$ , if it fits both rotated and nonrotated
  - =  $h_i$ , if it fits only rotated
  - =  $w_i$ , if it fits only nonrotated
  - =  $\infty$  otherwise.
- Extra knapsack (for small rectangles) of size = area not occupied by nonsmall rectangles in OPT. If a rectangle  $R_i$  is small w.r.t. current parameters as rotated or nonrotated, its size = area of  $R_i$ .

# Open Problems

- Tight **polynomial-time** approximation for strip packing.
- Better **Pseudo-polytime** hardness/approximation algorithm.  
(Adamaszek et al., No Pseudo-polytime approximation scheme; Arxiv - Oct'16)
- Extension to  **$d$ -dimensional** strip packing.
- More related literature and open problems:  
*Approximation and Online Algorithms for Multidimensional Bin Packing: A Survey*, Christensen-K.-Pokutta-Tetali.

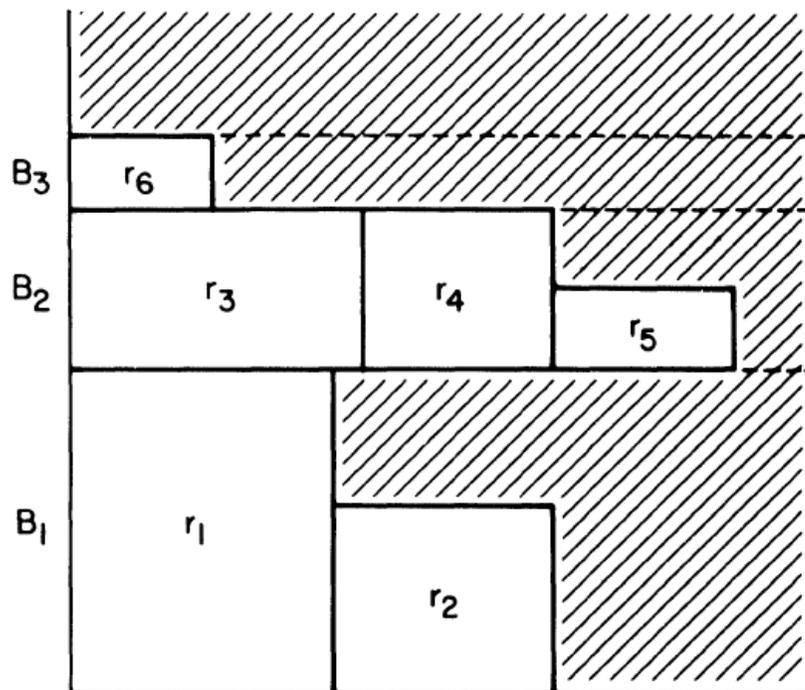
# Questions!





# Additional Slides

# Next Fit Decreasing Height(NFDH)

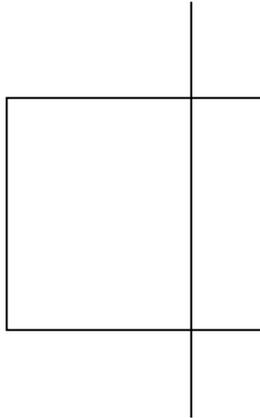


- Considered items in a non-increasing order of height and greedily packs items into shelves.
- Shelf is a row of items having their bases on a line that is either the base of the bin or the line drawn at the top of the highest item packed in the shelf below.
- items are packed left-justified starting from bottom-left corner of the bin, until the next item does not fit. Then the shelf is closed and the next item is used to define a new shelf whose base touches the tallest(left most) item of the previous shelf.
- If the shelf does not fit into the bin, the bin is closed and a new bin is opened. The procedure continues till all the items are packed.

- If we pack small rectangles ( $w, h \leq \delta$ ) using NFDH into B, total  $w \cdot h - (w+h) \cdot \delta$  area can be packed.

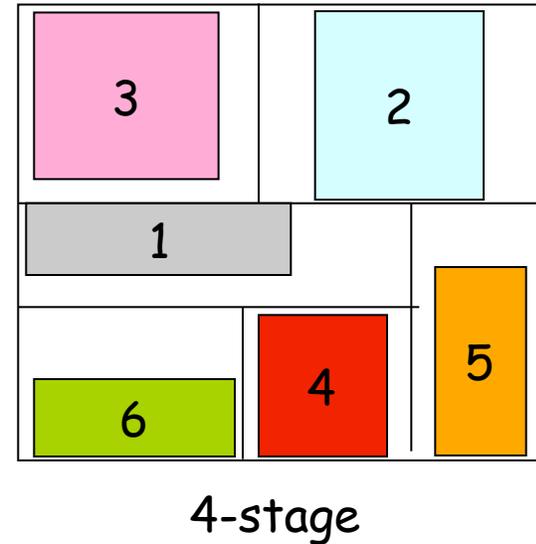
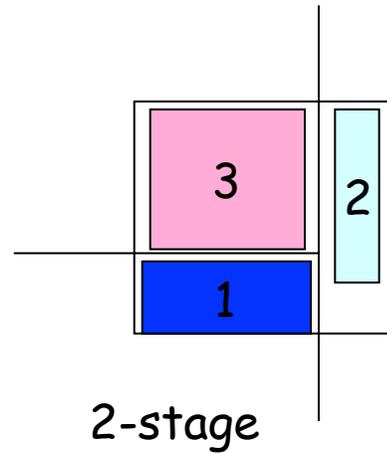
# Guillotine Bin Packing

Guillotine Cut: Edge to Edge cut across a bin



# Guillotine Bin Packing

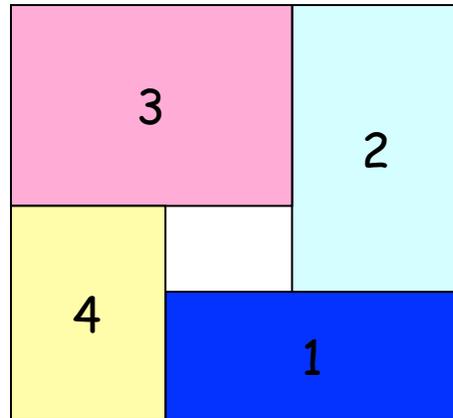
Guillotine Cut: Edge to Edge cut across a bin



k-stage Guillotine Packing [Gilmore, Gomory]

k recursive levels of guillotine cuts to recover all items.

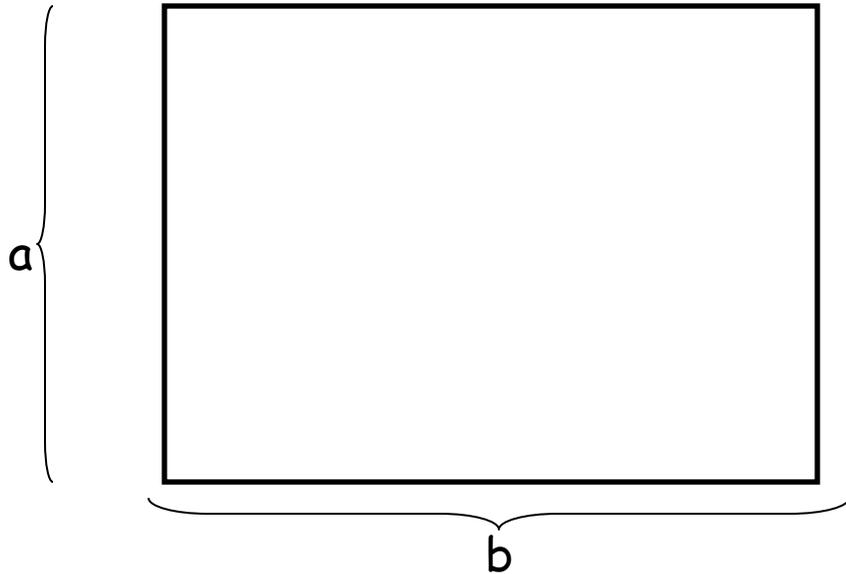
# Non-guillotine Packing



# Shelf Packing

Given a rectangular region of size  $a \times b$

**Goal:** Pack squares of length  $s$

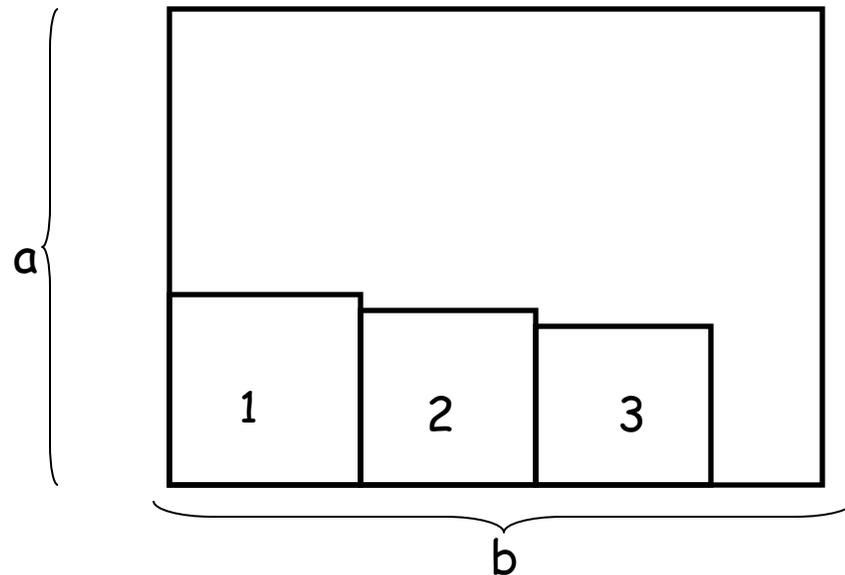


# Shelf Packing

Given a rectangular region of size  $a \times b$

Goal: Pack squares of length  $\leq s$

Algorithm: Decreasing size shelf packing.



Take squares in decreasing size

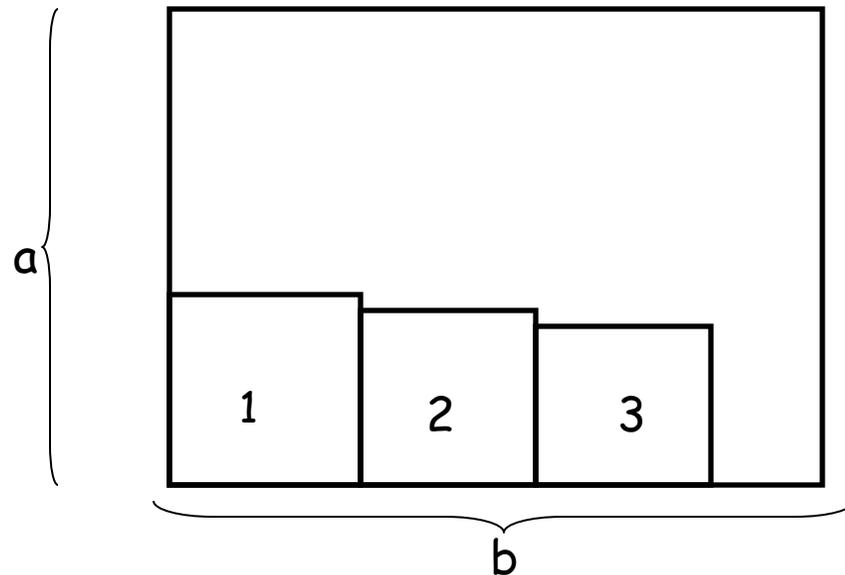
- Place sequentially

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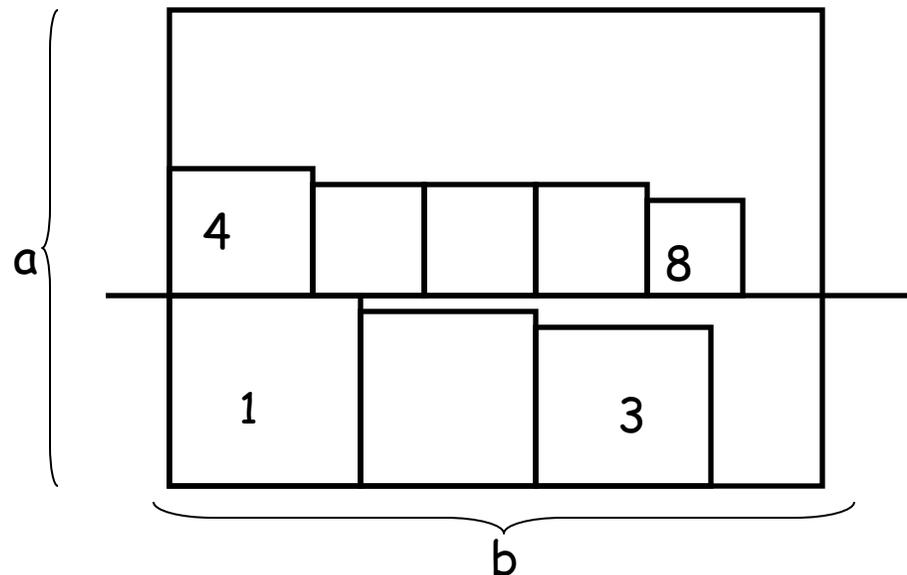
- Place sequentially
- If next does not fit, open a new shelf

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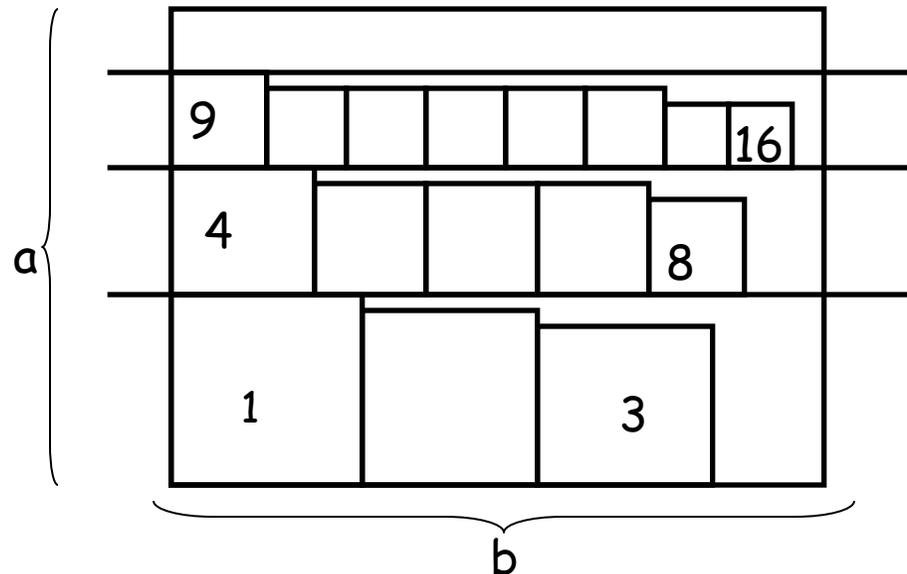
- Place sequentially
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# Shelf Packing

Given a rectangular region of size  $a \times b$

Goal: Pack squares of length  $\cdot s$

Algorithm: Decreasing size shelf packing.



Take squares in decreasing size

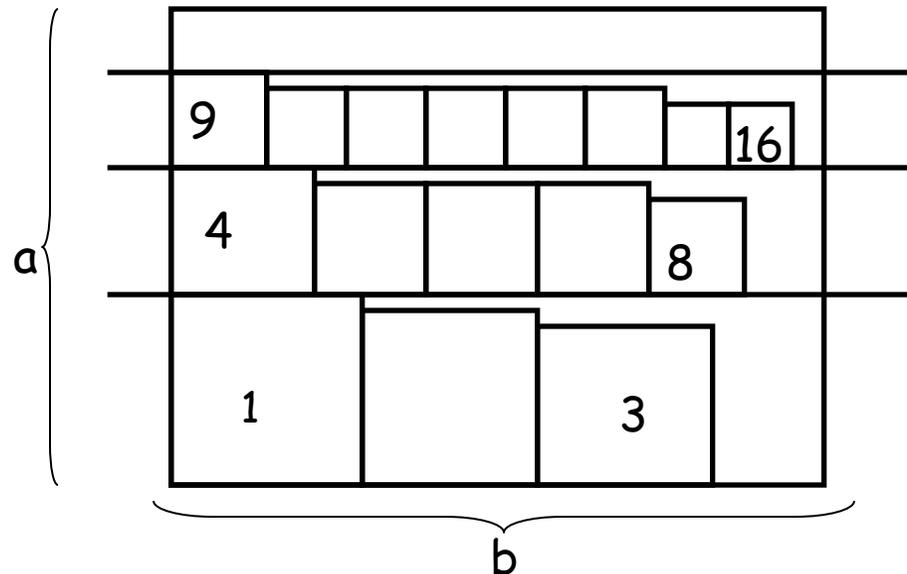
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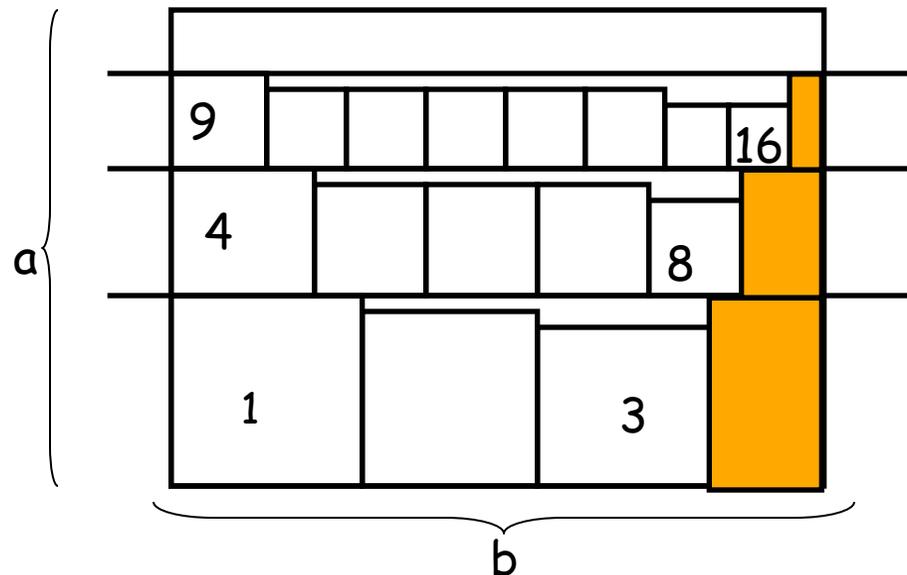
Wasted Space  $\cdot s(a+b)$

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Wasted Space  $\cdot s(a+b)$

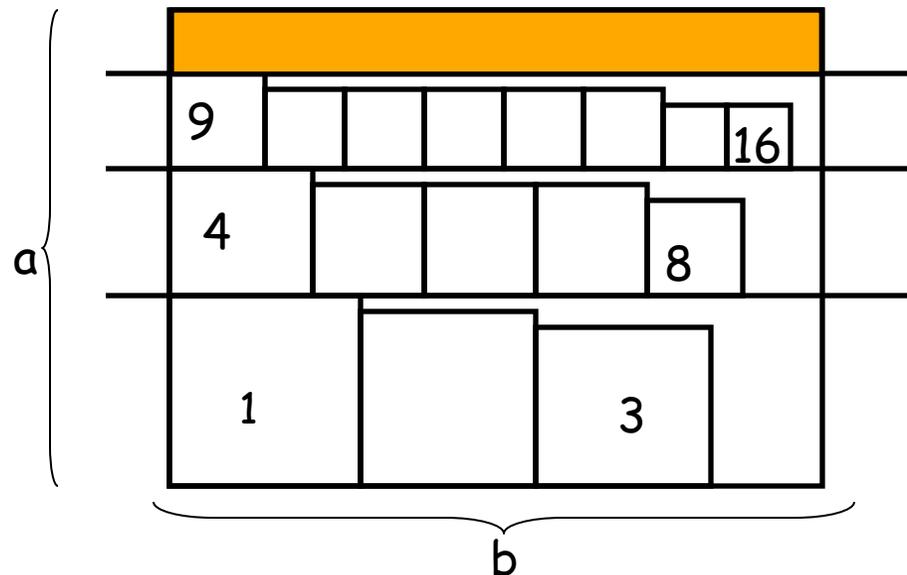
Right side: At most  $s \leq a$

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Wasted Space  $\cdot s(a+b)$

Right side: At most  $s \times a$

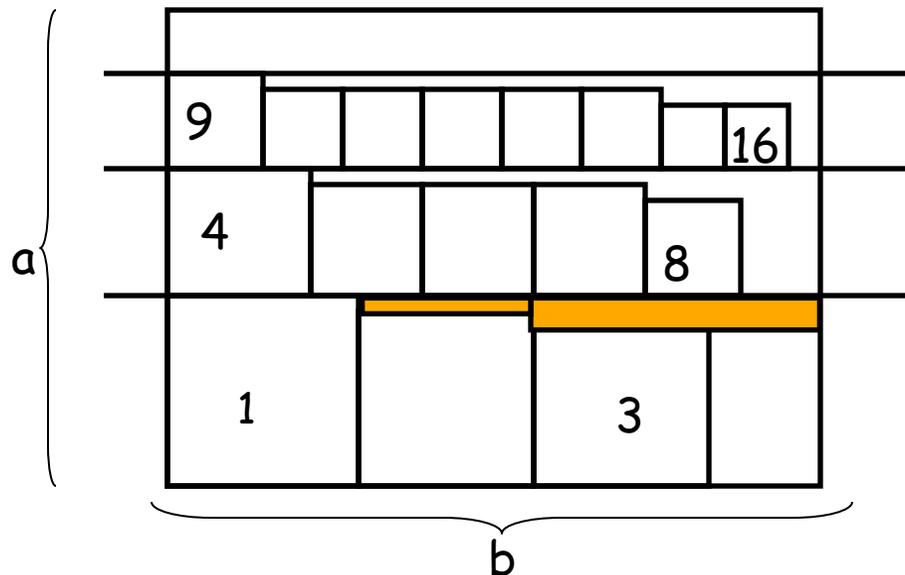
Top  $\cdot s_{16} b$

# Shelf Packing

Given a rectangular region of size  $a \times b$

Goal: Pack squares of length  $\cdot s$

Algorithm: Decreasing size shelf packing.



Wasted Space  $\cdot s(a+b)$

Right side: At most  $s \times a$   
Top  $\cdot s_{16} b$

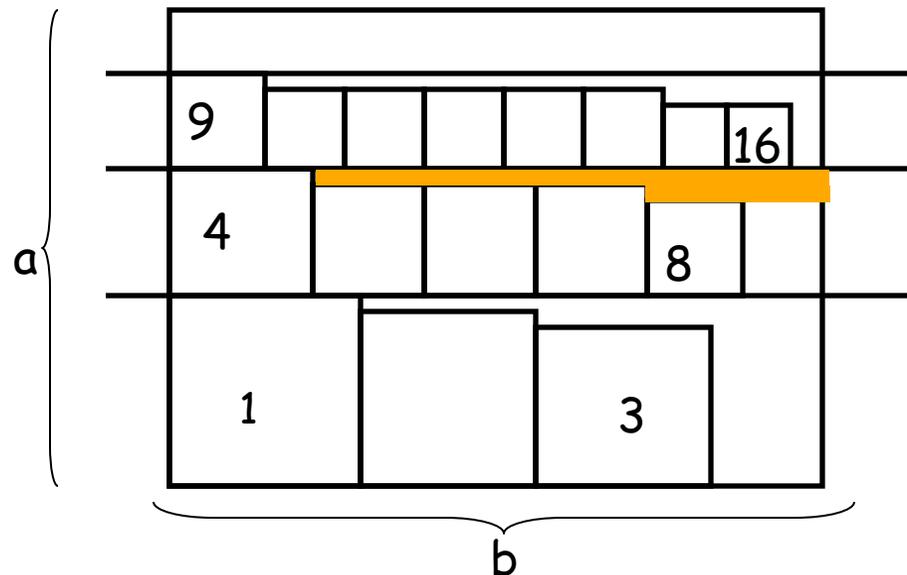
Shelf 1:  $(s_1 - s_3) b$

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Given a rectangular region of size  $a \times b$

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Algorithm: Decreasing size shelf packing.



Wasted Space  $\cdot s(a+b)$

Right side: At most  $s \times a$

Top  $\cdot s_{16} b$

Shelf 1:  $(s_1 - s_3) b$

Shelf 2:  $(s_4 - s_8) b$

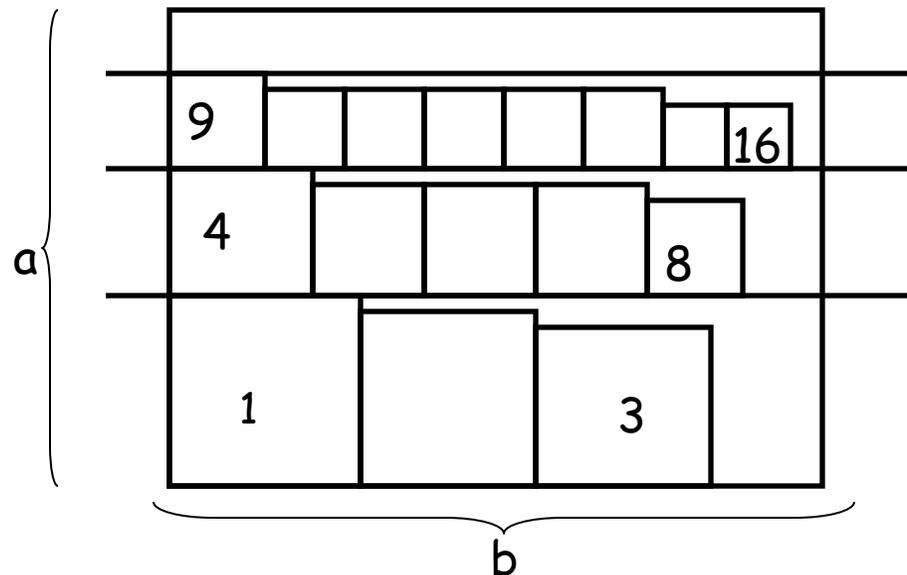
...

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Wasted Space  $\cdot s(a+b)$

Right side: At most  $s \times a$

Top  $\cdot s_{16} b$

Shelf 1:  $(s_1 - s_3) b$

Shelf 2:  $(s_4 - s_8) b$

....

Adding all, at most  $(s_1 - s_{16}) b$