

Improved Pseudo-Polynomial-Time Approximation for Strip Packing

Waldo Galvez, Salvatore Ingala, Fabrizio Grandoni,
Arindam Khan

IDSIA, USI-SUPSI, Lugano, Switzerland

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$R_2 (3,2)$

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$R_4 (1,3)$

$R_5 (3,1)$

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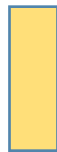
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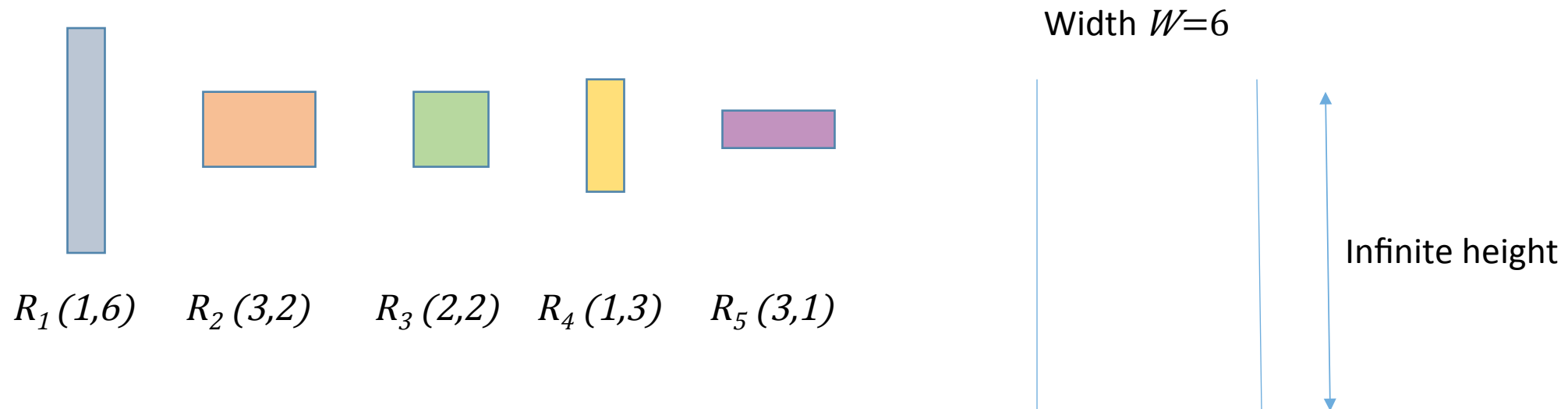


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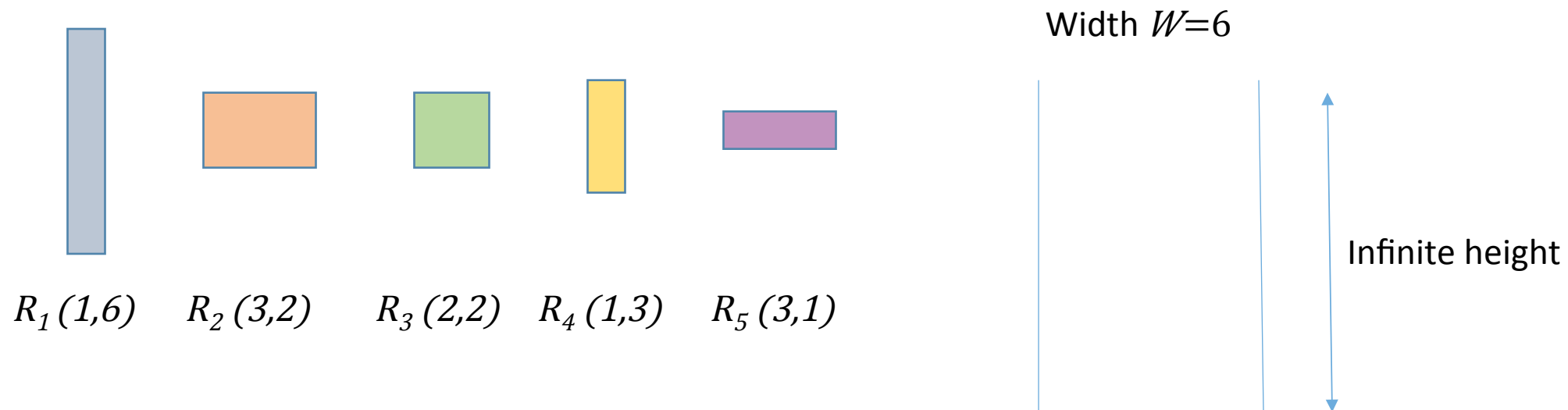
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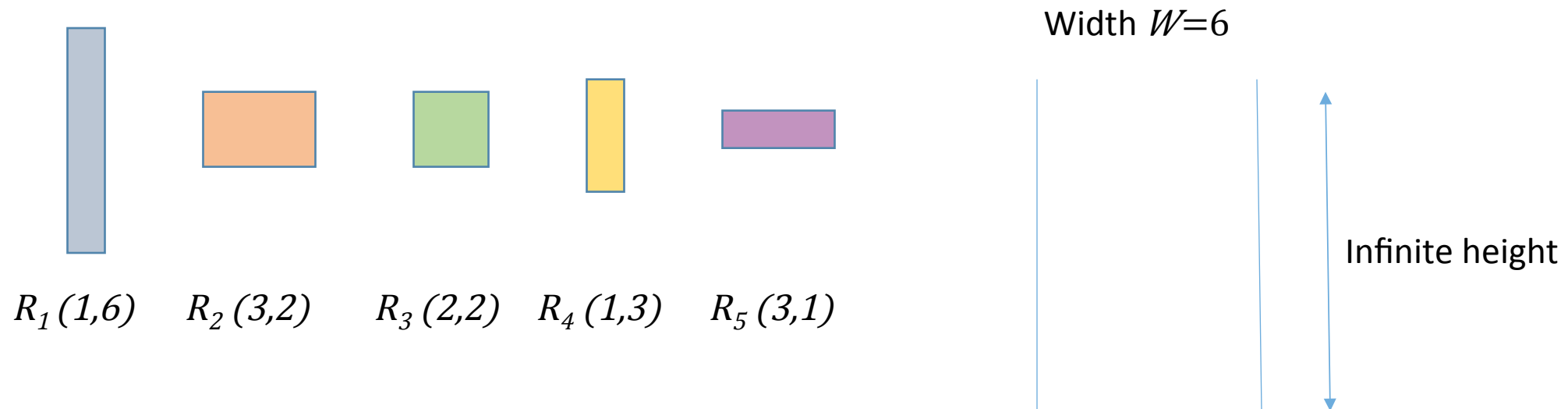
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- **Axis-parallel** non-overlapping packing.



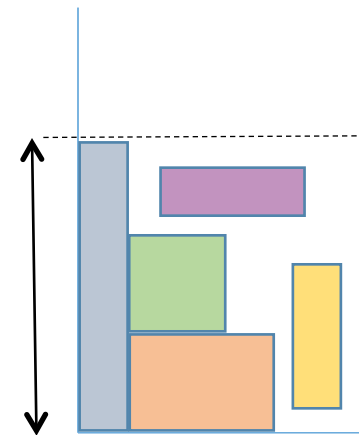
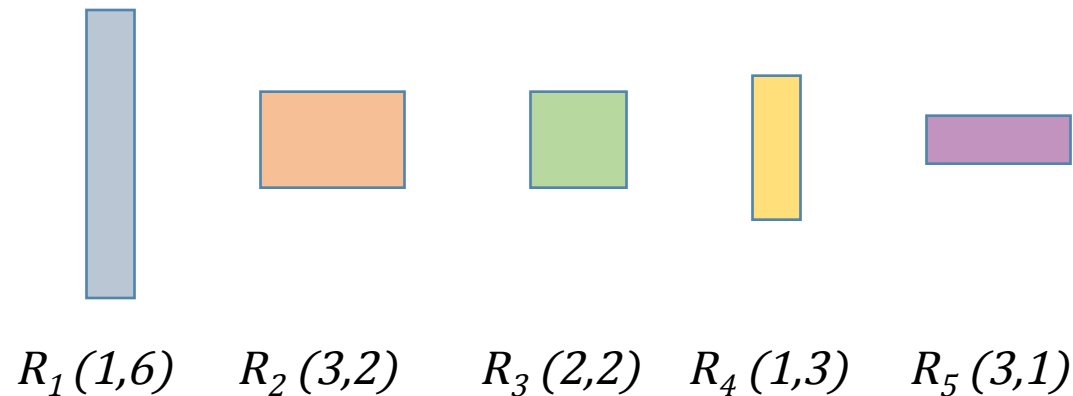
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Variant 1:
**No rotations
are allowed!**

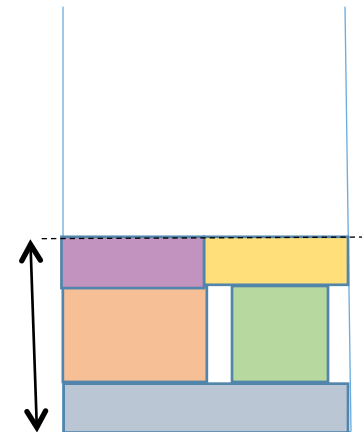
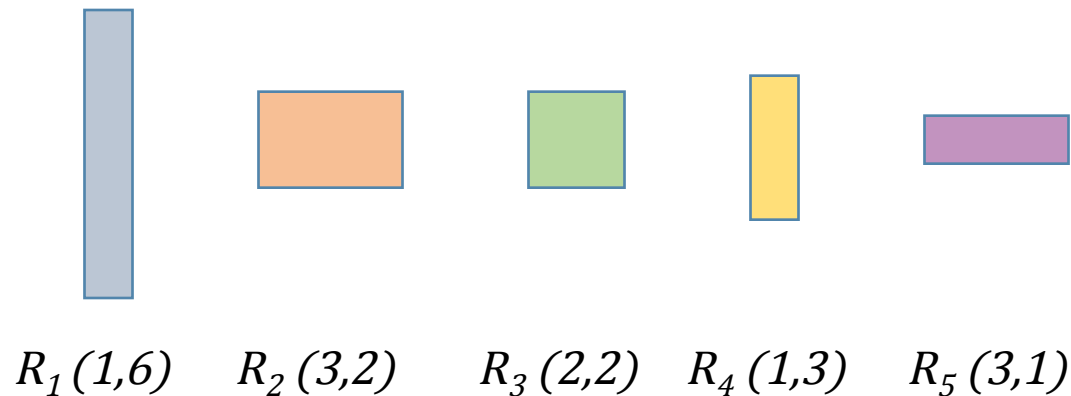
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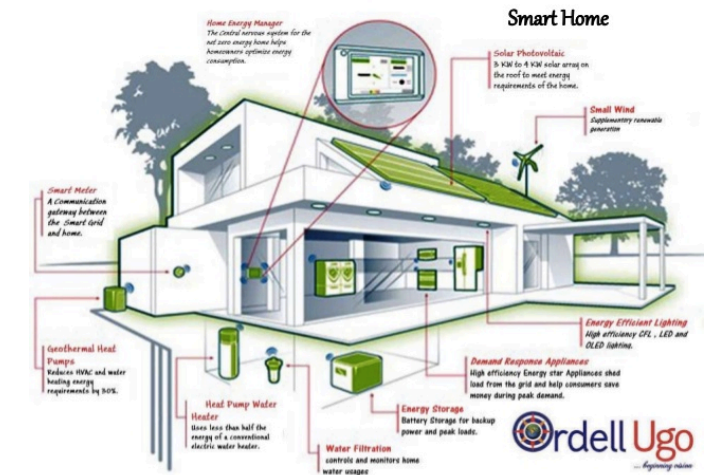
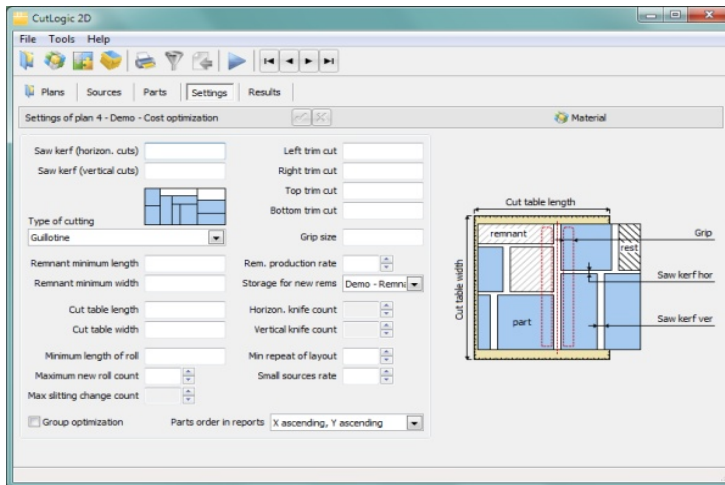
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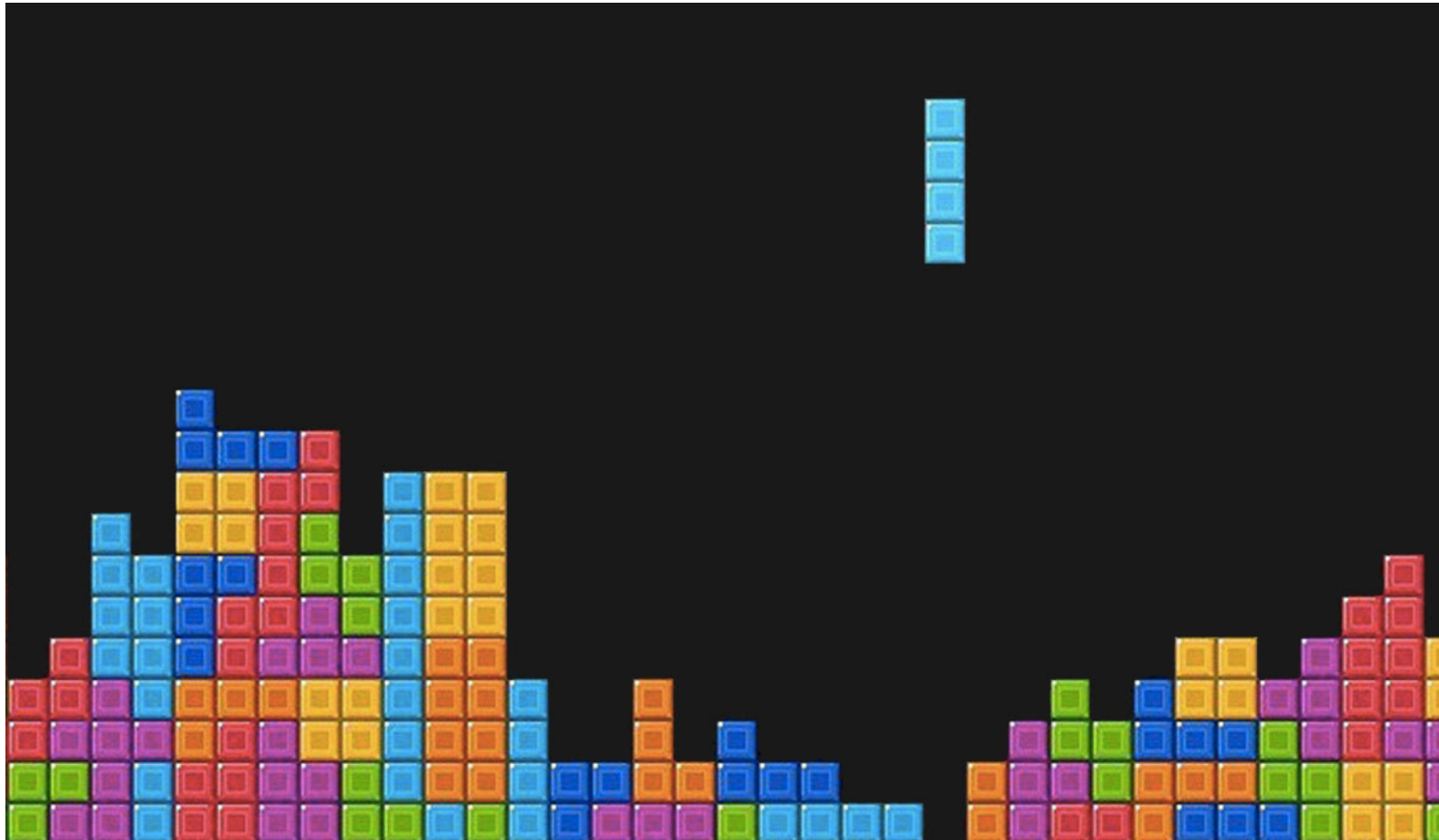
Variant 2:
**90° rotations
are allowed!**

Applications:

- Cutting stock: cloth cutting, steel/wood cutting.
- Logistics and Scheduling: memory allocation , truck loading, palletization by robots.
- Recent applications in peak demand reduction in smart-grids.

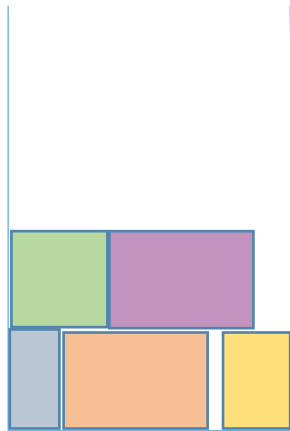


Strip packing is fun!



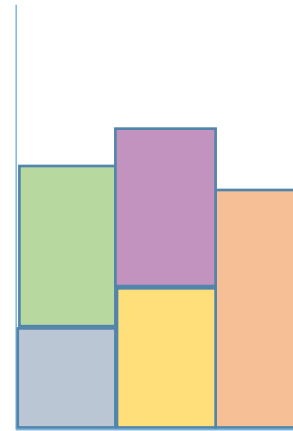
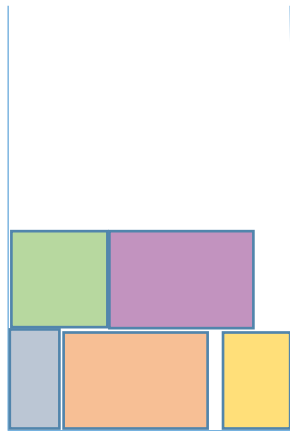
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- Strip Packing **generalizes**
 - bin packing (when all rectangles have same height),
 - **makespan minimization** (when all rectangles have **same width**).



Related Problems.

- Strip Packing generalizes
 - bin packing (when all rectangles have same height)
 - makespan minimization (when all rectangles have same width)
- Strip Packing is **NP-hard**.

Hardness.

- Strip Packing generalizes
 - bin packing (when all rectangles have same height)
 - makespan minimization (when all rectangles have same width)
- Strip Packing is NP-hard.
- Reduction from **Partition** Problem:
 - Can not distinguish in polytime if needs height 2 or 3.
 - Polytime approximation hardness of **3/2** (unless $\mathcal{P}=\mathcal{NP}$).

Hardness.

- Strip Packing generalizes
 - bin packing (when all rectangles have same height)
 - makespan minimization (when all rectangles have same width)
- Strip Packing is NP-hard.
- Reduction from Partition Problem:
 - Can not distinguish in polytime if needs height 2 or 3.
 - Polytime approximation hardness of $3/2$ (unless $\mathcal{P}=\mathcal{NP}$).
- **Strongly NP-hard**: Can not be solved exactly in pseudo-polynomial time (in time $\text{poly}(W, h_{max}, n)$ where max rectangle height is h_{max}).
 - No other explicit hardness was known for pseudo-polynomial time.

A tale of approximability.

- Without rotations.
- 2.7 -appx. [First-Fit-Decreasing-Height, Coffman-Garey-Johnson-Tarjan '80] ...
- $5/3+\epsilon$ [Harren-Jansen-Pradel-vanStee '14]
- **Asymptotic PTAS** [Kenyon-Remila '00] – Good when OPT is large!
- Pseudo-polytime $(1.4+\epsilon)$ -appx [**Nadiradze-Wiese SODA '16**]
- With Rotations.
- **Asymptotic PTAS** [Jansen-vanStee '05]

Our Results:

- Algorithm:
- $(4/3+\varepsilon)$ -approximation algorithm in $\text{poly}(W,n)$ time.
 - For both the cases without and with 90° rotations.
- A simple *container-based* packing.
- Breaks the barrier of $3/2$ for the case with rotations.
- Pushes present techniques to its limits.

Rest of the talk:

- 1. Existence of a *structured* packing of all rectangles in the strip with height $\leq (4/3 + \varepsilon)OPT$.

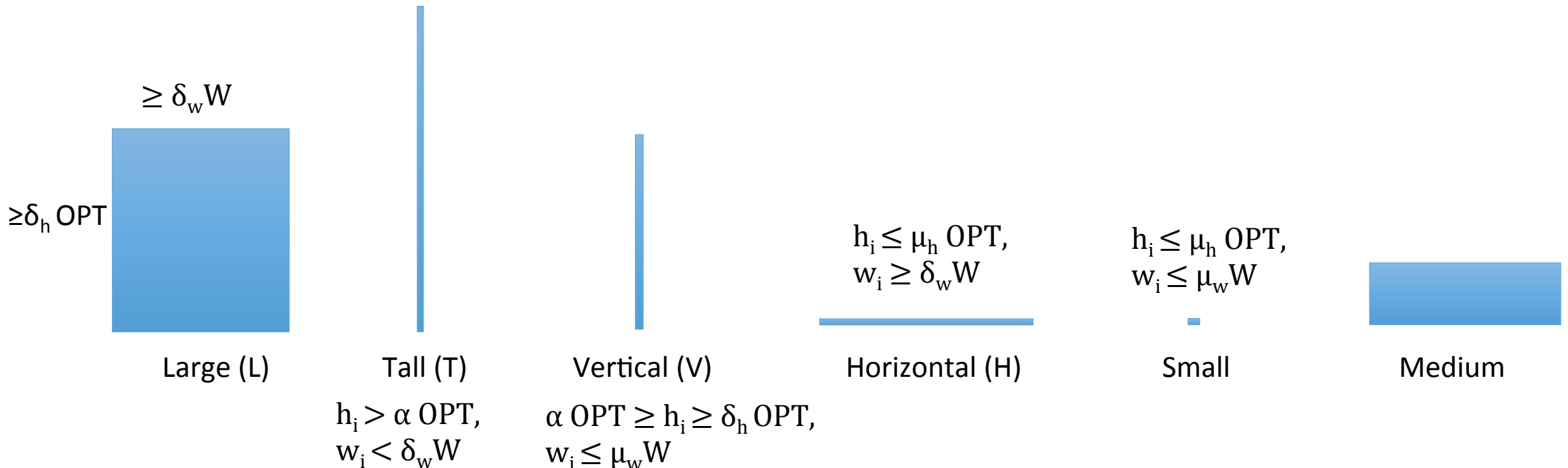
Rest of the talk:

- 1. Existence of a *structured* packing of all rectangles in the strip with height $\leq (4/3 + \varepsilon)OPT$.
- 2. The algorithm finds the best structured packing in time *poly*(W, n) using a dynamic program.

Existence of a structured packing.

- Classification of rectangles.

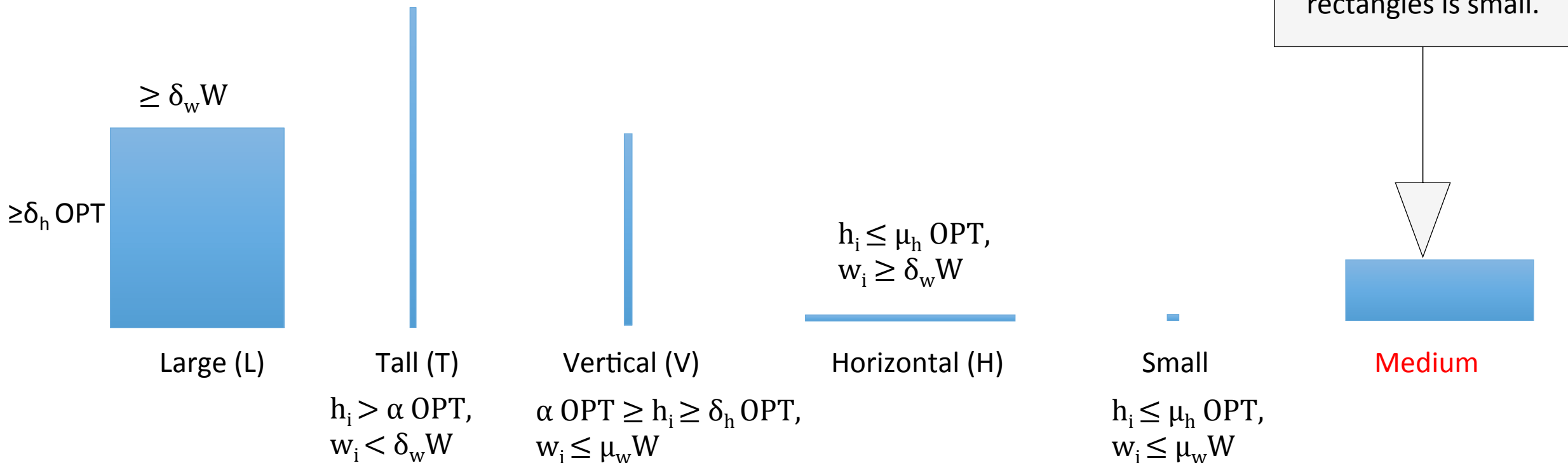
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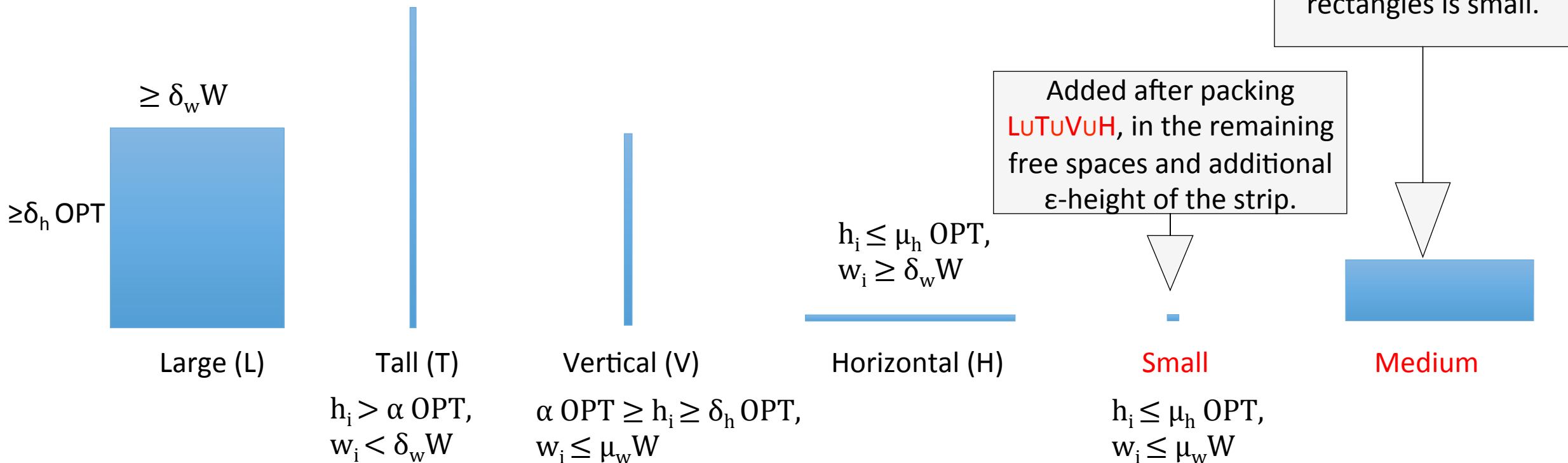
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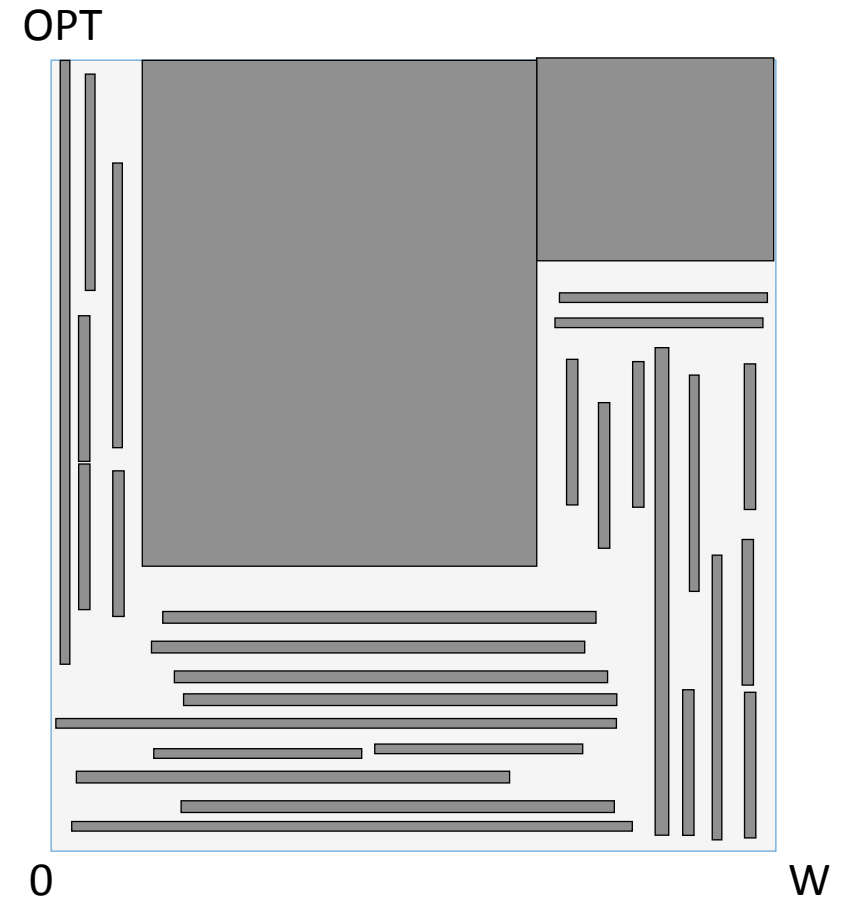
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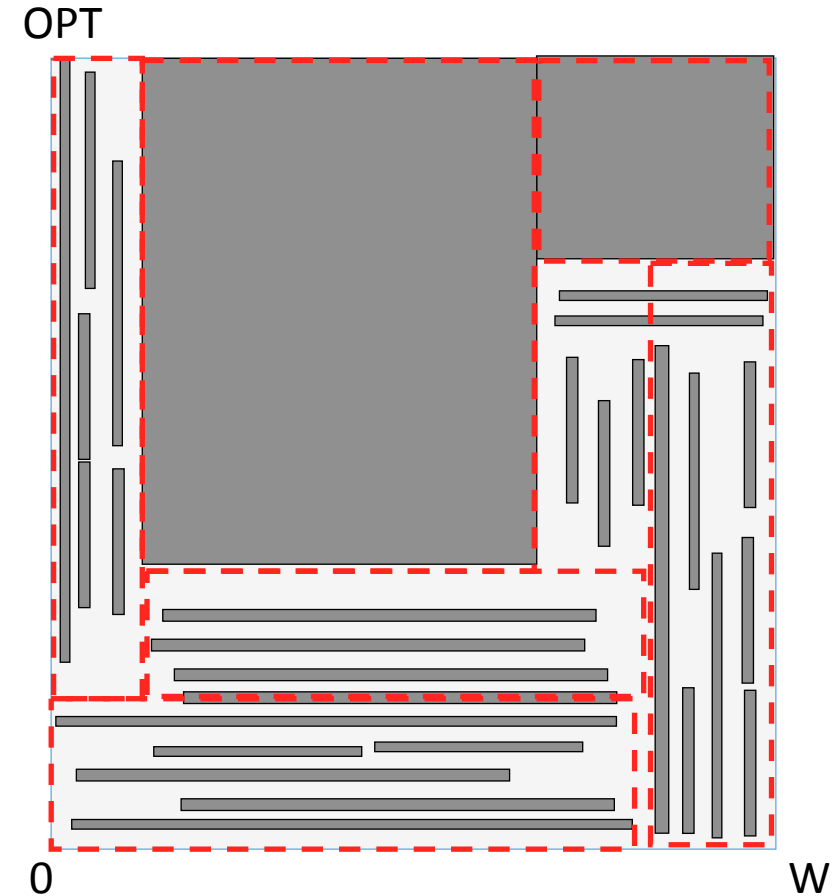


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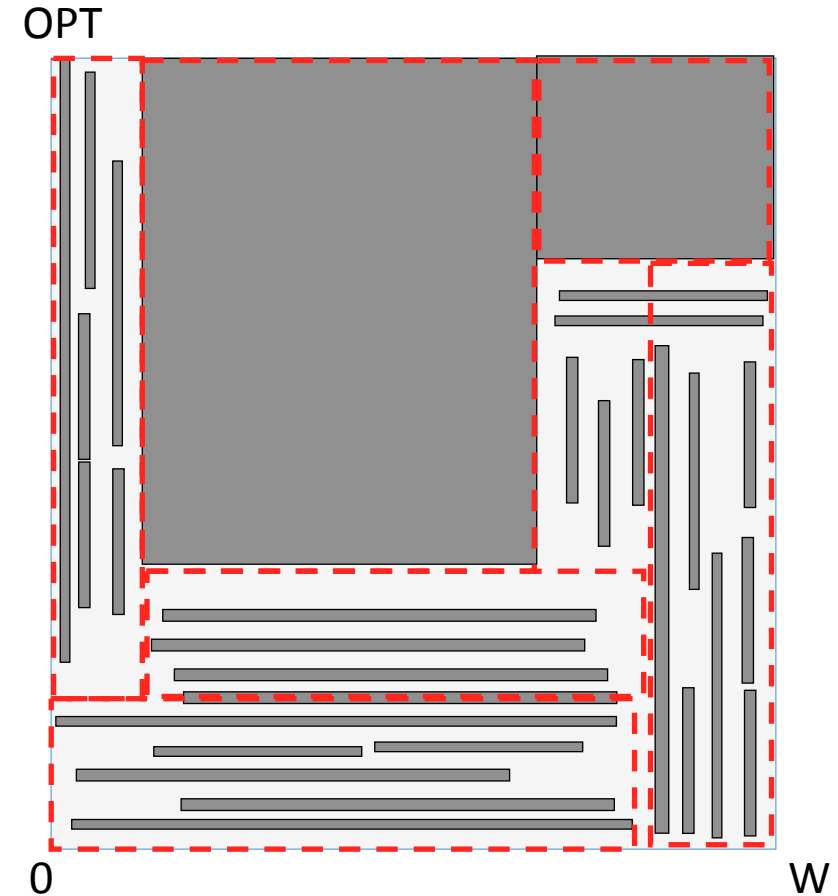
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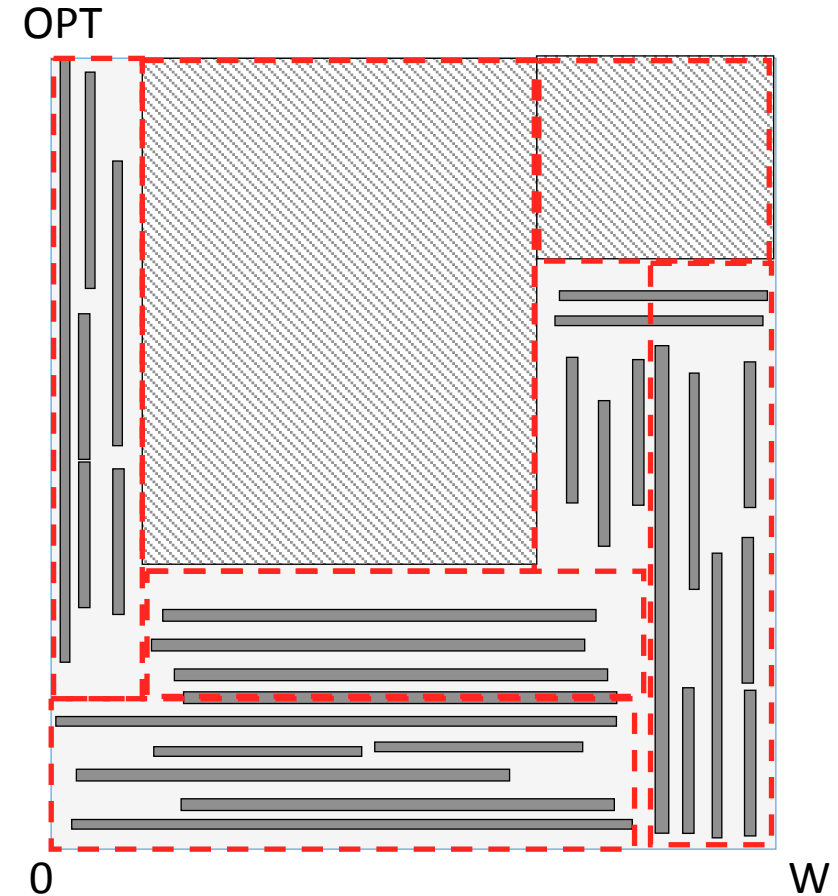
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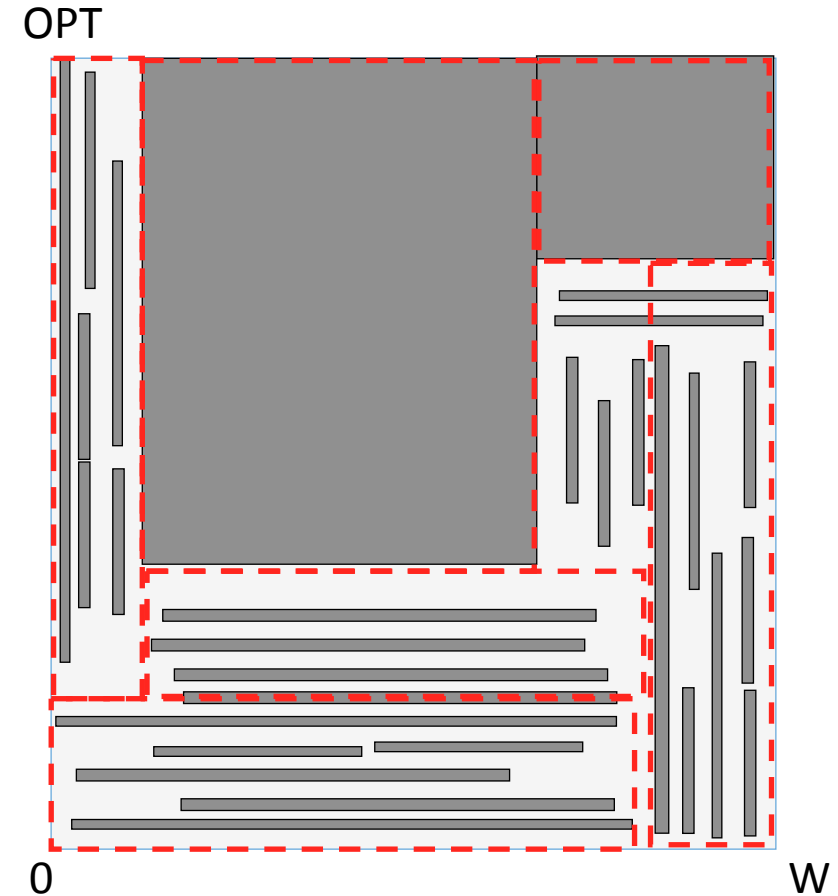
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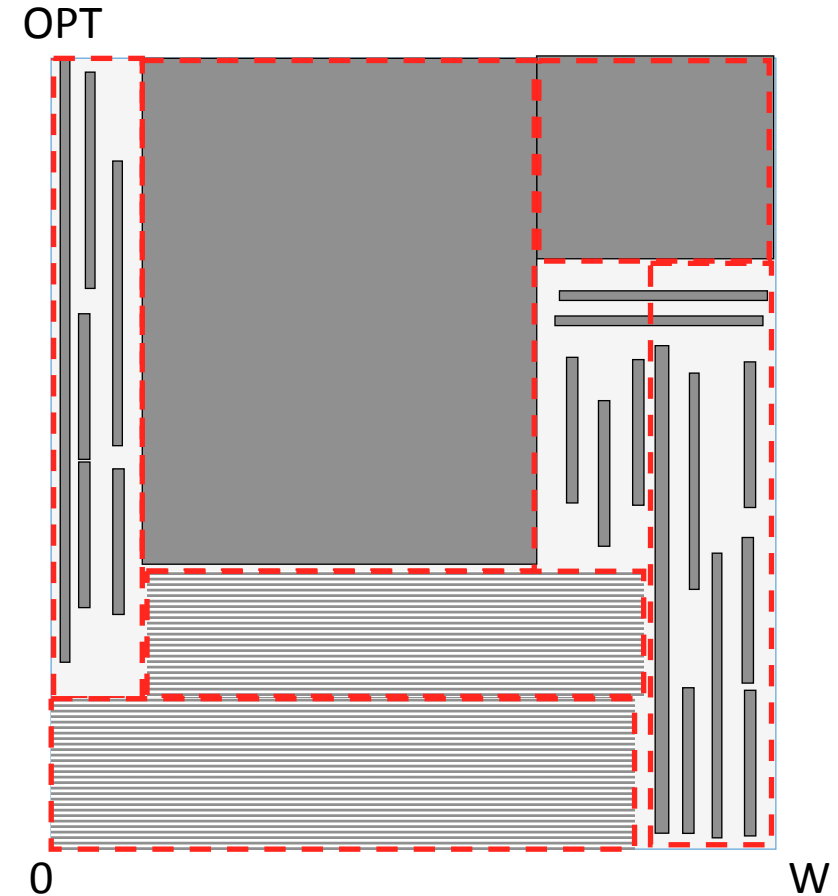
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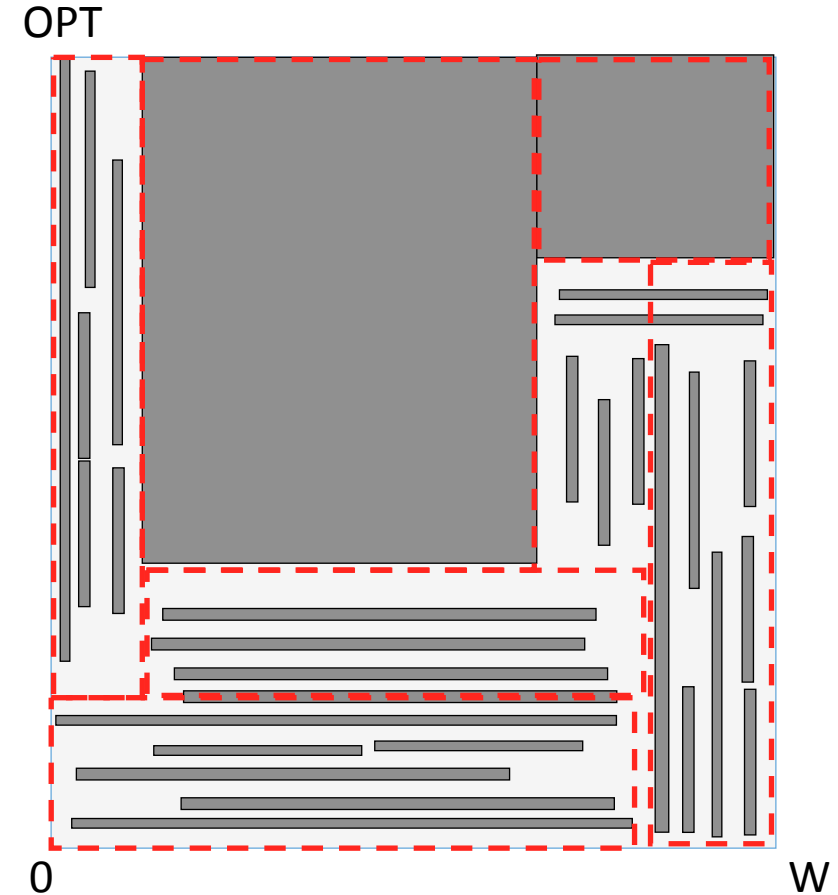
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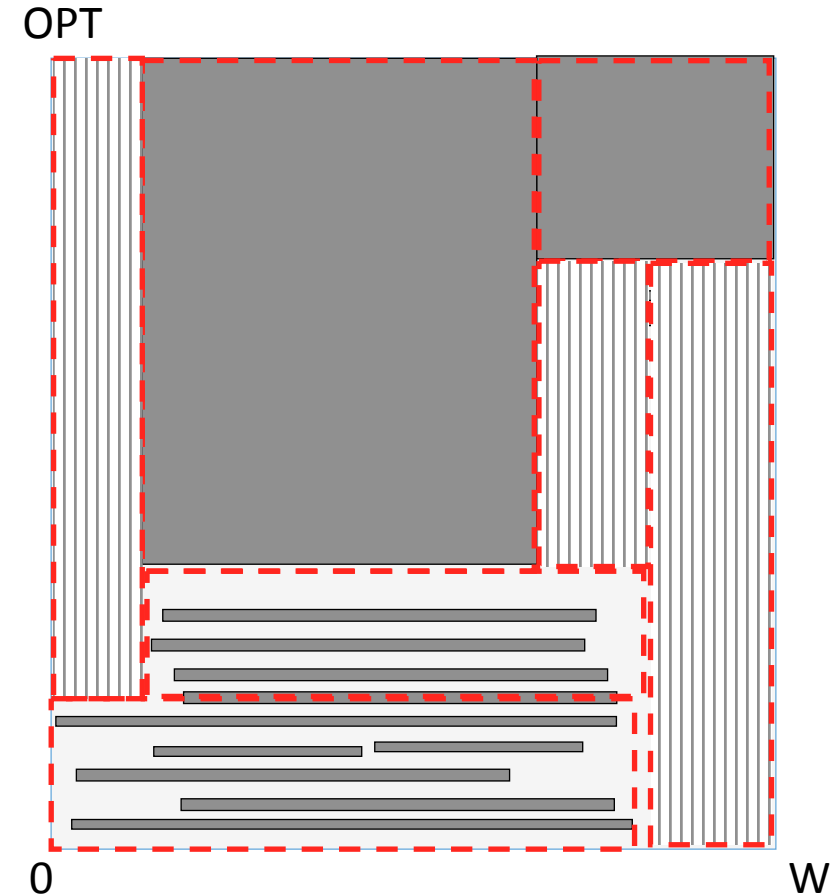
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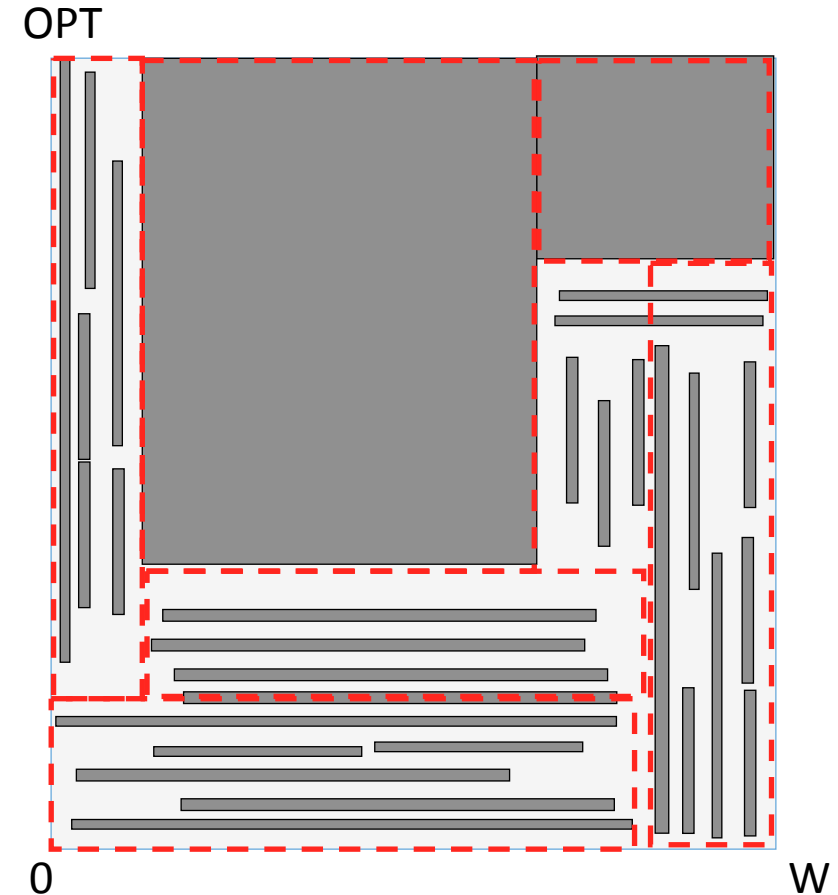
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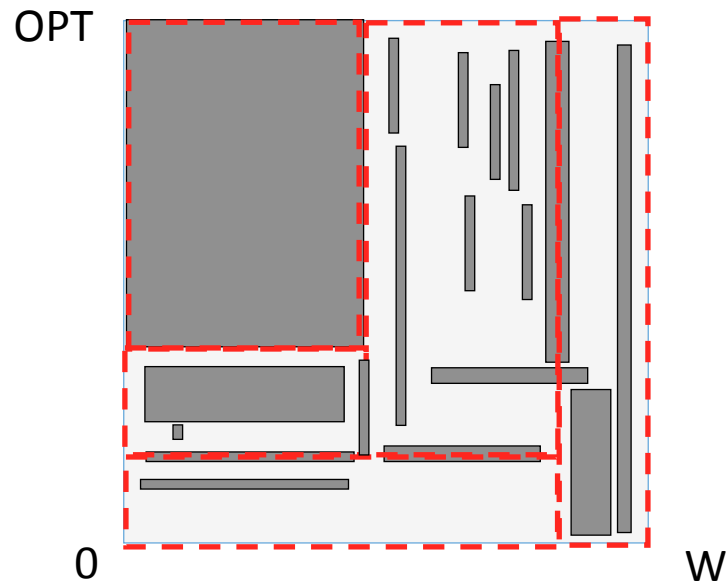
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- Each box has size either equal to size of some large rectangle (large box) or height $\leq \delta_h OPT$ (horizontal box) or width $\leq \delta_w W$ (vertical box).
- Each large rectangle is contained in a large box.
- Horizontal rectangles are either contained in a horizontal box or cut by a box. *Area of cut horizontal rectangles is $\leq W \cdot O(\epsilon) OPT$*
- Tall or vertical rectangles are either contained in a vertical box or **vertically cut** by a vertical box.

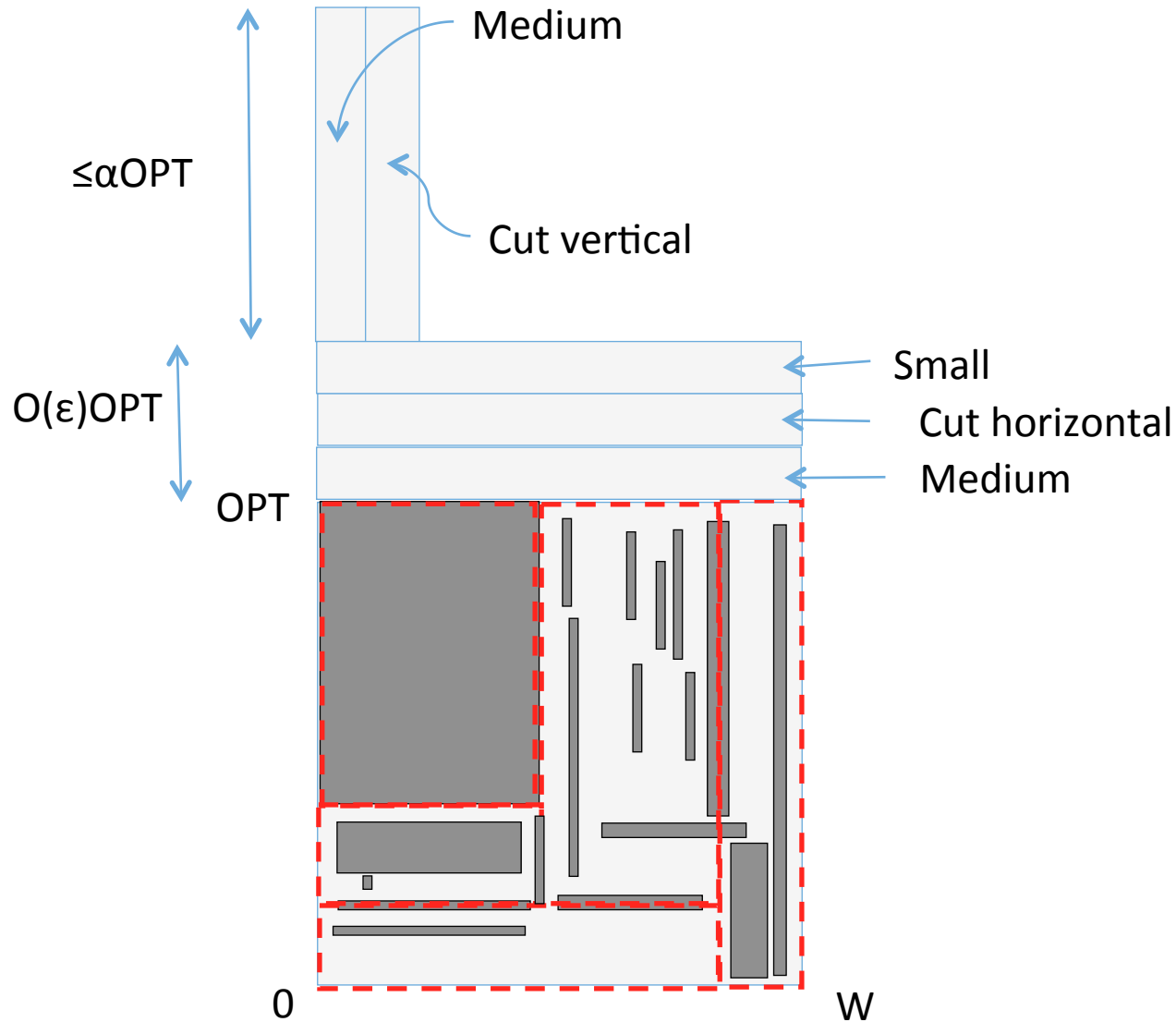


Existence of a structured packing.

- Problem 1. Rectangles can not be cut.
- Problem 2. How do we find this packing?

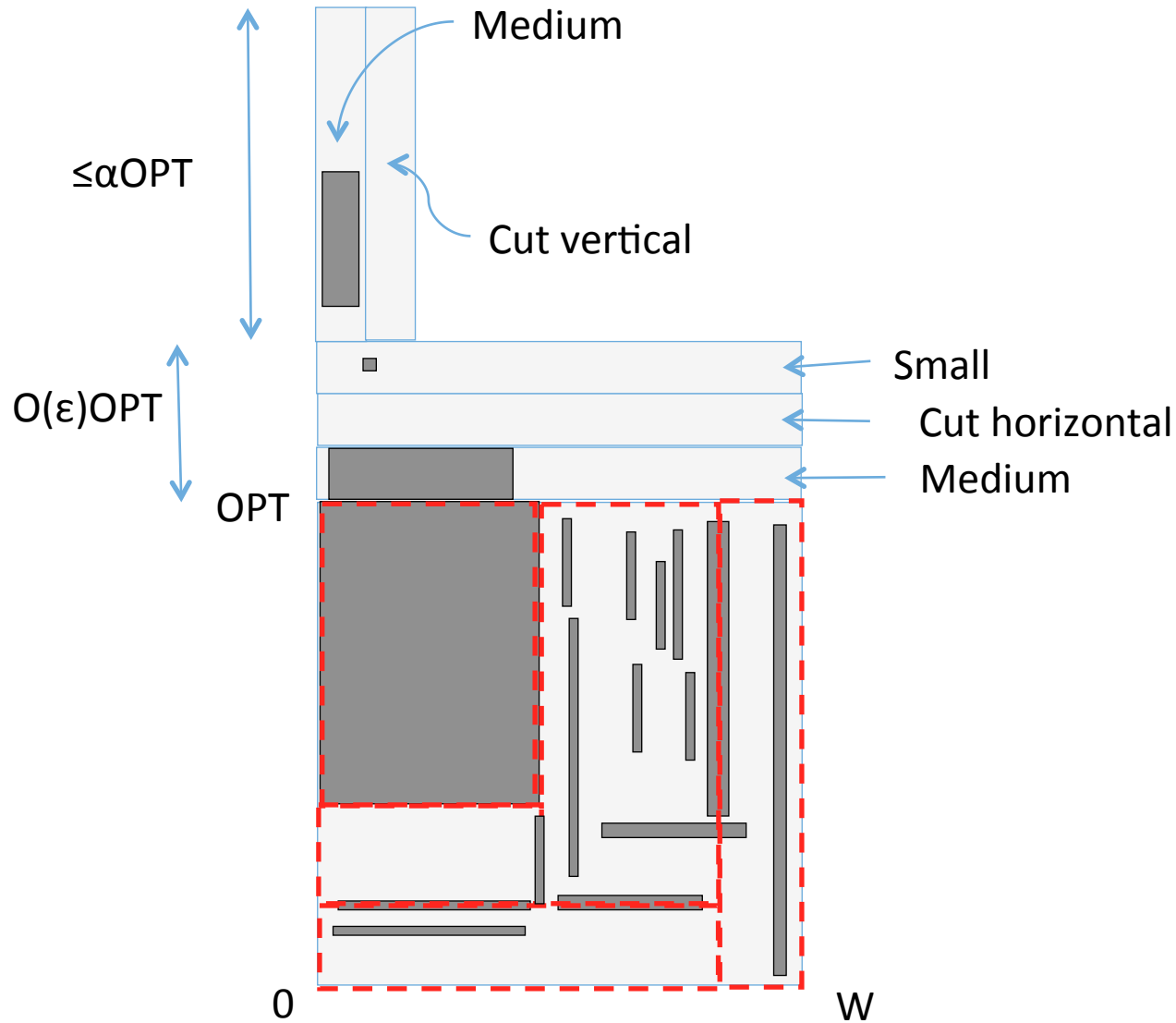


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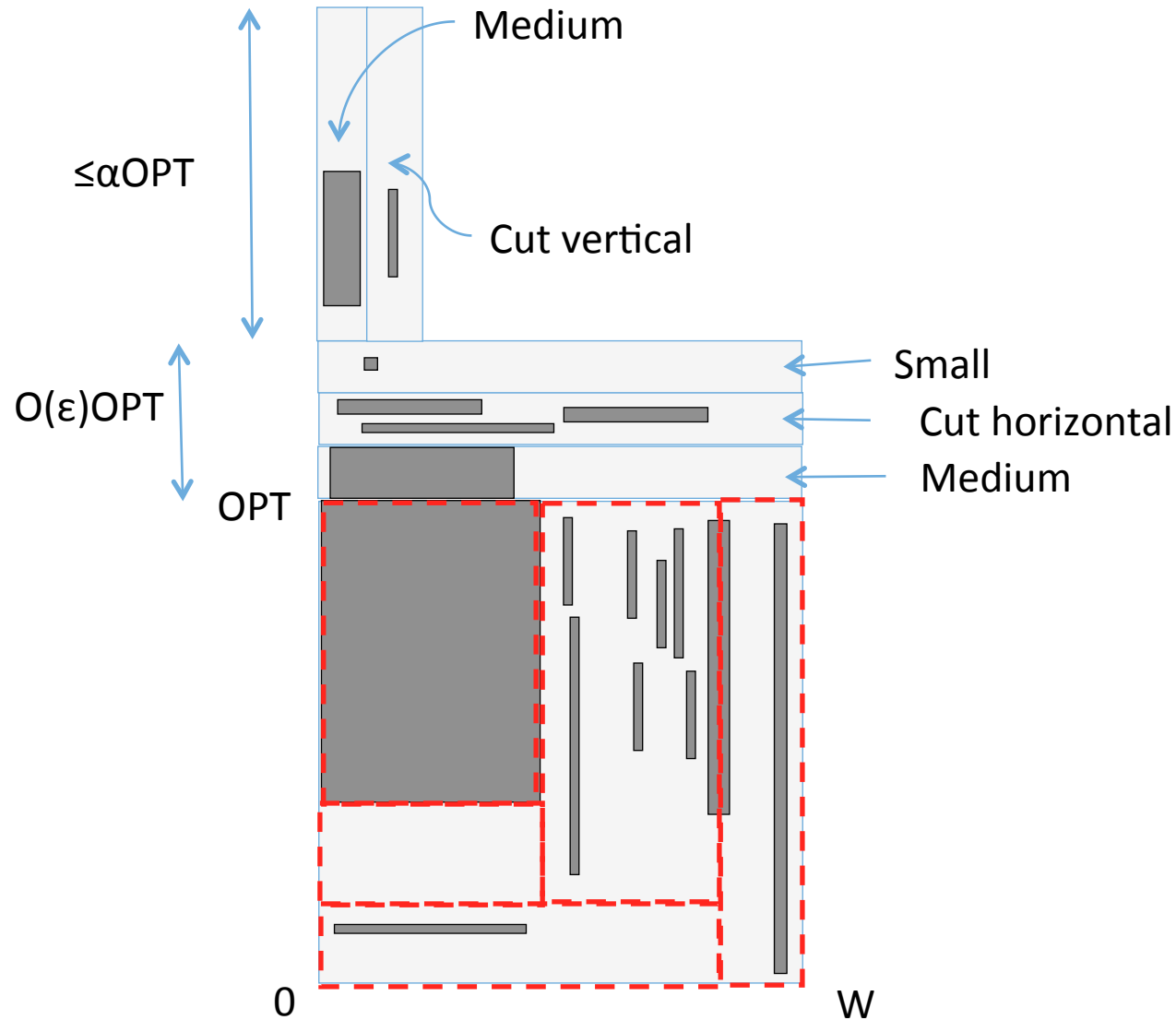
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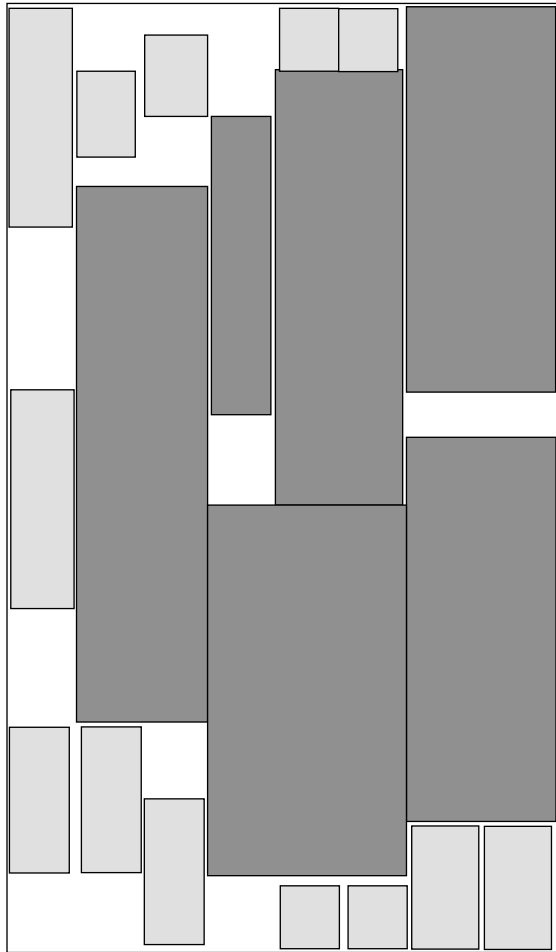
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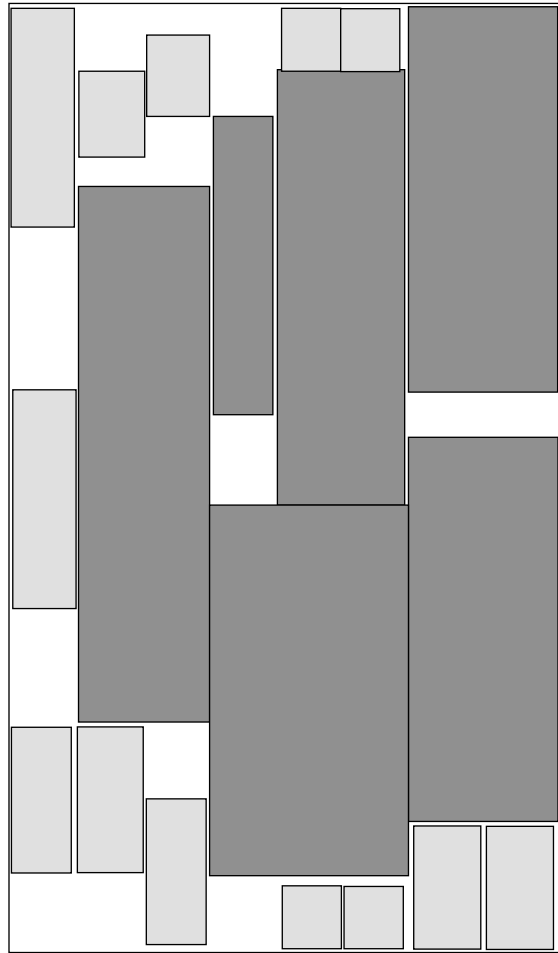
- Now only tall rectangles can be cut.
- One can find packing of horizontal boxes using an LP.
- But still not clear how to find packing of the vertical boxes.

Rearrangement of vertical box

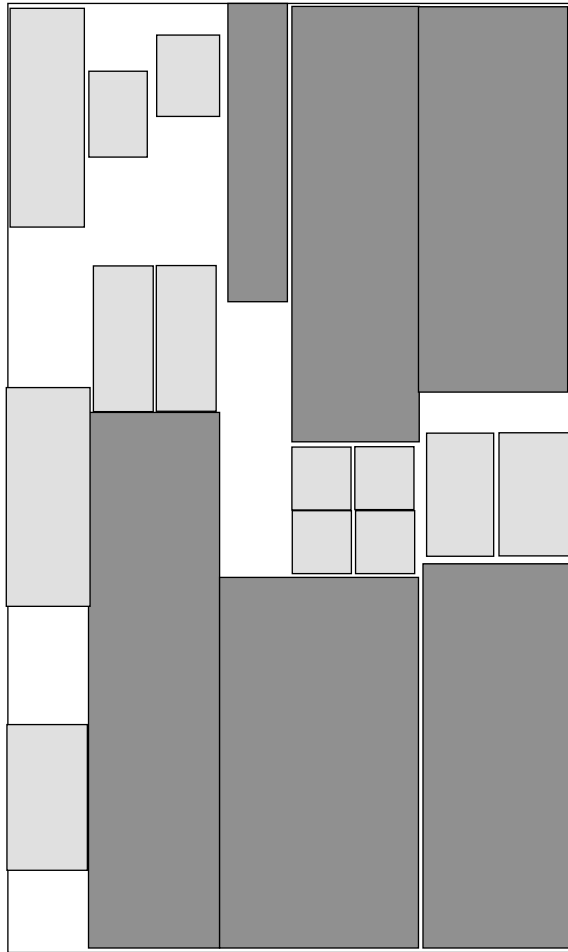


- For simplicity, assume
 - all vertical rectangles have unit width,
 - no tall rectangles are cut,
 - each height is integral multiple of γ_{OPT} .
- Tall = dark gray, Vertical = light gray.
- Any vertical line intersects at most two tall ($>1/3 OPT$) rectangles.
- For each tall rectangle, either top or bottom cannot contain any tall rectangle.
- Shift tall rectangles so that they touch boundary.

Rearrangement of vertical box

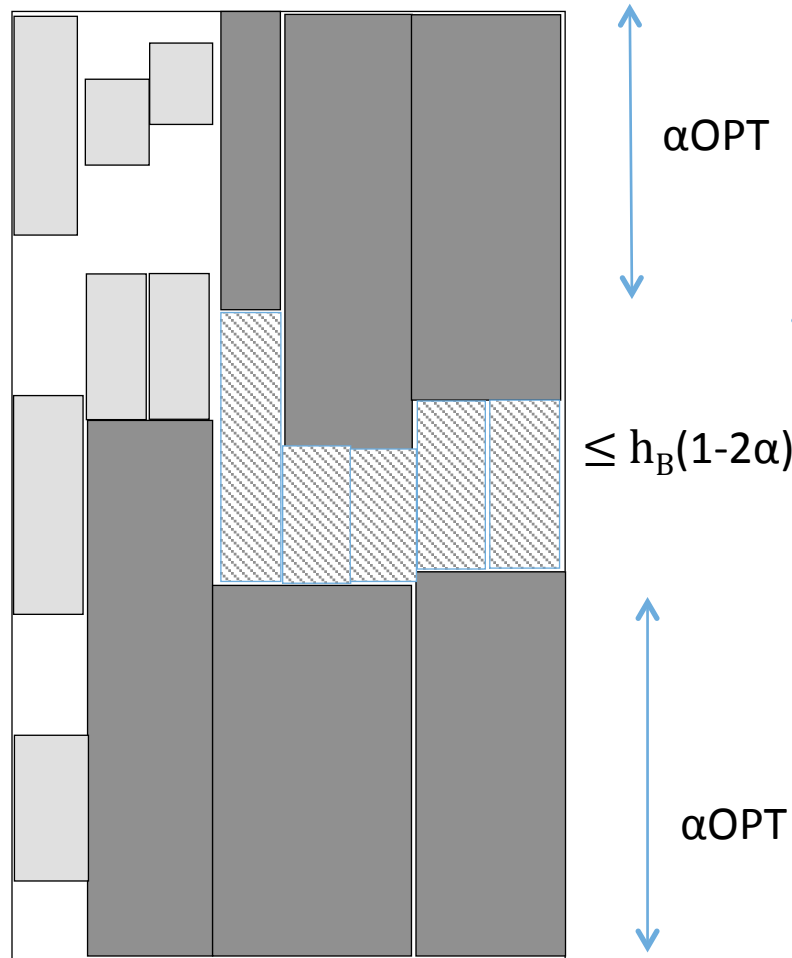


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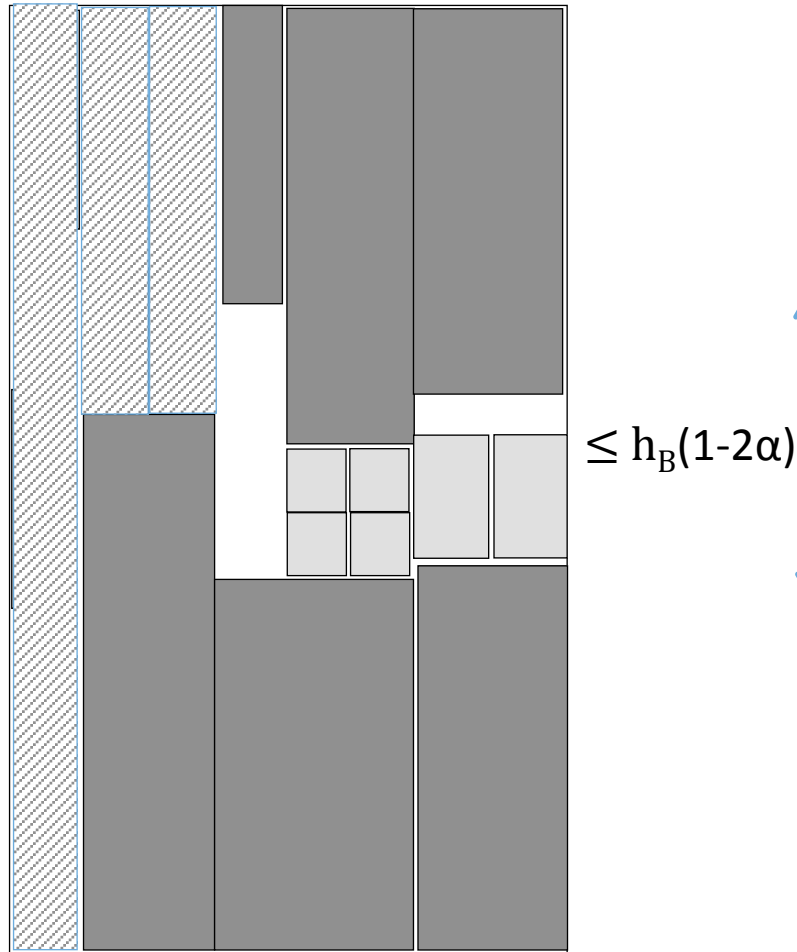
- Box $B := (w_B, h_B)$, $T = \text{Tall}$ rectangles.
- Consider each unit width stripes in $B-T$.
- **Free rectangle**: If both the top and bottom sides of the stripe overlaps with T .

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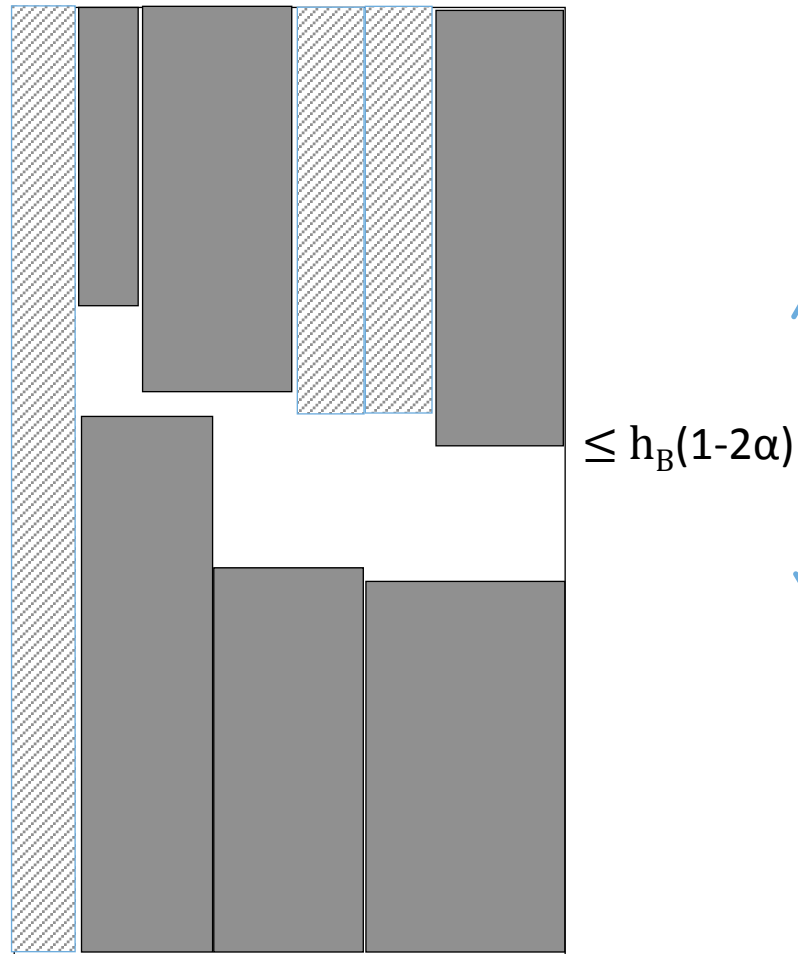
- Box $B := (w_B, h_B)$, $T = \text{Tall rectangles}$.
- Consider each unit width stripes in $B-T$.
- **Free rectangle**: If both the top and bottom sides of the stripe overlaps with T .
- Each free rectangle is contained in a strip of width w_B and height at most $h_B - 2\alpha\text{OPT} \leq h_B(1-2\alpha)$.

Rearrangement of vertical box



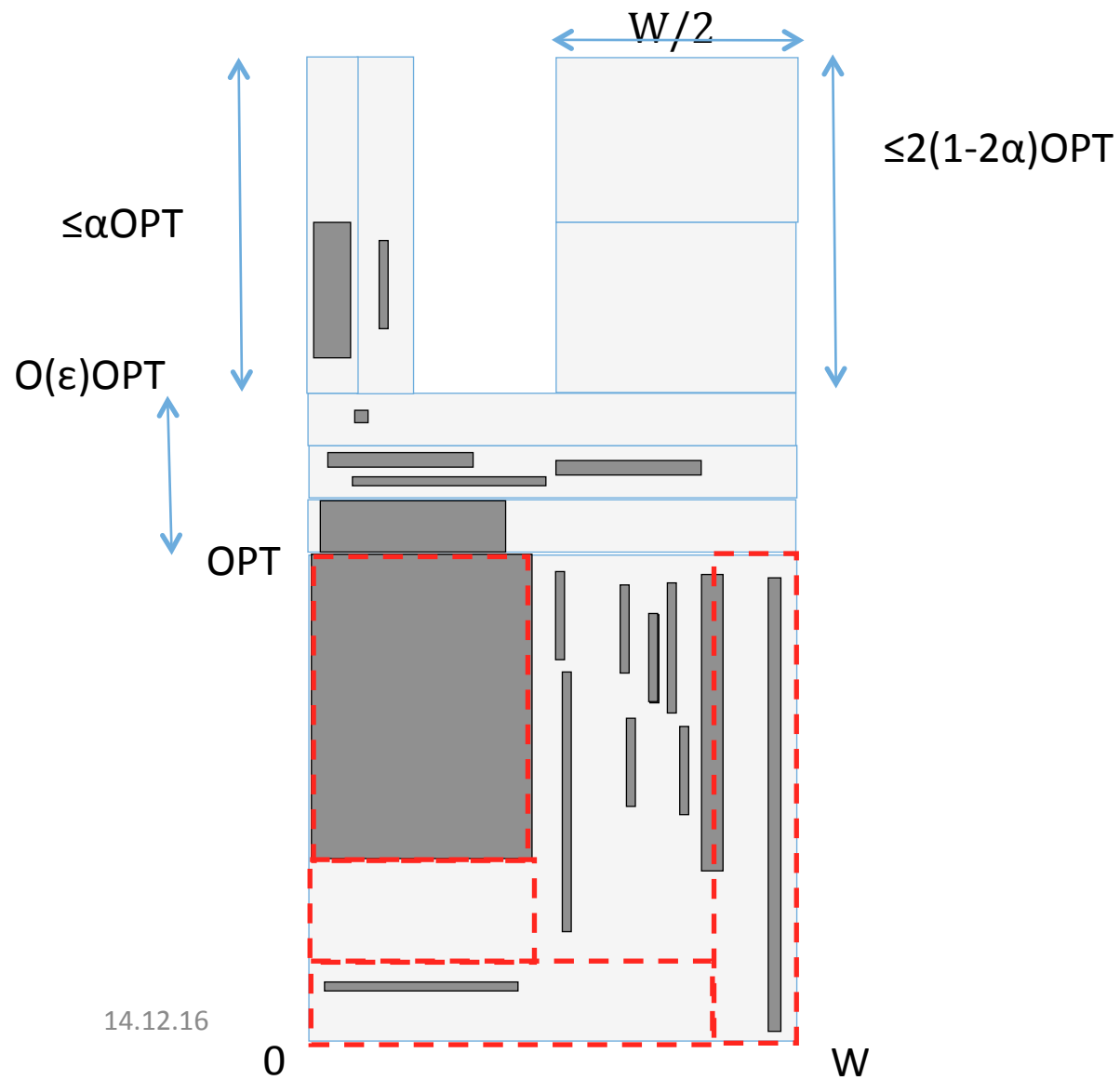
- Box $B := (w_B, h_B)$, $T =$ Tall rectangles.
- Consider each unit width stripes in $B-T$.
- **Free rectangle**: If both the top and bottom sides of the stripe overlaps with T .
- **Pseudo rectangle**: If at most one of the top and bottom sides of the stripe overlaps with T .

Rearrangement of vertical box

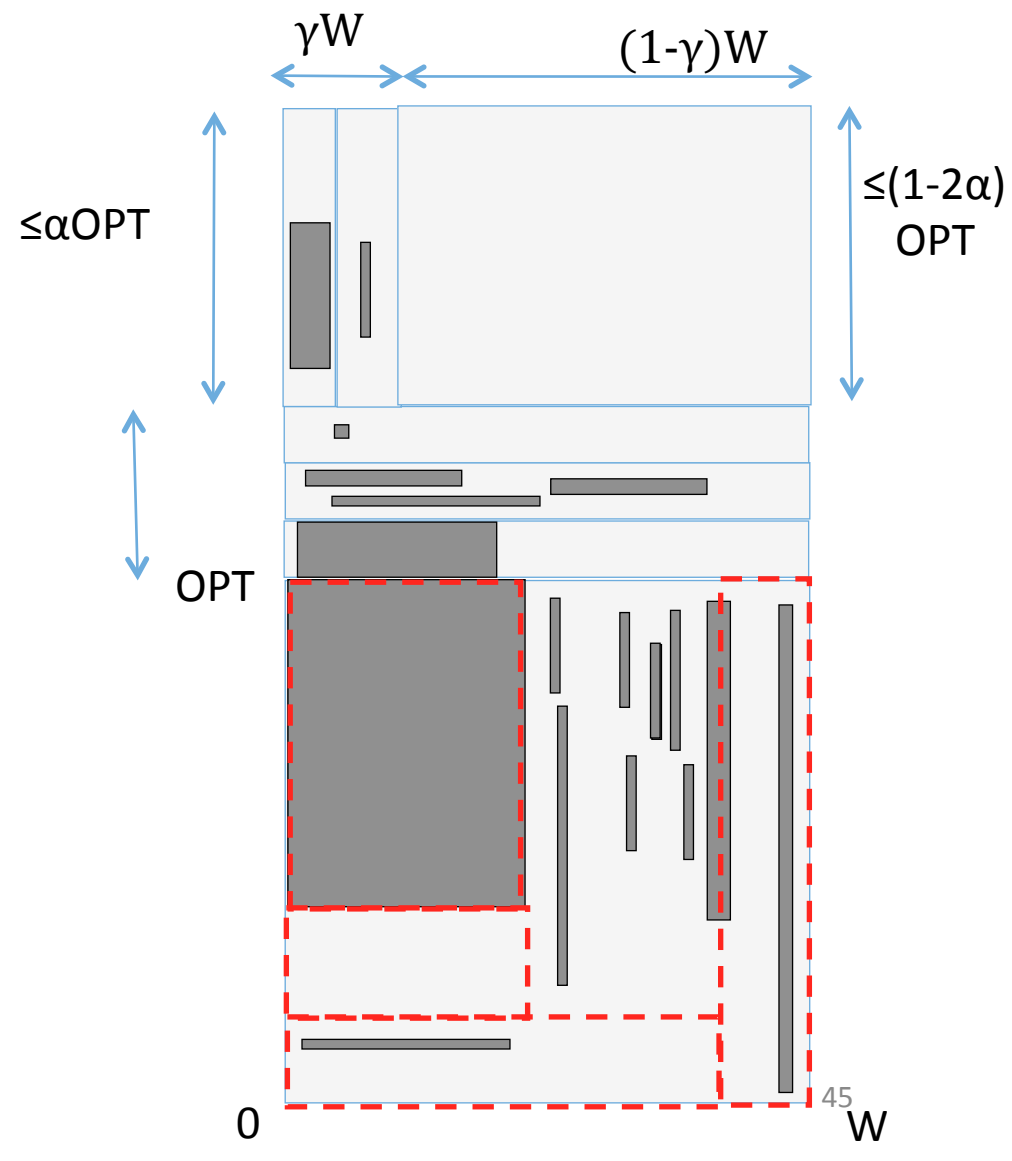


- Remove all free rectangles.
- Rearrange tall and pseudo rectangles. (same heights are grouped together as much as possible).
- Removed free rectangles are packed into **two** strips **$W/2 \times (1-2\alpha)OPT$** .

- Nadiradze-Wiese:
For $\alpha=2/5$, $\alpha=2(1-2\alpha)$; $7/5$ Approximation.

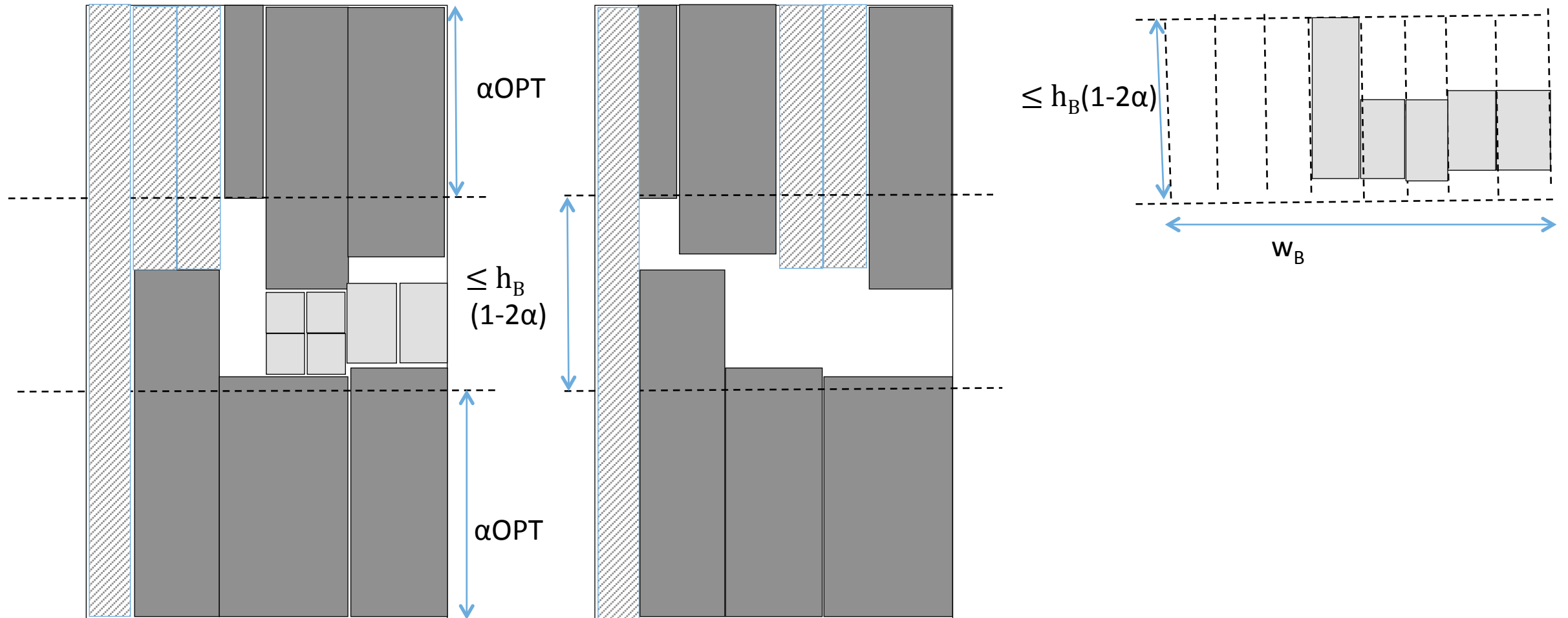


- Our packing: For $\alpha=1/3$, $\alpha=(1-2\alpha)$; $4/3$ Approximation



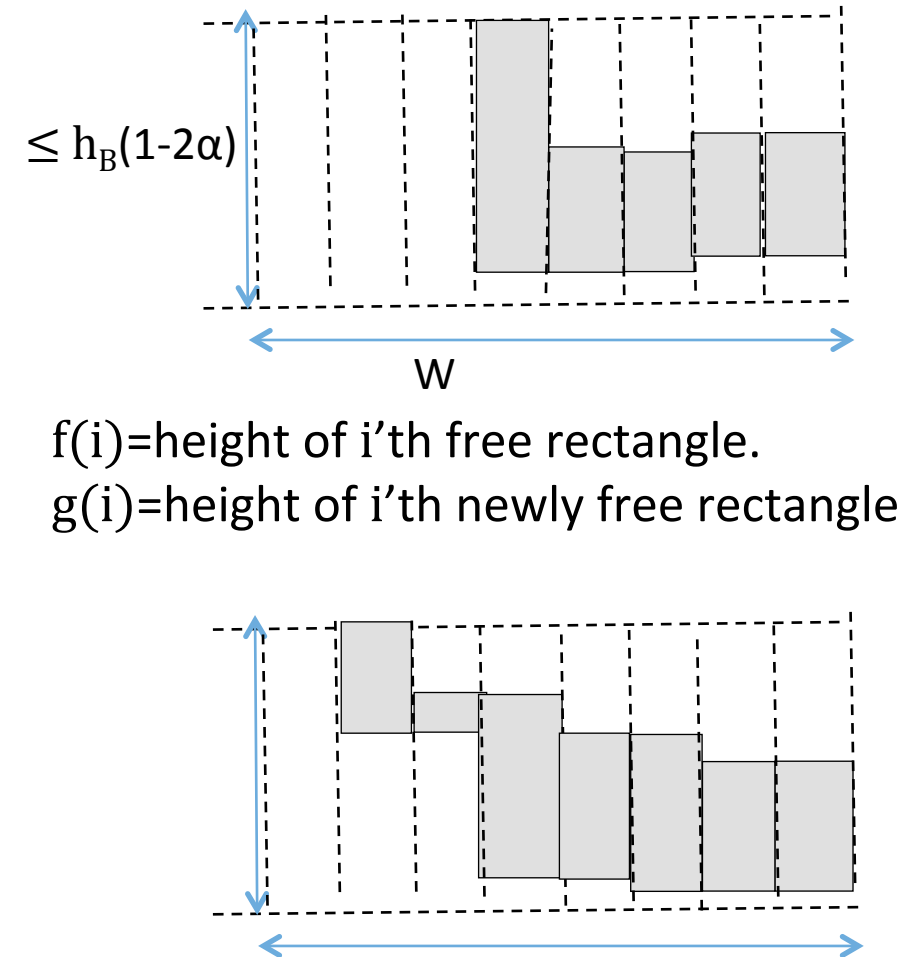
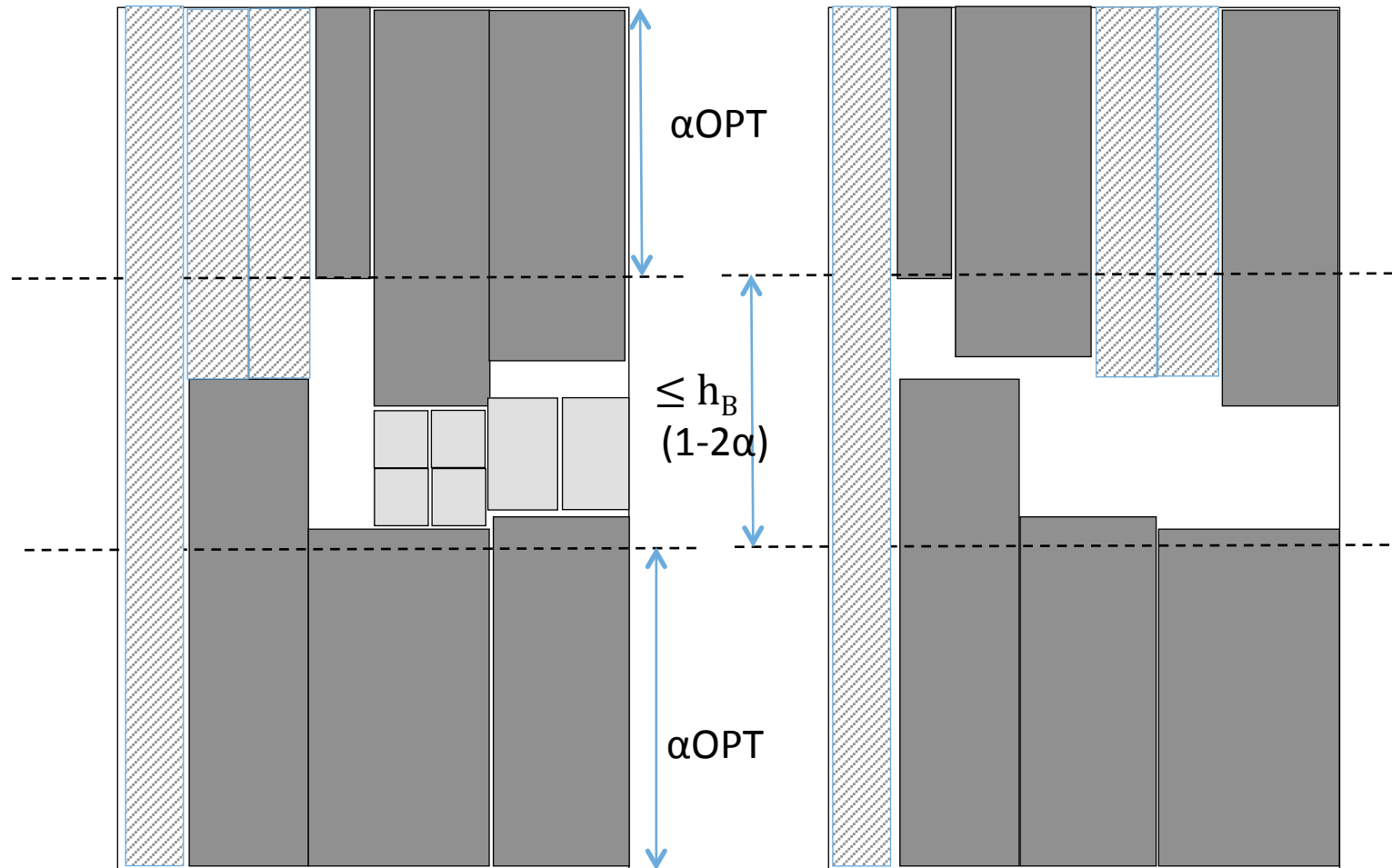
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A small fraction of free rectangles can be repacked inside vertical box.



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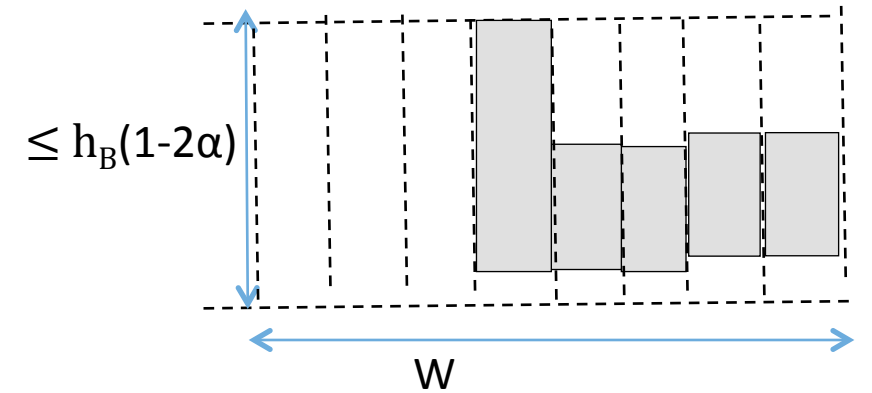
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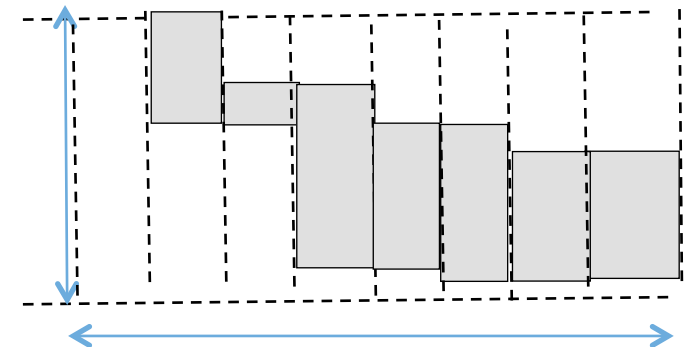
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- $\sum f(i) = \sum g(i)$.
- If $g(i) \geq f(i) \Rightarrow$ We can repack them.



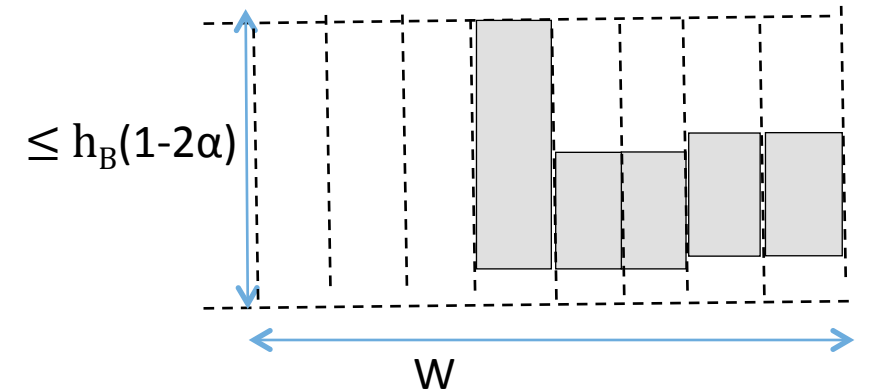
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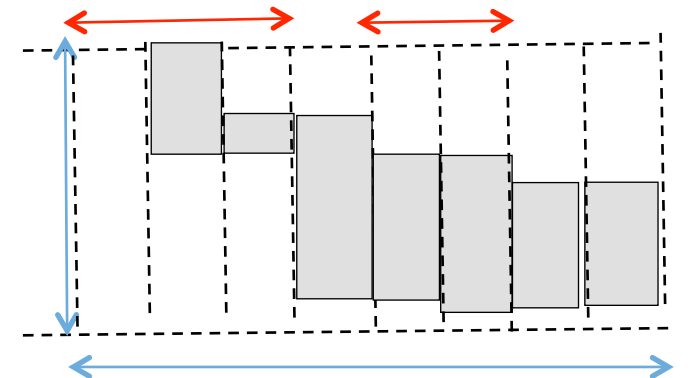
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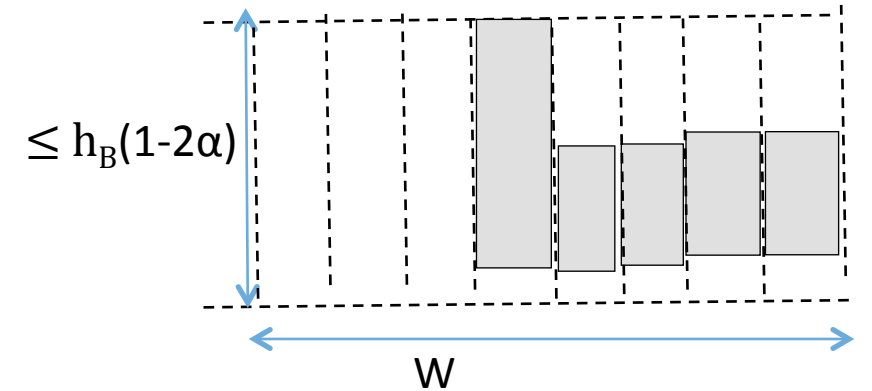
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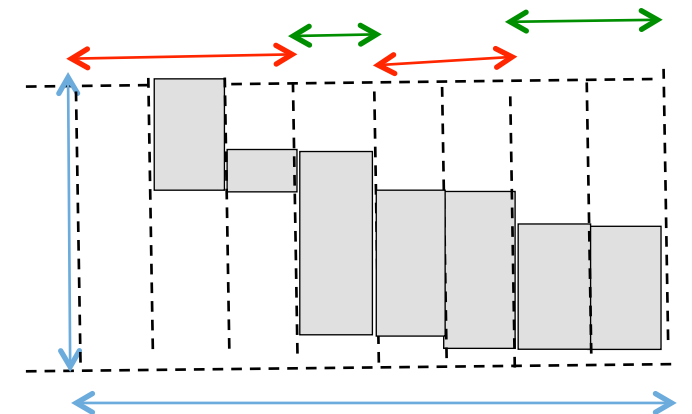
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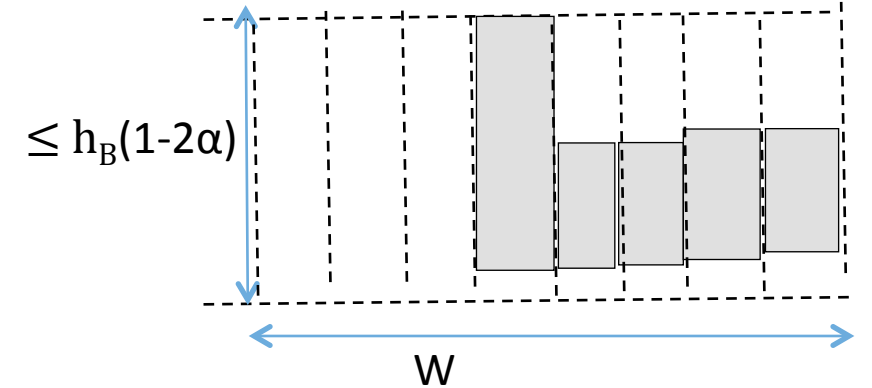
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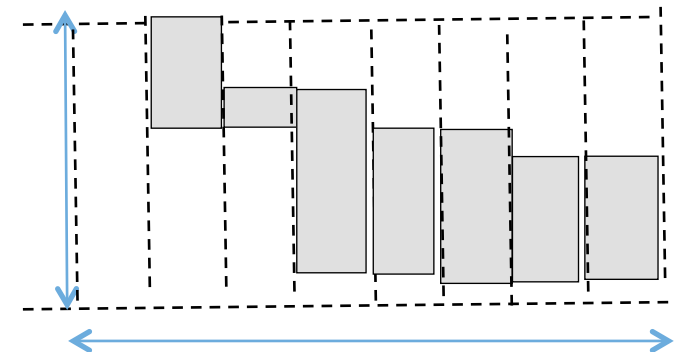
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- Let G be indices with $g(i) \geq f(i)$.
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- $(1-2\alpha)h_B \cdot |G| \geq \sum_{\{i \text{ in } G\}} g(i) - f(i)$
 $= \sum_{\{i \text{ in } G'\}} f(i) - g(i)$
 $\geq (w_B - |G|) \cdot \gamma h_B$
- $|G| \geq w_B \cdot \gamma$.

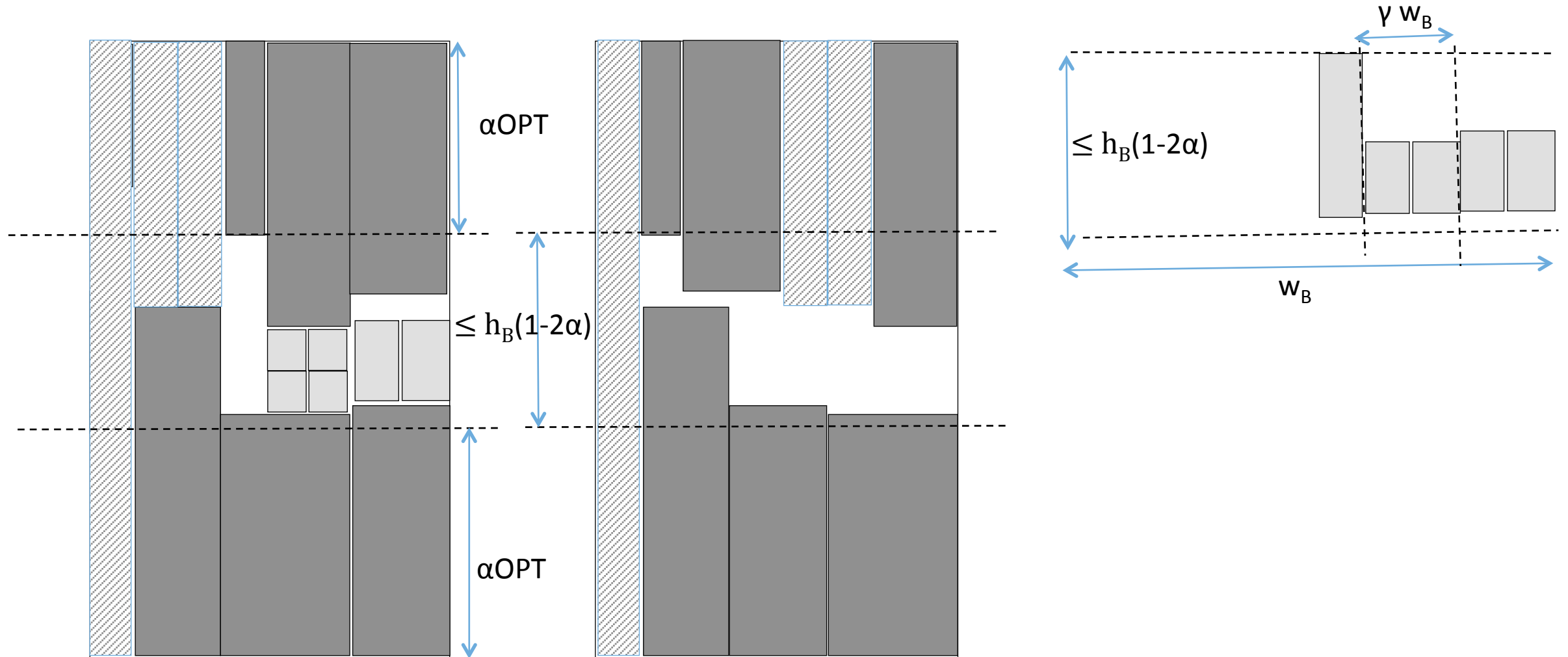


$f(i)$ = height of i 'th free rectangle.
 $g(i)$ = height of i 'th newly free rectangle



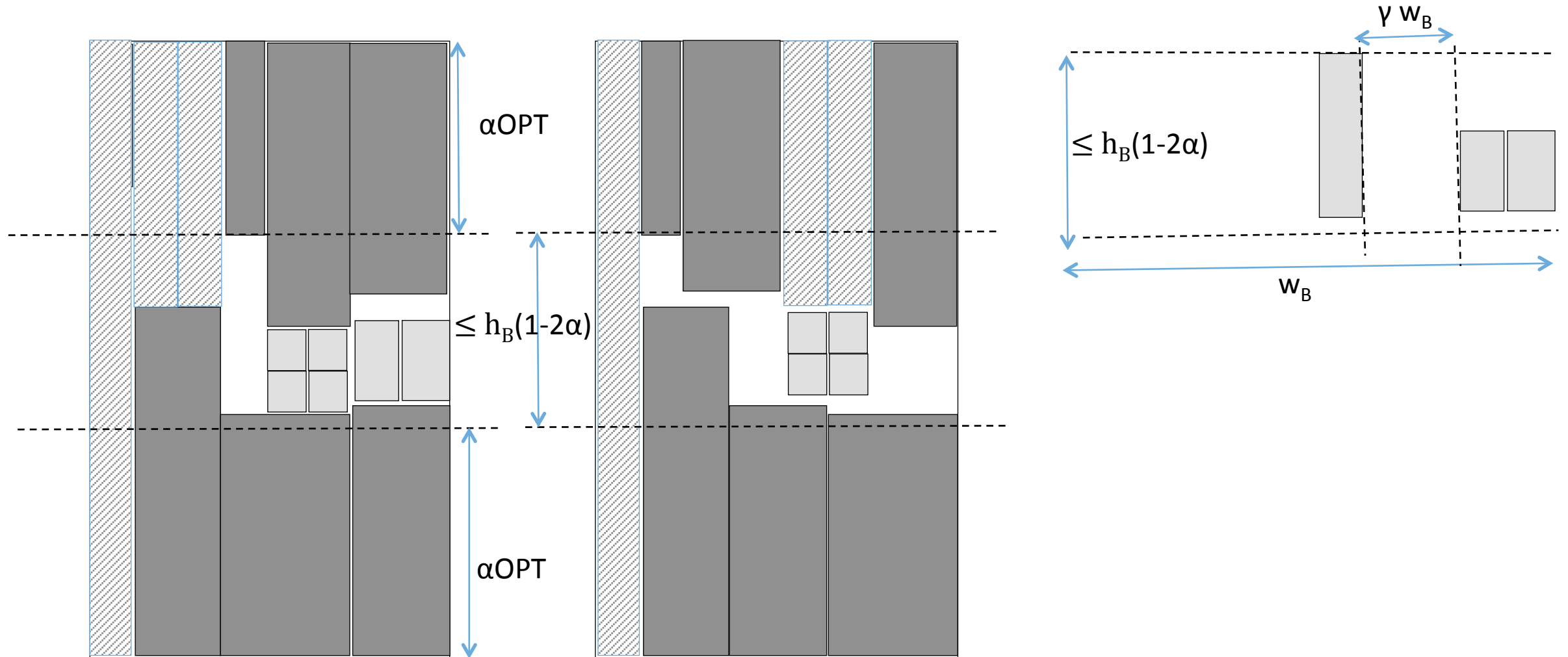
A repacking lemma:

A small fraction of free rectangles can be repacked inside vertical box.



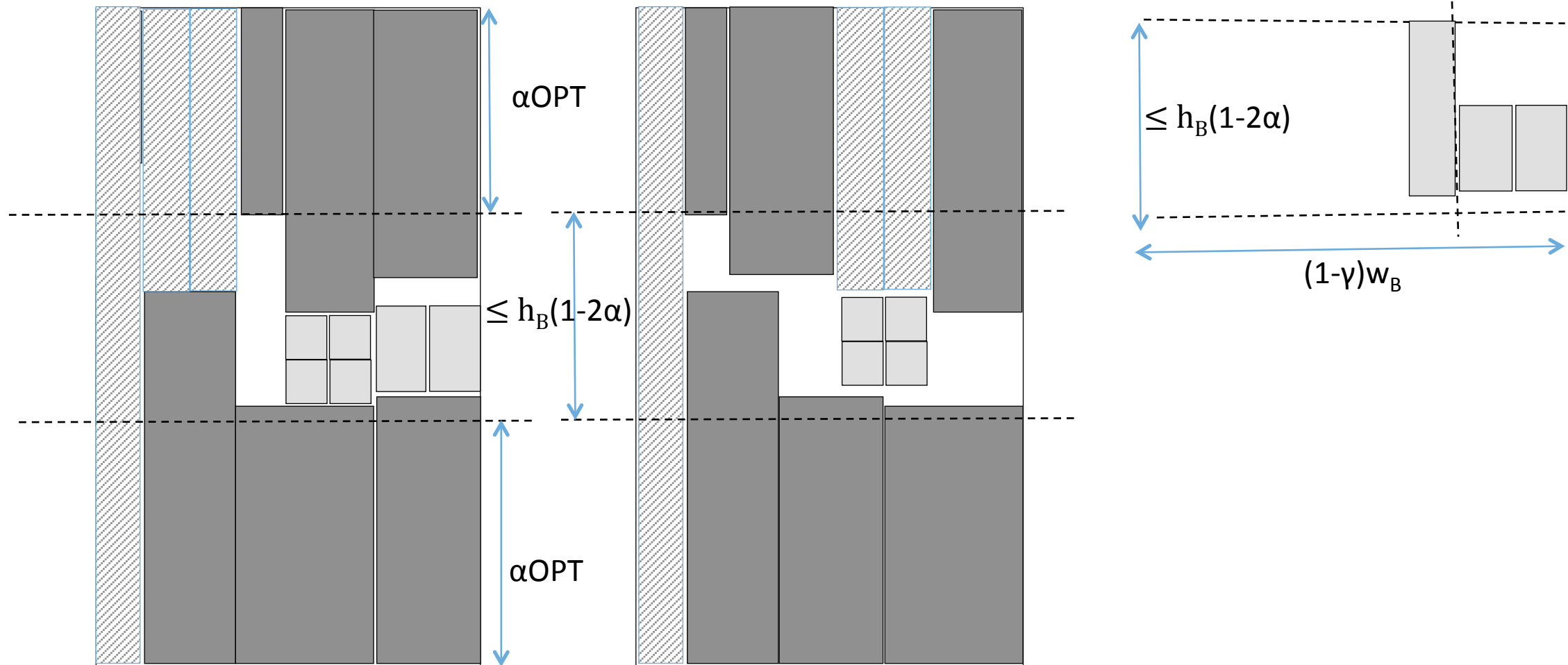
A repacking lemma:

A small fraction of free rectangles can be repacked inside vertical box.

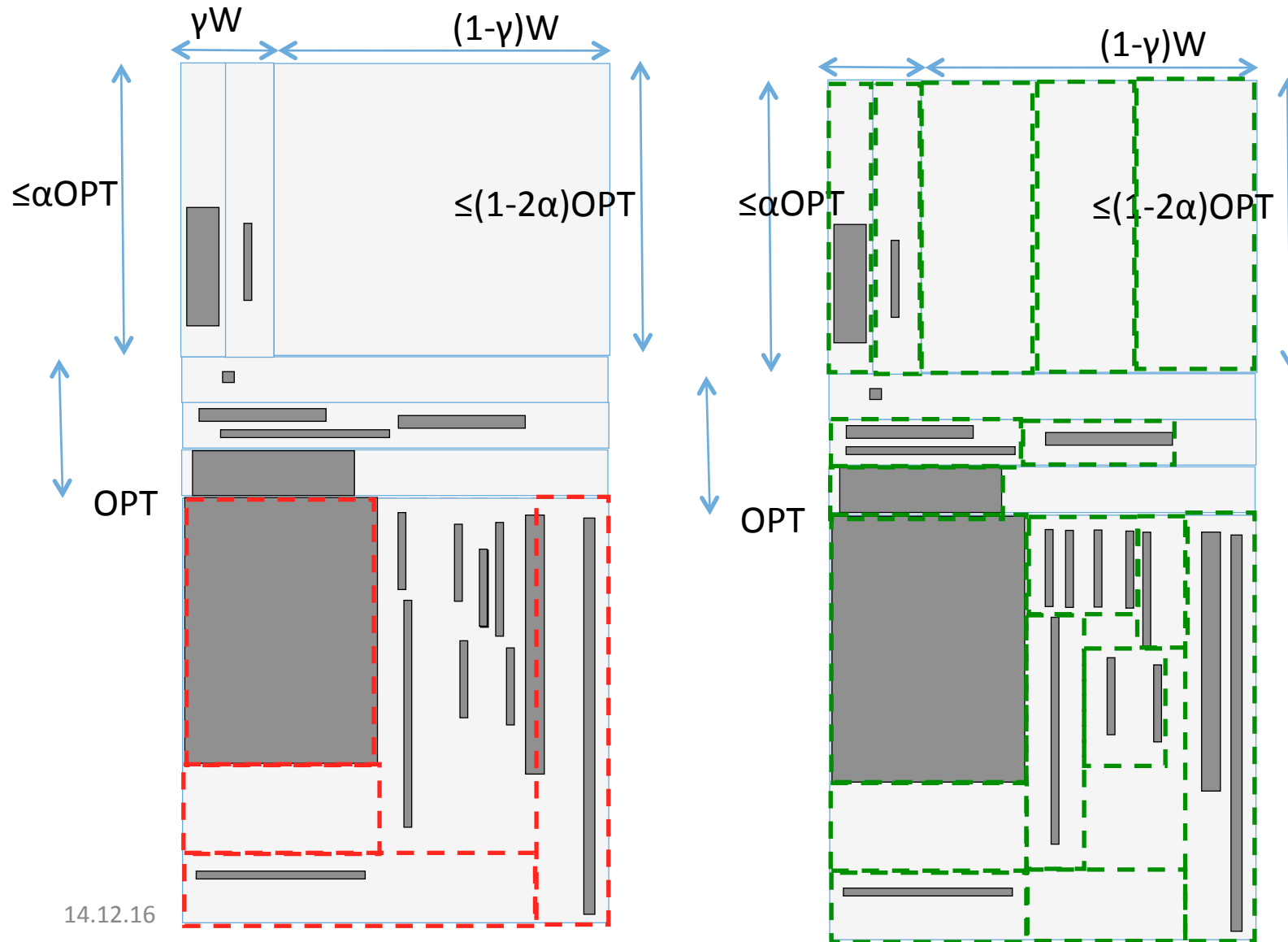


A repacking lemma:

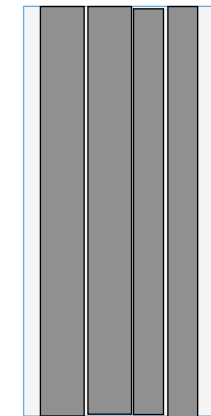
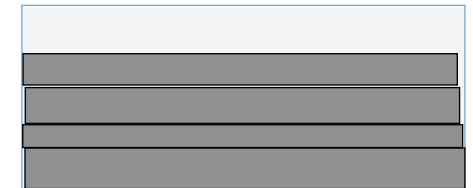
A small fraction of free rectangles can be repacked inside vertical box.



Existence of container-based packing



- For $\alpha=1/3$, $\alpha=(1-2\alpha)$
 $\Rightarrow 4/3$ Approximation.
- Each box can be decomposed into $O(1)$ number of containers.



The algorithm

- Find sizes and positions of containers in the container-based packing of all rectangles in $LUTUVUH$ in strip height $\leq (4/3 + \varepsilon)OPT$.
- Pack non-small rectangles using dynamic program for Multiple knapsack.
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height

The algorithm

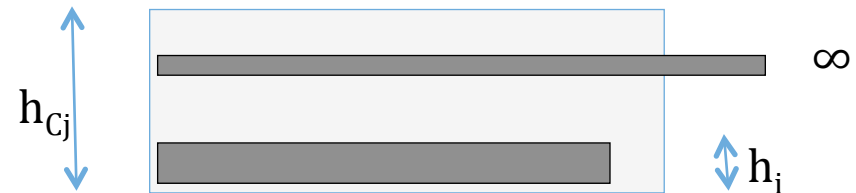
- Find sizes and positions of containers in the container-based packing of all rectangles in $LUTUVUH$ in strip height $\leq (4/3 + \varepsilon)OPT$.
- Pack non-small rectangles using dynamic program for Multiple knapsack.
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height
- From Existential packing, all non-small rectangles are packed into $O(1)$ containers.
- Each container has size and position in $\{0, \dots, W\} \times \{0, \dots, nh_{\max}\}$.
- So we can enumerate all possible such packings in pseudo-polytime.

The algorithm

- Find sizes and positions of containers in the container-based packing of all rectangles in $LUTUVH$ in strip height $\leq (4/3 + \varepsilon)OPT$.
- Pack non-small rectangles using dynamic program for Multiple knapsack.
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height

The algorithm

- Find sizes and positions of containers in the container-based packing of all rectangles in LuTuVuH in strip height $\leq (4/3 + \varepsilon)OPT$.
- **Pack non-small rectangles using dynamic program for Multiple knapsack.**
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height
- For horizontal (or vertical) container $j := (w_{Cj} \times h_{Cj})$, create knapsack of size h_{Cj} (or w_{Cj}).
- For rectangle R_i , define size w.r.t. knapsack j :
 - = h_i if it fits in the container.
 - = ∞ otherwise.

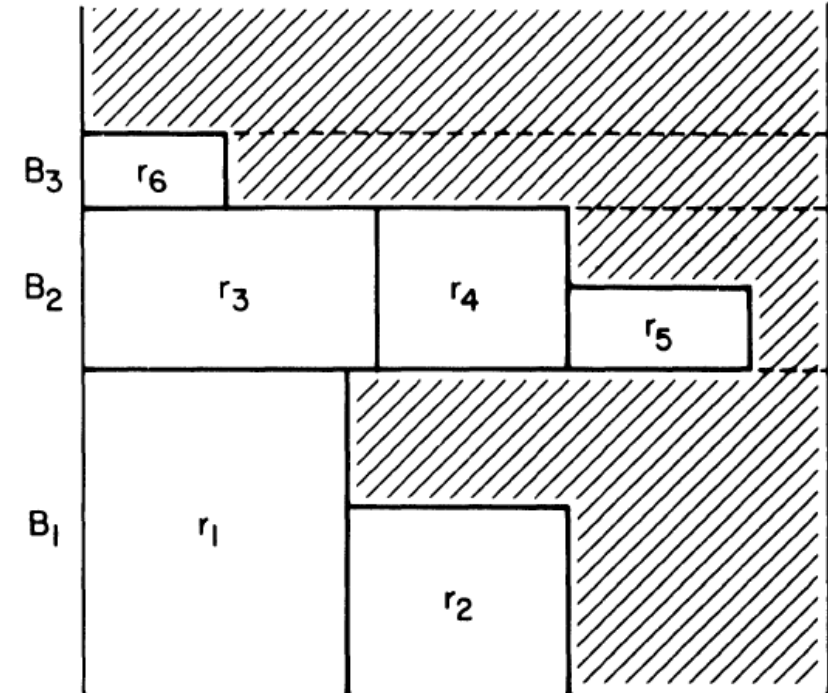


The algorithm

- Find sizes and positions of containers in the container-based packing of all rectangles in $LUTUVH$ in strip height $\leq (4/3 + \varepsilon)OPT$.
- Pack non-small rectangles using dynamic program for Multiple knapsack.
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height

The algorithm

- Find sizes and positions of containers in the container-based packing of all rectangles in LuTuVuH in strip height $\leq (4/3 + \varepsilon)OPT$.
- Pack non-small rectangles using dynamic program for Multiple knapsack.
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height



With Rotations!

- N-W Algorithm packed horizontal rectangles using an LP.
Not clear:
 1. which rectangles are packed using the LP.
 2. which rectangles are small.

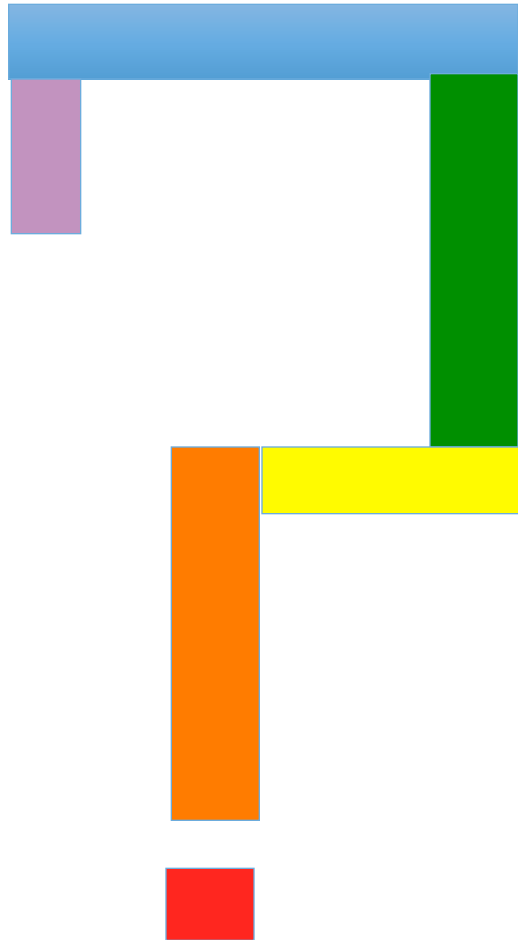
With Rotations!

- For container-based packing, we can assign all rectangles using multiple knapsack.
- Pack small rectangles greedily in the remaining space using Next-Fit-Decreasing-Height
- For horizontal (or vertical) container $j := (w_{Cj} \times h_{Cj})$, create knapsack of size h_{Cj} (or w_{Cj}).
- For rectangle R_i , define size w.r.t. horizontal knapsack j :
 - = $\min\{h_i, w_i\}$, if it fits both rotated and nonrotated
 - = h_i , if it fits only rotated
 - = w_i , if it fits only nonrotated
 - = ∞ otherwise.
- Extra knapsack (for small rectangles) of size = area not occupied by nonsmall rectangles in OPT.
If a rectangle R_i is small w.r.t. current parameters as rotated or nonrotated, its size = area of R_i .

Open Problems

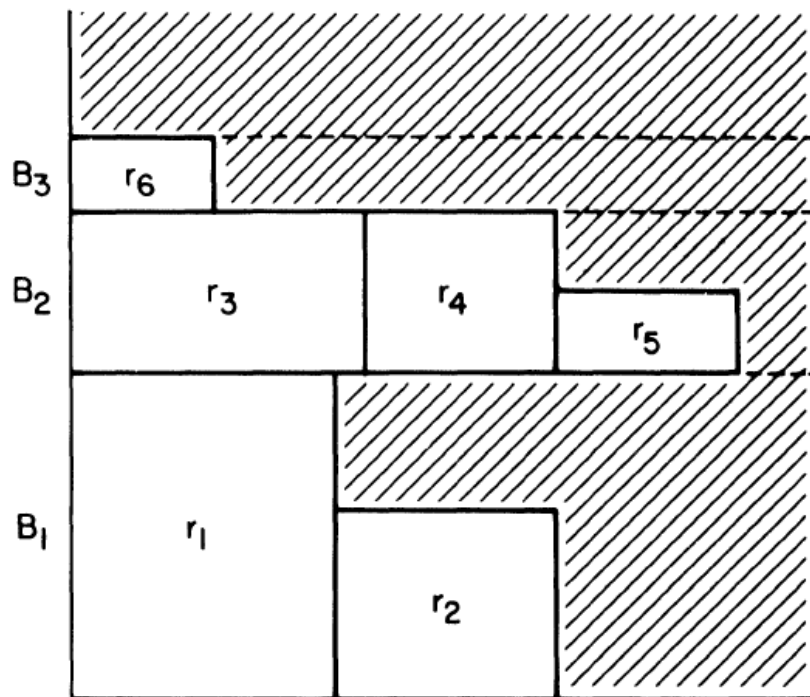
- Tight **polynomial-time** approximation for strip packing.
- Better **Pseudo-polytime** hardness/approximation algorithm.
(Adamaszek et al., No Pseudo-polytime approximation scheme; Arxiv - Oct'16)
- Extension to **d -dimensional** strip packing.
- More related literature and open problems:
Approximation and Online Algorithms for Multidimensional Bin Packing: A Survey, Christensen-K.-Pokutta-Tetali.

Questions!



Additional Slides

Next Fit Decreasing Height(NFDH)

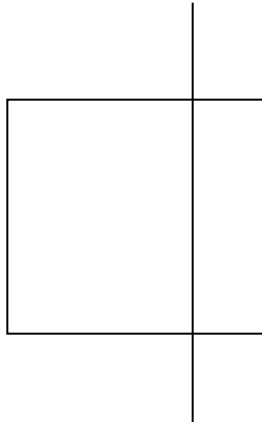


- Considered items in a non-increasing order of height and greedily packs items into shelves.
- Shelf is a row of items having their bases on a line that is either the base of the bin or the line drawn at the top of the highest item packed in the shelf below.
- items are packed left-justified starting from bottom-left corner of the bin, until the next item does not fit. Then the shelf is closed and the next item is used to define a new shelf whose base touches the tallest(left most) item of the previous shelf.
- If the shelf does not fit into the bin, the bin is closed and a new bin is opened. The procedure continues till all the items are packed.

- If we pack small rectangles ($w, h \leq \delta$) using NFDH into B, total $w \cdot h - (w + h) \cdot \delta$ area can be packed.

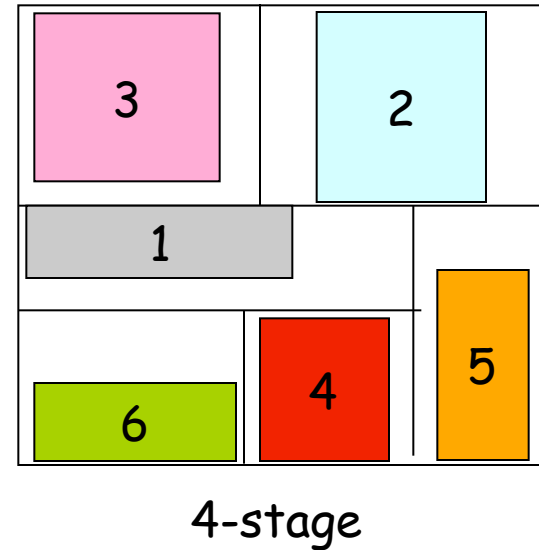
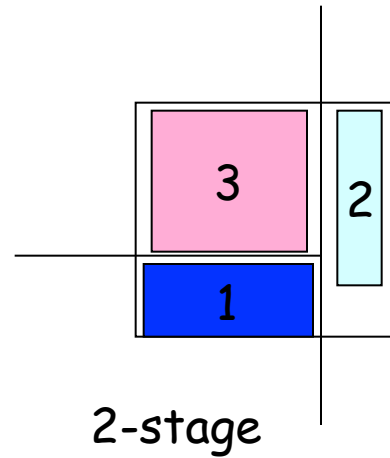
Guillotine Bin Packing

Guillotine Cut: Edge to Edge cut across a bin



Guillotine Bin Packing

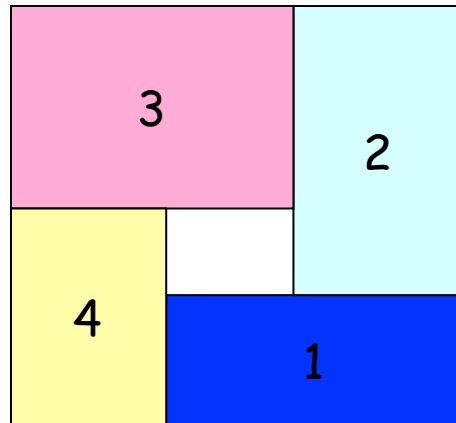
Guillotine Cut: Edge to Edge cut across a bin



k-stage Guillotine Packing [Gilmore, Gomory]

k recursive levels of guillotine cuts to recover all items.

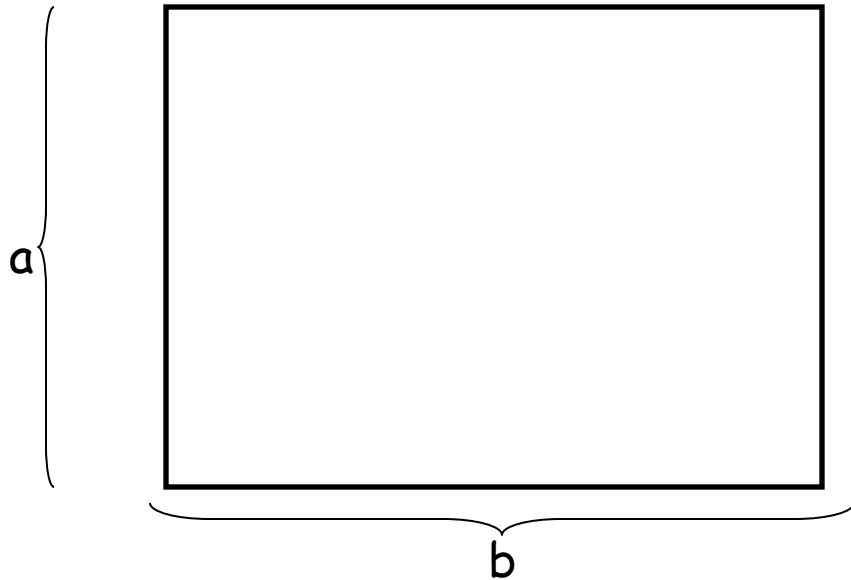
Non-guillotine Packing



Shelf Packing

Given a rectangular region of size $a \times b$

Goal: Pack squares of length s

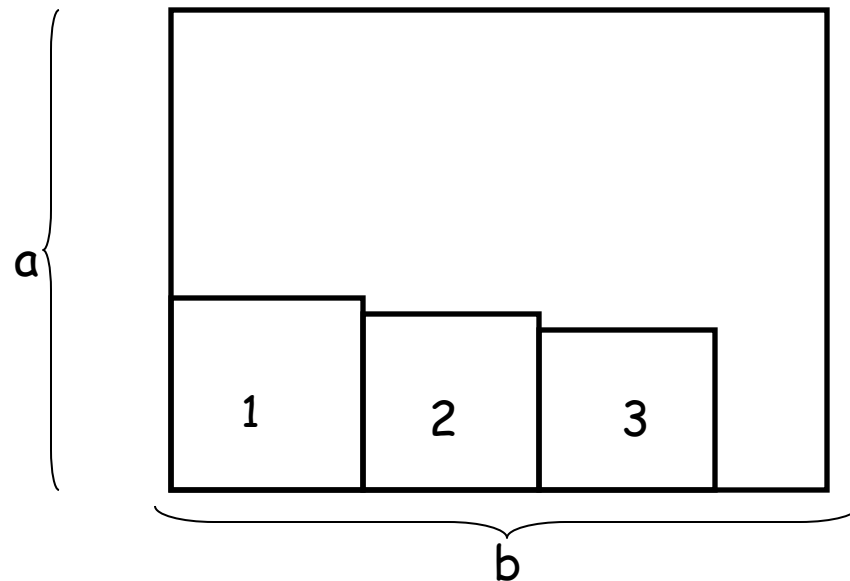


Shelf Packing

Given a rectangular region of size $a \times b$

Goal: Pack squares of length $\leq s$

Algorithm: Decreasing size shelf packing.



Take squares in decreasing size

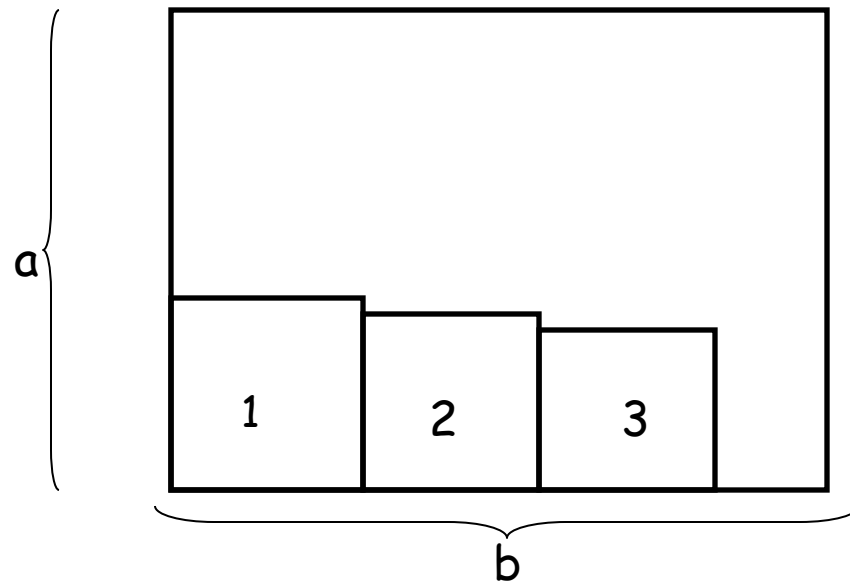
- Place sequentially

Shelf Packing

Given a rectangular region of size $a \times b$

Goal: Pack squares of length $\leq s$

Algorithm: Decreasing size shelf packing.



Take squares in decreasing size

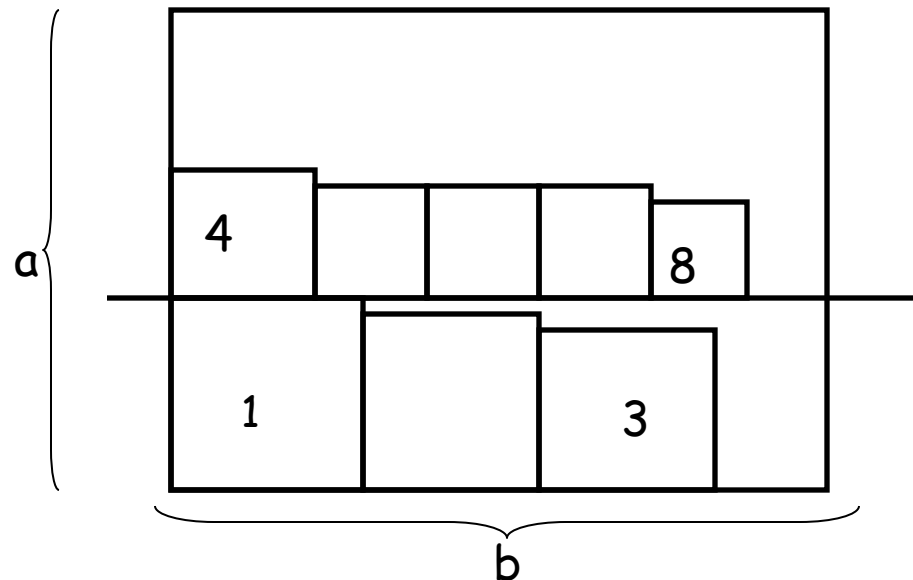
- Place sequentially
- If next does not fit, open a new shelf

Shelf Packing

Given a rectangular region of size $a \times b$

Goal: Pack squares of length $\cdot s$

Algorithm: Decreasing size shelf packing.



Take squares in decreasing size

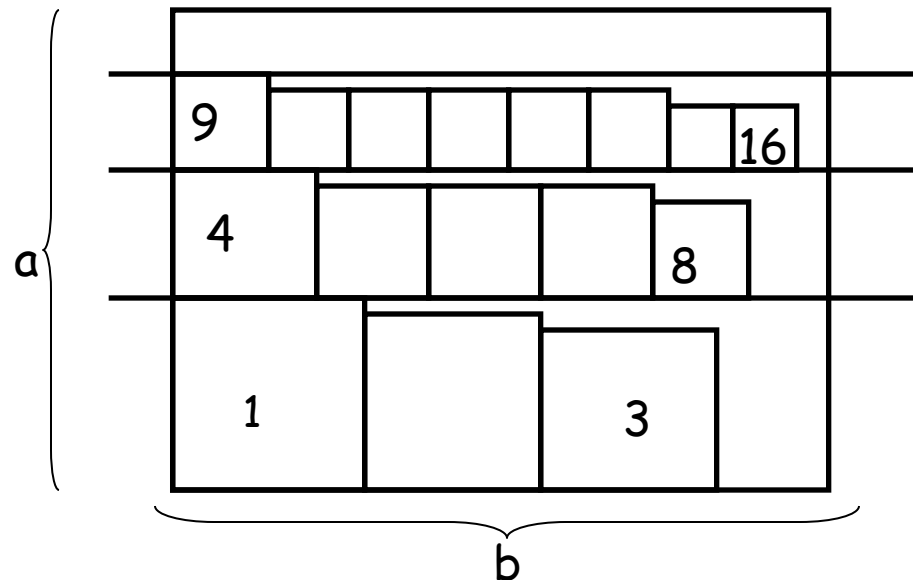
- Place sequentially
- If next does not fit, open a new shelf

Shelf Packing

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Goal: Pack squares of length $\leq s$

Algorithm: Decreasing size shelf packing.



Take squares in decreasing size

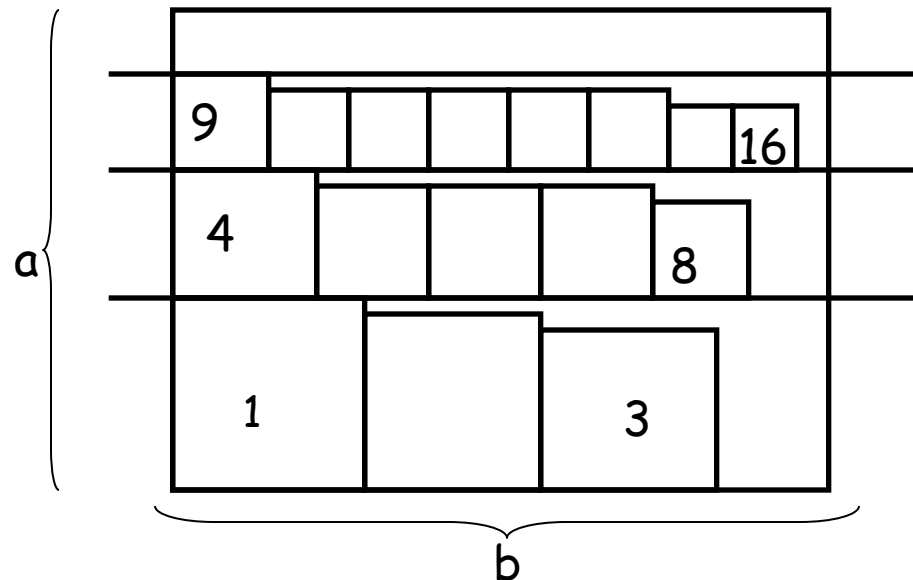
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Shelf Packing

Given a rectangular region of size $a \times b$

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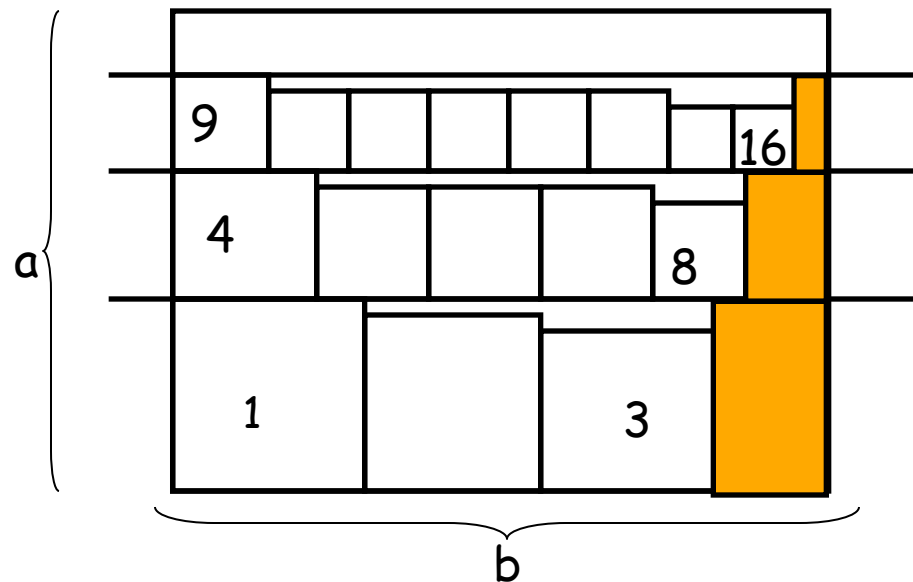
Wasted Space $\cdot s(a+b)$

Shelf Packing

Given a rectangular region of size $a \times b$

Goal: Pack squares of length $\cdot s$

Algorithm: Decreasing size shelf packing.



Wasted Space $\cdot s(a+b)$

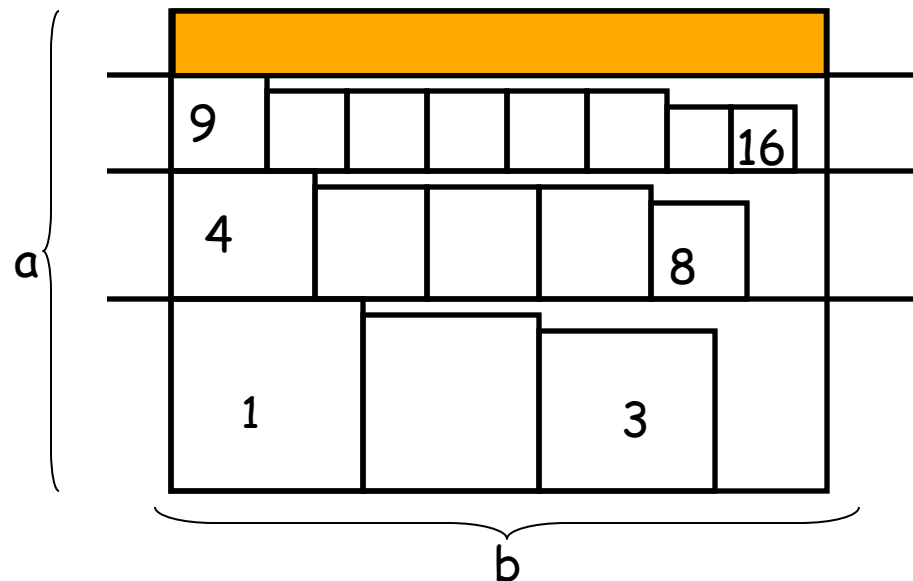
Right side: At most $s \leq a$

Shelf Packing

Given a rectangular region of size $a \times b$

Goal: Pack squares of length $\cdot s$

Algorithm: Decreasing size shelf packing.



Wasted Space $\cdot s(a+b)$

Right side: At most $s \times a$

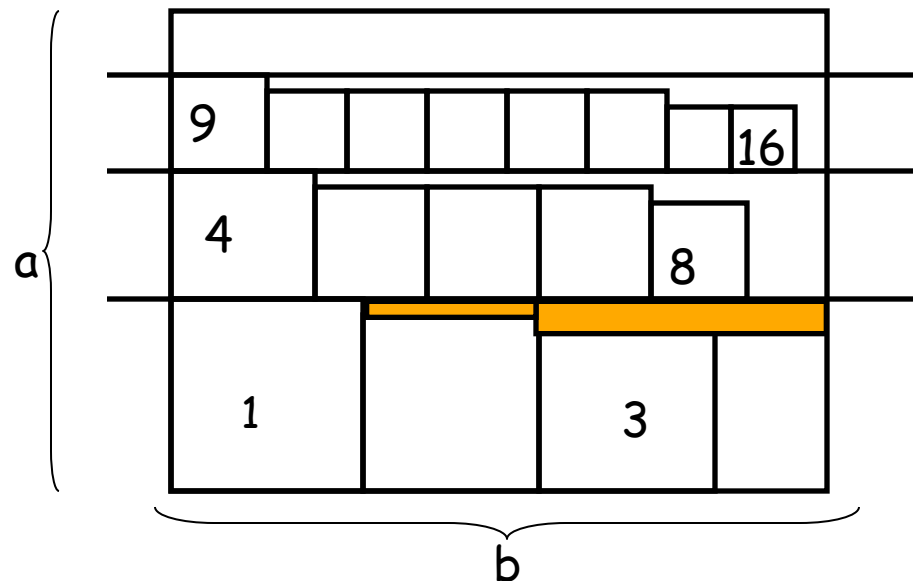
Top $\cdot s_{16} b$

Shelf Packing

Given a rectangular region of size $a \times b$

Goal: Pack squares of length $\cdot s$

Algorithm: Decreasing size shelf packing.



Wasted Space $\cdot s(a+b)$

Right side: At most $s \times a$
Top $\cdot s_{16} b$

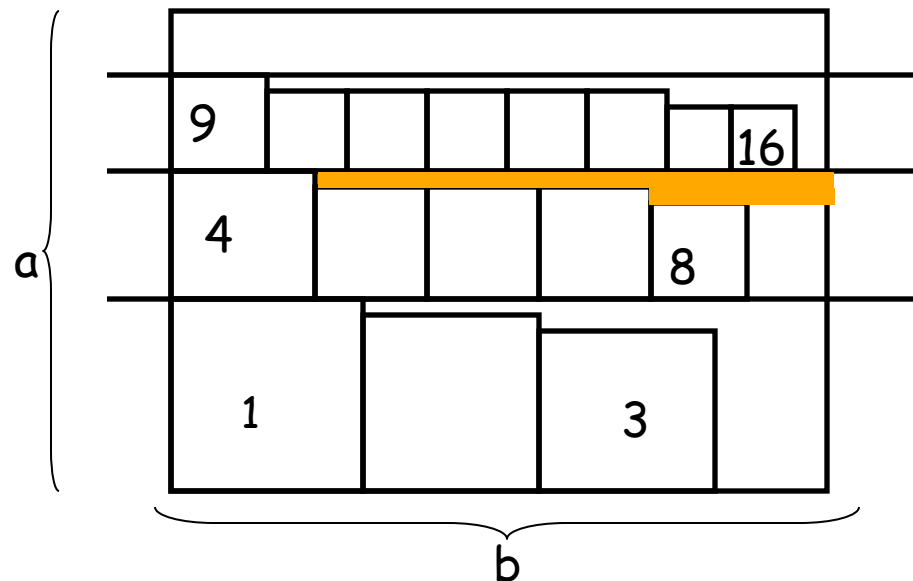
Shelf 1: $(s_1 - s_3) b$

Shelf Packing

Given a rectangular region of size $a \times b$

Goal: Pack squares of length $\cdot s$

Algorithm: Decreasing size shelf packing.



Wasted Space $\cdot s(a+b)$

Right side: At most $s \times a$

Top $\cdot s_{16} b$

Shelf 1: $(s_1 - s_3) b$

Shelf 2: $(s_4 - s_8) b$

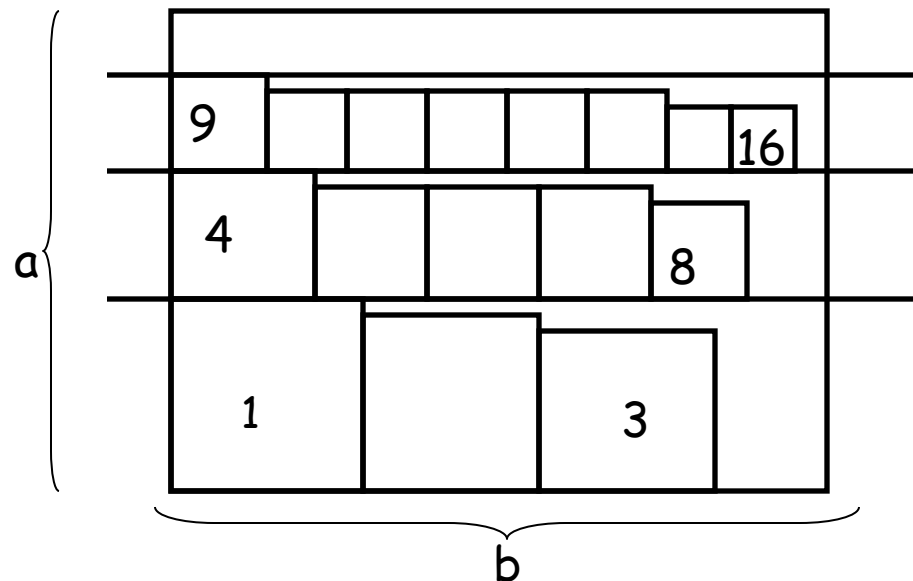
...

Shelf Packing

Given a rectangular region of size $a \times b$

Goal: Pack squares of length $\cdot s$

Algorithm: Decreasing size shelf packing.



Wasted Space $\cdot s(a+b)$

Right side: At most $s \times a$

Top $\cdot s_{16} b$

Shelf 1: $(s_1 - s_3) b$

Shelf 2: $(s_4 - s_8) b$

....

Adding all, at most $(s_1 - s_{16}) b$